



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

| Trade Date | Type | Description | Quantity | Per Unit Amount | Proceeds | Cost | Realized Gain/Loss |
|---|-------------------|---|-----------------|-----------------|----------------|----------------|--------------------|
| Settle Date | Selection Method | | | | | | |
| Settled Sales/Maturities/Redemptions | | | | | | | |
| 8/9 | Sale | QUALITY DISTRIBUTION INC @ 8.97 4,485.00 | (500.000) | 8.93 | 4,464.92 | (4,300.00) | 164.92 S |
| 8/14 | High Cost | BROKERAGE 20.00 TAX &/OR SEC .08 J.P. MORGAN SECURITIES LLC (ID: [REDACTED]) | | | | | |
| 5/23 | Sale | TO REVERSE ENTRY OF 05/29/2013 ZIONS | 1,500,000.000 | 100.875 | (1,513,125.00) | 1,500,000.00 | (13,125.00) S* |
| 8/15 | High Cost | BANCORPORATION VAR RT 12/31/2049 DTD 05/21/2013 @ 100.875 JP MORGAN SECURITIES LLC (BIDL) AS OF 05/29/13 (ID: [REDACTED]) | | | | | |
| 5/23 | Sale | ZIONS BANCORPORATION VAR RT 12/31/2049 DTD | (1,500,000.000) | 100.625 | 1,509,375.00 | (1,500,000.00) | 9,375.00 S* |
| 8/15 | High Cost | 05/21/2013 @ 100.875 1,513,125.00 BROKERAGE 3,750.00 JP MORGAN SECURITIES LLC (BIDL) (ID: [REDACTED]) | | | | | |
| 8/12 | Sale | CLIFFS NATURAL RESOURCES CV PFD 7% @ 22.033333 | (6,000.000) | 21.993 | 131,957.69 | (150,000.00) | (18,042.31) S |
| 8/15 | High Cost | 132,200.00 BROKERAGE 240.00 TAX &/OR SEC 2.31 J.P. MORGAN SECURITIES LLC (ID: [REDACTED]) | | | | | |
| 8/13 | Sale | LAREDO PETROLEUM HOLDINGS IN @ 23.621028 | (9,000.000) | 23.581 | 212,225.55 | (213,750.00) | (1,524.45) S |
| 8/19 | High Cost | 212,589.25 BROKERAGE 360.00 TAX &/OR SEC 3.70 J.P. MORGAN SECURITIES LLC (ID: [REDACTED]) | | | | | |
| 8/14 | Sale | NORTHERN TIER ENERGY LP @ 22.30 89,200.00 | (4,000.000) | 22.26 | 89,038.44 | (91,400.00) | (2,361.56) S |
| 8/19 | High Cost | BROKERAGE 160.00 TAX &/OR SEC 1.56 J.P. MORGAN SECURITIES LLC (ID: [REDACTED]) | | | | | |
| 8/14 | Sale | ZIONS BANCORPORATION VAR RT 09/29/2049 DTD | (50,000.000) | 100.05 | 50,025.00 | (50,000.00) | 25.00 S |
| 8/19 | High Cost | 08/13/2013 @ 100.10 50,050.00 BROKERAGE 25.00 JP MORGAN SECURITIES LLC (BIDL) (ID: [REDACTED]) | | | | | |
| 8/20 | Principal Payment | SEQUOIA MORTGAGE TRUST 11 CL B1 VAR RT | (4,763.530) | 100.00 | 4,763.53 | (3,513.10) | 1,250.43 S |
| 8/20 | Pro Rata | 12/20/2032 DTD 10/30/2002 PAYMENT A/C PRINCIPAL (ID: [REDACTED]) | | | | | |

J.P.Morgan

Account [REDACTED] Page 27 of 48 Consolidated Statement Page 30