
From: Paul Morris [REDACTED]
Sent: 10/7/2015 1:17:18 PM
To: Ariane Dwyer [REDACTED]; Jj Litchford [REDACTED]
CC: Vahe Stepanian [REDACTED]
Subject: RE: SFL and Gratitude America Ltd [C] [I]

Thx ok

-----Original Message-----

From: Ariane Dwyer
Sent: Wednesday, October 07, 2015 01:11 PM Eastern Standard Time
To: Paul Morris; Jj Litchford
Cc: Vahe Stepanian
Subject: RE: SFL and Gratitude America Ltd [C] [I]

Classification: **For internal use only**

Hi Paul,

They'll need to complete the boilerplate docs such as account agreement and tax form. They will not need to complete a new KCP Non Advisory Letter and Finra2111 as these serve for the relationship.

Best,
Ari

From: Paul Morris
Sent: Wednesday, October 07, 2015 1:04 PM
To: Vahe Stepanian; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C] [I]

This is just simple brokerage yes? Not all the extra KCP letters etc correct?

-----Original Message-----

From: Vahe Stepanian
Sent: Wednesday, October 07, 2015 12:59 PM Eastern Standard Time
To: Paul Morris; Jj Litchford
Cc: Ariane Dwyer
Subject: RE: SFL and Gratitude America Ltd [C] [I]

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Rich specifically asked to open another brokerage account, and he understood that there is paperwork involved in doing so. Is there an alternative you have in mind?

Thank you,
Vahe