

As of 4/18/14						
Live						
Description	Trade Date	Expiration	Notional	Cost Basis	MTM*	MTM* P/L
USDJPY Triple One-Touch 101 Call	11/21/2013	11/20/2014	1,000,000 USD	\$ -	\$ 3,294	\$ 3,294
USDJPY 102.5 Call	1/31/2014	5/1/2014	10,000,000 USD	\$ 190,000	\$ 46,612	\$ (143,388)
Dec14 Copper 7000 Call	4/7/2014	12/3/2014	1,250 metric tons	\$ 331,250	\$ 286,323	\$ (44,927)
				\$ 521,250	\$ 336,229	\$ (185,021)

* Not a bid/offer. Mid Mark-to-Market as reported on client's DB Valuation Statement.

Closed						
Description	Initial Trade Date	Unwind Trade Date		Cost Basis	Unwind Value	P/L
USDCAD RKI Call Spread	1/17/2014	3/5/2014		\$109,995	\$ 115,000	\$ 5,005
USDRUB 37.595 Binary	3/13/2014	4/14/2014	1,000,000 USD	\$ 257,000	\$ -	\$ (257,000)
Jun14 WTI 101/93.50 Risk Reversal	3/13/2014	5/15/2014	100,000 bbls	\$ -	\$ 312,000	\$ 312,000
						\$ 60,005