
From: Ariane Dwyer [REDACTED]
Sent: 10/7/2015 1:11:27 PM
To: Paul Morris [REDACTED]; Jj Litchford [REDACTED]
CC: Vahe Stepanian [REDACTED]
Subject: RE: SFL and Gratitude America Ltd [C] [I]

Classification: **For internal use only**

Hi Paul,

They'll need to complete the boilerplate docs such as account agreement and tax form. They will not need to complete a new KCP Non Advisory Letter and Finra2111 as these serve for the relationship.

Best,
Ari

From: Paul Morris
Sent: Wednesday, October 07, 2015 1:04 PM
To: Vahe Stepanian; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C] [I]

This is just simple brokerage yes? Not all the extra KCP letters etc correct?

-----Original Message-----

From: Vahe Stepanian
Sent: Wednesday, October 07, 2015 12:59 PM Eastern Standard Time
To: Paul Morris; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C] [I]

Classification: **For internal use only**

Rich specifically asked to open another brokerage account, and he understood that there is paperwork involved in doing so. Is there an alternative you have in mind?

Thank you,
Vahe

From: Paul Morris
Sent: Wednesday, October 07, 2015 12:55 PM
To: Vahe Stepanian; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C]

Vahe do we really need a full KCP account for this?

-----Original Message-----

From: Vahe Stepanian