
From: Danya Friedman [REDACTED]
on behalf of Amlcompliance Inquiries [REDACTED]
Sent: 7/8/2015 8:02:01 AM
To: Amanda Kirby [REDACTED], Cynthia Rodriguez [REDACTED]
CC: Amlcompliance Inquiries [REDACTED]; Paul Morris [REDACTED]; Terri Sohrab [REDACTED]
Subject: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account# [REDACTED] Client: SOUTHERN FINANCIAL LLC, Case No. 126413 [I]
Importance: High

Classification: **For internal use only**

Good Morning:

I am writing to follow up on the inquiry below. Please note the 7-day deadline for a response has passed. Please respond with a status update or answer to this inquiry as soon as possible.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance
Deutsche Bank
60 Wall St., 23 Floor
New York, NY 10005 - 2836
[REDACTED]

From: Danya Friedman **On Behalf Of** Amlcompliance Inquiries
Sent: Monday, June 29, 2015 2:32 PM
To: Amanda Kirby; Cynthia Rodriguez
Cc: Amlcompliance Inquiries
Subject: Inquiry Regarding Account# [REDACTED], Client: SOUTHERN FINANCIAL LLC, Case No. 126413 [I]
Importance: High

Classification: **For internal use only**

Good Afternoon:

The above referenced account has had the following recent activity. To assist in this review and further document your supervision of this account, please provide information regarding the following transactions. *Your complete response must be received within 7 business days.*

ORIGINATOR: SOUTHERN FINANCIAL LLC DOA: 05/29/2015 AMT \$2,280,000.00 PAY TO: FT REAL ESTATE INC.

1. **What is the purpose of this transaction?**
2. **What is the relationship between client and payee?**
3. **What is the purpose/business of the payee?**

Please provide any additional pertinent information.

Thank you in advance for your prompt attention to this matter.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance