



# Option Agreement and Approval Form

Please Complete All Sections

Account No. \_\_\_\_\_ Account Name \_\_\_\_\_

Account Type **Cash** Account Registration **Individual**

Managed Account  Discretionary Account  Other

Name(s) of person(s) other than account owner(s) authorized to place orders for the account:

Account and Owner Information (Provide requested information for each account owner ("Client"). Attach supplement to this Agreement if necessary.)

Name \_\_\_\_\_

Address \_\_\_\_\_ Country \_\_\_\_\_

Phone \_\_\_\_\_ Number of Dependents \_\_\_\_\_ Marital Status \_\_\_\_\_ Birthdate \_\_\_\_\_

Employer \_\_\_\_\_ Business Phone \_\_\_\_\_

Business Address \_\_\_\_\_

Type of Business \_\_\_\_\_ Position \_\_\_\_\_ Years Held \_\_\_\_\_

Present Brokerage Accounts with: \_\_\_\_\_

Does the individual or entity that controls this account have the power to control any other Option Account(s) with Deutsche Bank Securities Inc.?

Yes  No If yes, please provide the account number(s): \_\_\_\_\_

## Client(s) Financial Information

Approximate Aggregate Annual Income of Account Owner(s) from All Sources	Sources of Income (Approximate)	Approximate Aggregate Net Worth	
<input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input type="checkbox"/> \$5,000,000 and over	Salary/Bonus _____ % Interest/Dividends _____ % Other (specify): _____ % _____ % _____ %	Liquid Net Worth <input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input type="checkbox"/> \$5,000,000 and over	Approximate Net Worth Excluding Principal Residence <input type="checkbox"/> Less than \$50,000 <input type="checkbox"/> \$50,000 - \$99,999 <input type="checkbox"/> \$100,000 - \$249,999 <input type="checkbox"/> \$250,000 - \$499,999 <input type="checkbox"/> \$500,000 - \$999,999 <input type="checkbox"/> \$1,000,000 - \$2,499,999 <input type="checkbox"/> \$2,500,000 - \$4,999,999 <input type="checkbox"/> \$5,000,000 and over

## Investment Experience of Account Owner(s)

	Year First Traded	Average # Trades Per Year	Average Size of Trades In \$	Average Size in Shares / Contracts	Types of Previous Option Experience
Options					<input type="checkbox"/> Covered Call Writing <input type="checkbox"/> Purchased Options <input type="checkbox"/> Option Spreads <input type="checkbox"/> Uncovered Put Writing <input type="checkbox"/> Uncovered Call Writing <input type="checkbox"/> None
Stocks					
Bonds					
Commodities					
Listed Stock Index					
OTC Derivatives					
Structured Products					
Other (Specify) _____					

Deutsche Bank Securities Inc., a subsidiary of Deutsche Bank AG, conducts investment banking and securities activities in the United States.



OPT1

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