

Weekly Business Updates

Transactions Closed, Pipeline Deals & Client Updates



Nov 12 th 2013	KCP US & WIC			KCP LATAM & WIC				
Revenue (\$ mm)	KCP: YTD	MTD	WIC: YTD	MTD	KCP: YTD	MTD	WIC: YTD	MTD
Macro Themes & Trade Ideas	- Global Equities: Bullish S&P 1750+ YE – “Tech “ - US Rates: expectations of rising rates pushed out - European Recovery: slow but +ve GDP growth - Hedging solutions, taking gains - Japanese growth: Abenomics lead to 2x Equity - Inflation: GIC view increase CPI in 2014 - Municipal Bonds (dislocated v UST) - Efficient structure to monetize oil spikes - RREEF: Price upside due to low supply							
Client Prospects	Client	KCP-A Sales	RM (Office)	Client	Sales	RM		
	▪ Sage Capital	▪ David Mann	▪ Michael David (NY PCS)	▪	▪	▪		
	▪ NB Zoullas Sec	▪ David Mann	▪ Drew Hearon (NY PCS)	▪	▪	▪		
	▪ Florida Crystals	▪ Thomas OR	▪ Bryan Stepanian (GWH PCS)	▪	▪	▪		
	▪ MDCA	▪ Thomas OR	▪ Neal Fiore (NY PCS)	▪	▪	▪		
	▪ Price Family	▪ Thomas OR	▪ Carolin Botzenhard (LA PB)	▪	▪	▪		
Transaction Highlights / Clients	Desk	Deal	Product	Client	% / \$(000)k	Economics	↕↔	
	KCP-US	▪ PriceSmart Block Sale	▪ Private Markets	▪ Price Family	▪ 500	▪ 400k stock sale (PSMT)	▪ ↑	
	KCP-LATAM	▪	▪	▪	▪	▪	▪	
	WIC-US	▪ Oxford Lane Capital	▪ Syndicate	▪ Various	▪ 123	▪ 227k Preferred, paid .54 per bond	▪ ↑	
	WIC-LATAM	▪	▪	▪	▪	▪	▪	

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