

Subject: FW: Southern Financial
From: Cynthia Rodriguez <[REDACTED]>
Date: Thu, 09 Mar 2017 14:56:09 -0500
To: Robert Dicerbo <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>

Hey Rob,

FYI Epstein will be sending over \$50mn today. I sent them the Addendum to complete in order to terminate their automated services. I will submit the customer case for it as soon as I get it back. Thanks again for all of your help!

Kind regards,

Cynthia Rodriguez

{cid:image001.png@01D0778D.2D49CDD0}

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Richard Kahn [mailto:[REDACTED]]
Sent: Thursday, March 09, 2017 2:19 PM
To: Cynthia Rodriguez
Cc: Stewart Oldfield; Jeanne Brennan; Bella Klein
Subject: Re: Southern Financial

today we are funding new account to reach 50mm exactly

please turn off auto sweep

thank you

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Mar 7, 2017, at 12:11 PM, Cynthia Rodriguez <[REDACTED]>
wrote:

Hi Rich,

I don't see why we couldn't. We will monitor the accounts and have it turned off once it reaches \$50mn. Thanks.

Kind regards,

Cynthia Rodriguez

<image001.png>

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Email [REDACTED]

From: Richard Kahn [mailto:[REDACTED]]
Sent: Tuesday, March 07, 2017 11:07 AM
To: Cynthia Rodriguez
Cc: Stewart Oldfield; Jeanne Brennan; Bella Klein
Subject: Re: Southern Financial

thank you

can we turn off auto sweep as once we fund all 3 accounts with 50mm we do not want money going back and forth

please advise

thank you

Richard Kahn
HBRK Associates Inc.
575 Lexington Avenue 4th Floor
New York, NY 10022
tel [REDACTED]
fax [REDACTED]
cell [REDACTED]

On Mar 7, 2017, at 10:41 AM, Cynthia Rodriguez <[REDACTED]>
wrote:

Hi Rich,

Just confirming that the \$11mn that is currently in Southern Financial's DB AG account was automatically swept over from the DBTCA account. This sweep was set up, per the submitted Preferred Cash Addendum, to maintain \$1,000 in the DBTCA account and automatically sweep anything greater than \$1,000 into the DB AG account. Let us know if you need anything else. Thanks!

Kind regards,

Cynthia Rodriguez

<image001.png>

Cynthia Rodriguez
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, 24th Fl 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
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