
From: Diego Galan [REDACTED]
Sent: 4/7/2015 5:22:35 PM
To: Chip Packard [REDACTED]; Haig Ariyan [REDACTED]; Joshua Friedberg [REDACTED]
Subject: Concentrated positions [C]
Attachments: Concentrated positions greater than \$10m - Pershing - April 2015.xlsx

Classification: **Confidential**

Chip, Haig and Josh,

Following up on last week's discussion, please find attached a list of the concentrated positions for WM Americas clients – the list includes by office, by banker / CA, by client, by account for accounts with >\$10m in a single stock.

The data include Pershing accounts only, as there were no concentrated positions >\$10m in State Street.

Let me know if you have any questions.

Best,
Diego



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