

Subject: Fwd: Outgoing Wire Details
From: Richard Kahn <[REDACTED]>
Date: Mon, 23 Oct 2017 12:08:43 -0400
To: Cynthia Rodriguez <[REDACTED]>,
Stewart Oldfield <[REDACTED]>
Cc: Bella Klein <[REDACTED]>

please see answers below in CAPS thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

[REDACTED]
Tel: [REDACTED]

Begin forwarded message:

From: Cynthia Rodriguez <[REDACTED]>
Subject: Outgoing Wire Details
Date: October 23, 2017 at 10:21:12 AM EDT
To: Bella Klein <[REDACTED]>

Hi Bella,

Hope all is well. Our audit team selects wires at random and requests more information on the purpose and beneficiary. Please provide the following information for an outgoing wire sent from Southern Trust Company to Alpha Group Capital LLC sent on 9/19/2017:

09/19/2017, \$78,938.00, ALPHA GROUP CAPITAL LLC at BANK OF AMERICA , N.A., NY

1. Please explain the purpose of this Outgoing Wire. ADVISORY FEE FOR INVESTING
2. Please explain the relationship between the Client and Counterparty. ADVISOR ON STOCKS AND BONDS
3. Please explain the business activities of the Counterparty. ADVISOR ON STOCKS AND BONDS

Thank you.

Kind regards,

Cynthia Rodriguez

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

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