

Account # : ██████████  
Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.	Sec. Type	Position Held	USDE Val.	Acct. Type	Trans. Type	Last Price <sup>2</sup>	Price Date	Chg.
JPM4135538	46625HHA1	JPMORGAN CHASE & CO DEP SHS REPSTG 1/10TH PFD SER 1 FIXED TO FLTG RT BD 7.900% 04/29/49 B/E DTD 04/23/08 CLB	3,000,000	3,292,500.00	CORPORATE BOND	USD	3,292,500.00	CASH	Long	109.7500		
JPHSX	48121L510	JP MORGAN FLOATING RATE INCOME FUND SELECT SHARES	212,495.1830	2,131,326.69	MUTUAL FUND	USD	2,131,326.68	CASH	Long	10.0300	09/09/2014 20:00:03 ET	-0.01
JPMT.AA	46262EAE5	IPAYMENT INC GTD SR NT 10.250% 05/15/18 B/E DTD 11/15/11 CLB CLB 05/15/15 @105.125	500,000	432,500.00	CORPORATE BOND	USD	432,500.00	CASH	Long	86.5000		
GS3471173	36242D7X5	GS MTG SECS CORP 2005-SF MTG PASSTHRU CTF CL B1 VAR 5.751% 06/25/35 B/E DTD 05/01/05 N/C	1,500,000	1,168,494.63	CORPORATE BOND	USD	1,168,494.63	CASH	Long	96.9820		
DWGI	MMFDWGI	DWS GOVERNMENT CASH INSTL	9,907,025.6100	9,907,025.61	MONEY FUND		9,907,025.61	CASH	Long	1.0000		
C PRK	172967341	CITIGROUP INC DEP SHS REPSTG 1/1000TH PFD SER K DIV 6.875% PERPETUAL MATY PERPETUAL CALL 11/15/23@25.00	79,000	2,080,070.00	PREFERRED STOCK	USD	2,080,070.00	CASH	Long	26.3300	09/10/2014 13:05:00 ET	-0.09
BTU.GF	704549AE4	PEABODY ENERGY CORP SR NT 7.375% 11/01/16 B/E DTD 10/12/06 N/C	1,200,000	1,293,000.00	CORPORATE BOND	USD	1,293,000.00	CASH	Long	107.7500		
BAC.HDX	060505DT8	BANK AMER CORP PERP NON COMULATIVE DEP SHS FIXED TO FLOAT 8.125% 04/30/58 B/E DTD 04/30/08 CLB CLB 05/15/18	3,000,000	3,307,500.00	CORPORATE BOND	USD	3,307,500.00	CASH	Long	110.2500		