
From: Vinit Sahn [REDACTED]
Sent: 1/15/2014 1:38:52 PM
To: Tazia Smith [REDACTED]
CC: Paul Morris [REDACTED]; Mathew Negus [REDACTED]
Subject: Re: Global Coverage [C]

I did have my series 7, 63 and 3 we should possibly reapply

Vinit

On 15 Jan 2014, at 18:28, "Tazia Smith" <[REDACTED]> wrote:

Classification: Confidential

Hi Vinit -

Jeff's request for global coverage is an important capability for KCP clients. Operationally, FX (hopefully rates derivatives as well) and equity derivatives shouldn't be a problem for global coverage -- Jeff's trading entities are set up in RMS and summit. No need a new account, as we chatted about. I am following up on the compliance front. It's compliance and credit risk management oversights that we need to be sure we have in place. We can discuss on our call. I just want to be responsive to Jeffrey and let him know that he does have global coverage.

Are you licensed in the US (7 and 66)?

Speak Soon!
Tazia

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Tazia Smith
Director | Key Client Partners - US

Deutsche Bank Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 26th Floor
New York, NY 10154
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

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----- Forwarded by Tazia Smith/db/dbcom on 01/15/2014 01:22 PM -----