

Subject: FW: trading capabilities [I]
From: Stewart Oldfield <[REDACTED]>
Date: Wed, 26 Apr 2017 16:47:29 -0400
To: Vahe Stepanian <[REDACTED]>

Classification: For internal use only

Did you reply to this? Maybe ask Josh or Joe? I would think buying options is relatively easy. Selling relatively hard. But I haven't done a lot of this here

From: Richard Kahn [mailto:[REDACTED]]
Sent: Tuesday, April 25, 2017 5:49 PM
To: Vahe Stepanian
Cc: Stewart Oldfield
Subject: Re: trading capabilities

we are potentially looking to increase derivatives trading and would like to better understand what long form confirmation will allow us to do

please advise

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
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cell [REDACTED]

On Apr 25, 2017, at 4:14 PM, Vahe Stepanian <[REDACTED]> wrote:

Generally agree with Stewart. Is there anything specific you are looking at?

Thanks,

Vahe

From: Stewart Oldfield
Sent: Tuesday, April 25, 2017 4:02 PM
To: Richard Kahn <[REDACTED]>
Cc: Vahe Stepanian <[REDACTED]>
Subject: RE: trading capabilities

Generally I think we can just do listed options in your wealth management brokerage accounts. We don't have investment banking/OTC capabilities for you unless we can trade via long form confirmation. Copying Vahe who can clarify.

Thanks

From: Richard Kahn [mailto:[REDACTED]]
Sent: Tuesday, April 25, 2017 3:48 PM
To: Stewart Oldfield
Subject: trading capabilities

are we able to do any of the following trades in our accounts

Trade fx forwards and options
CDS
Equity derivatives

If so would this be out of your Wealth Management group or Investment Bank?

please advise

thank you

Richard Kahn
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