

Subject: RE: Caterpillar Trust [I]
From: Stewart Oldfield <[REDACTED]>
Date: Thu, 04 Apr 2019 17:09:46 -0400
To: Daphne Cales <daphne.cales@db.com>,
Natalie Barak <natalie.barak@db.com>
Cc: Brigid Macias <brigid.macias@db.com>,
Michele-M Quattrocchi <michele-m.quattrocchi@db.com>
Bcc: [REDACTED],

Classification: For internal use only

Does the trust doc require a letter from both? I think they are happy to have the check made out to Lesley. They were also wondering whether a check made out to Caterpillar could be endorsed over to someone else by them?

From: Daphne Cales
Sent: Thursday, April 04, 2019 5:03 PM
To: Natalie Barak <natalie.barak@db.com>
Cc: Brigid Macias <brigid.macias@db.com>; Stewart Oldfield <[REDACTED]>; Michele-M Quattrocchi <michele-m.quattrocchi@db.com>
Subject: RE: Caterpillar Trust [I]

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I think that's okay but both trustees need to send a signed letter telling us who it's to be made out. We can't make that decision for them.

Kind regards,
Daphne Cales

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Daphne Cales
Director | Banking Services & Branch Manager

Deutsche Bank Trust Company Americas

WM Americas

345 Park Avenue, 10154-0004 New York, NY, USA

Tel. [REDACTED]

Fax [REDACTED]

Mobile [REDACTED]

Email daphne.cales@db.com

{<https://brandportal.intranet.db.com/img/modules/claim.gif>}

From: Natalie Barak

Sent: Thursday, April 04, 2019 5:01 PM

To: Daphne Cales <daphne.cales@db.com>

Cc: Brigid Macias <brigid.macias@db.com>; Stewart Oldfield <[REDACTED]>; Michele-M Quattrocchi <michele-m.quattrocchi@db.com>

Subject: Re: Caterpillar Trust [I]

I doubt the two have a joint account. Can we do "or" instead of "and"

Natalie Barak

On Apr 4, 2019, at 4:59 PM, Daphne Cales <daphne.cales@db.com> wrote:

Classification: For internal use only

Hello,

Spoke with Yoonsun and we agree check should be made out to the trustee. Per last approved KYC #1898372 the trustee's on the account were Daphne Wallace and [REDACTED] Groff. Please confirm with the client that the check will be issued as follows:

Daphne Wallace & [REDACTED] Groff

TTEES for The 2017 Caterpillar Trust

Let me know you if you have any questions.

Kind regards,

Daphne Cales

Daphne Cales

Director | Banking Services & Branch Manager

Deutsche Bank Trust Company Americas

WM Americas

345 Park Avenue, 10154-0004 New York, NY, USA

Tel. [REDACTED]

Fax [REDACTED]

Mobile [REDACTED]

Email daphne.cales@db.com

-----Original Message-----

From: Natalie Barak

Sent: Thursday, April 04, 2019 11:08 AM

To: Brigid Macias <brigid.macias@db.com>; Daphne Cales
<daphne.cales@db.com>; Stewart Oldfield <[REDACTED]>

Subject: FW: Caterpillar Trust [I]

+ daphne

Natalie Barak

Vice President | Relationship Officer

Deutsche Bank Wealth Management

Deutsche Bank Trust Company Americas

345 Park Avenue, New York, NY 10154

Tel. [REDACTED]

Fax [REDACTED]

Email natalie.barak@db.com

Securities offered through Deutsche Bank Securities Inc

-----Original Message-----

From: Stewart Oldfield

Sent: Thursday, April 04, 2019 10:52 AM

To: Natalie Barak <natalie.barak@db.com>

Cc: Brigid Macias <brigid.macias@db.com>

Subject: RE: Caterpillar Trust [I]

Classification: For internal use only

Since the trust was wound down, can we make the check out to anyone else besides Caterpillar? Can we make it out to the Trustee or their accountant???

Thanks

-----Original Message-----

From: Natalie Barak

Sent: Thursday, April 04, 2019 8:57 AM

To: [REDACTED]

Cc: Stewart Oldfield <[REDACTED]>; Brigid Macias <brigid.macias@db.com>

Subject: Caterpillar Trust

Good morning Bella

We have not succeeded in re-opening the account for the trust.

As an alternative, we can issue a check in the amount of the rejected wire and mail it to the address of record (Mr Epstein's address in St Thomas).

The check will be payable to the trust.

Please confirm before we proceed.

Natalie Barak