

Subject: Re: FW: Action Needed: WIC Brokerage Analysis [I]
From: Amanda Kirby <[REDACTED]>
Date: Tue, 07 Jan 2014 14:06:06 -0500
To: Andrea Tapia <andrea.tapia@db.com>
Cc: Paul Morris <[REDACTED]>

Classification: For internal use only

Hi Andrea,

Please see attached. Let me know if you need anything else

(See attached file: Morris WIC Spreadsheet.xlsx)

Best,
Amanda Kirby

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Amanda Kirby
Associate

Deutsche Bank Trust Company Americas

[REDACTED]
Email [REDACTED]

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From: Andrea Tapia/db/-
[REDACTED]

To: Paul Morris/db/-
[REDACTED]

Cc: Amanda Kirby/db/-
[REDACTED]

Date: 01/06/2014 11:30
AM

Subject: FW: Action Needed: WIC Brokerage Analysis
[I]

Classification: For internal use only
Hi,

Just a friendly reminder to please complete the request below.

Kind regards,
Andrea Tapia

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01610240

Andrea Tapia
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management



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01610240

From: Andrea Tapia
Sent: Monday, December 23, 2013 4:55 PM
To: Paul Morris
Cc: Amanda Kirby
Subject: Action Needed: WIC Brokerage Analysis [I]

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We have expanded capabilities across all wealth segments and need to focus them on the right clients. As we continue to provide cross-asset capital markets coverage, it is important to leverage WIC for investment idea generation and implementation solutions that are tailored to each client's needs.

In order to ensure that WIC (former MCG) is providing services ranging from holistic portfolio management, hedging and opportunistic trading, Chip would like for you to review your Brokerage accounts in the attached file. Please note the action items below and let me know if you have any questions.

Action Items:

1. Using the drop-down menu, identify the purpose for the open account (column G)
2. If the account is an accommodation account or part of a larger relationship, please identify the relevant relationship (column H) and whether the affiliated relationship has CBVs greater than \$10mm (column I)
3. Please send the completed file back to me by Friday, January 10, 2014

Thank you in advance for dedicating the necessary time to this matter.

Kind regards,
Andrea Tapia

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Andrea Tapia
Assistant Vice President

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management



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[attachment "Morris.xlsx" deleted by Amanda Kirby/db/dbcom]