

Subject: RE: OD Referral dated 06-05-2019 For AC # [REDACTED] [I]
From: Brigid Macias [REDACTED]
Date: Thu, 06 Jun 2019 11:10:22 -0400
To: Natalie Barak [REDACTED]
Cc: Stewart Oldfield <[REDACTED]>

There are many accounts that appear to have zero balances but don't. I have to get BSO approval to move them out and close the accounts.

Kind regards,
Brigid Macias

Brigid Macias
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue

24th Floor
New York, NY 10154

Securities offered through Deutsche Bank Securities Inc.

From: Natalie Barak
Sent: Thursday, June 06, 2019 11:03 AM
To: Brigid Macias [REDACTED]
Cc: Stewart Oldfield <[REDACTED]>
Subject: FW: OD Referral dated 06-05-2019 For AC # [REDACTED]

Classification: For internal use only

EFTA01425188

Hi Brigid - account is still open for Neptune.

{logo}

Natalie Barak
Vice President | Relationship Officer

Deutsche Bank Wealth Management

Deutsche Bank Trust Company Americas
345 Park Avenue, New York, NY 10154



Securities offered through Deutsche Bank Securities Inc

From: Hemant-Kumar Rathore
Sent: Thursday, June 06, 2019 10:59 AM
To: Stewart Oldfield <[REDACTED]>; Bradley Gillin
[REDACTED]; Rachel Wachs <[REDACTED]>; Natalie Barak
[REDACTED]
Cc: Halina Laczny [REDACTED]; Debra Jasper
[REDACTED]
Oversight Control [REDACTED]
Subject: OD Referral dated 06-05-2019 For AC # [REDACTED]

Hi Team,

Please find the attached OD Referral for today and approve these with your decision hand-written on the OD referral form with two signatures (mandatory).

Please provide the below information on the form -

1. Transactions - Ok to pay / Not Ok to pay
2. Reason on how and when the OD will be covered
3. Seek CRM Approval for any account if overdrawn USD 100K.

Thanks,

With regards,
Hemant-Kumar Rathore

{cid:image003.png@01D2C5BD.84AC7070}

Hemant-Kumar Rathore

DBOI Global Services Private Limited

C00 Wealth Management Operations
A-1 & A-2, Evolve - Mahindra Technology Park, Inside Mahindra World City -
SEZ, 302029 Jaipur, India

Please send all the Banking related Queries to our new Group E-mail ID