



SOUTHERN FINANCIAL, LLC ACCT [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/23 8/8	Sale High Cost	IMPAC CMB TRUST SER 2005-2 CL 1M1 VAR RT 04/25/2035 DTD 03/03/2005 @ 78.125 JP MORGAN SECURITIES LLC (BIDL) FACE VALUE 10,000,000.00 (ID: 45254N-NB-9)	(1,580,099.390)	78.125	1,234,452.66	(1,106,069.20)	128,383.46 S*
8/7 8/12	Sale High Cost	ALLISON TRANSMISSION HOLDING @ 22.50 45,000.00 BROKERAGE 80.00 TAX &/OR SEC .79 J.P. MORGAN SECURITIES LLC (ID: 01973R-10-1)	(2,000.000)	22.46	44,919.21	(44,000.00)	919.21 S
8/8 8/13	Sale High Cost	ADVENT SOFTWARE INC @ 28.10 28,100.00 BROKERAGE 40.00 TAX &/OR SEC .49 J.P. MORGAN SECURITIES LLC (ID: 007974-10-8)	(1,000.000)	28.06	28,059.51	(27,000.00)	1,059.51 S
8/8 8/13	Sale High Cost	DCT INDUSTRIAL TRUST INC @ 7.27 29,080.00 BROKERAGE 160.00 TAX &/OR SEC .51 J.P. MORGAN SECURITIES LLC (ID: 233153-10-5)	(4,000.000)	7.23	28,919.49	(28,800.00)	119.49 S
8/8 8/13	Sale High Cost	KAR AUCTION SERVICES INC @ 25.8605 25,860.50 BROKERAGE 40.00 TAX &/OR SEC .45 J.P. MORGAN SECURITIES LLC (ID: 48238T-10-9)	(1,000.000)	25.82	25,820.05	(25,000.00)	820.05 S
8/8 8/14	Sale High Cost	DCP MIDSTREAM PARTNERS LP @ 50.040107 150,120.32 BROKERAGE 120.00 TAX &/OR SEC 2.62 J.P. MORGAN SECURITIES LLC (ID: 23311P-10-0)	(3,000.000)	49.999	149,997.70	(150,120.00)	(122.30) S
8/8 8/14	Sale High Cost	INTREXON CORP @ 22.00 27,500.00 BROKERAGE 15.00 TAX &/OR SEC .48 J.P. MORGAN SECURITIES LLC (ID: 46122T-10-2)	(1,250.000)	21.988	27,484.52	(20,000.00)	7,484.52 S
8/9 8/14	Sale High Cost	NORWEGIAN CRUISE LINE HOLDIN @ 30.443 30,443.00 BROKERAGE 40.00 TAX &/OR SEC .53 J.P. MORGAN SECURITIES LLC (ID: G66721-10-4)	(1,000.000)	30.402	30,402.47	(29,750.00)	652.47 S
8/9 8/14	Sale High Cost	QEP MIDSTREAM PARTNERS LP @ 22.70 113,500.00 BROKERAGE 200.00 TAX &/OR SEC 1.98 J.P. MORGAN SECURITIES LLC (ID: 74735R-11-5)	(5,000.000)	22.66	113,298.02	(105,000.00)	8,298.02 S

J.P.Morgan

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