

Subject: Re: Southern financial structured notes [C]
From: Andrew King <[REDACTED]>
Date: Fri, 13 Oct 2017 09:22:42 -0400
To: Stewart Oldfield <[REDACTED]>

I believe there are only KCP accounts open - so we'd have to check if the form is on file for those accounts. I'm traveling to a client meeting but Don or Ziggy could help.

Sent from my iPhone

On Oct 13, 2017, at 9:11 AM, Stewart Oldfield <[REDACTED]> wrote:

Classification: Confidential

Do you know if we have the approval form on file?

Stewart Oldfield, CFA, CAIA
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