

Subject: BK Update... [C]
From: Tazia Smith <[REDACTED]>
Date: Fri, 19 Sep 2014 15:47:42 -0400
To: jeffrey E. <jeevacation@gmail.com>
Cc: [REDACTED],
Paul Morris <[REDACTED]>,
Richard Kahn <[REDACTED]>,
Vahe Stepanian <[REDACTED]>

Classification: Confidential

Jeffrey -

FYI only:

BK Spot = \$39.77

Jan 40 calls 1.45 x 1.59
Jan 41 calls 1.02 x 1.11
Jan 42 calls .68 x .76

Away from your 1.20 limit on selling 1k of the 42s.

TDS

Source: Bloomberg

(Embedded image moved to file: pic21685.gif)

Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. +1(212)454-2889
Fax +1(646)257-3131
Mobile +1 [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic16115.gif)

From: Tazia Smith/db/-
dbcom

To: "jeffrey E."
<jeevacation@gmail.com>,

Cc: Richard Kahn <[REDACTED]>, Vahe Stepanian/db/-
[REDACTED], [REDACTED], Paul

Morris

Date: 09/19/2014 10:27
AM

Subject: Re:
[C]

Classification: Confidential

Jeffrey - order to sell 1000 BK Jan 42 calls is entered at \$1.20. Current market is \$0.81 x \$0.97.

41s = 1.24 x 1.39

43s = 0.54 x 0.63

Source: Bloomberg

(Embedded image moved to file: pic25321.gif)

Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. +1(212)454-2889

EFTA01471070

Fax +1(646)257-3131

Mobile +1 [REDACTED]

Email [REDACTED]

(Embedded image moved to file: pic06502.gif)

From: Tazia Smith/db/-
dbcom

To: "jeffrey E."
<jeevacation@gmail.com>@DEUBAINT,

Cc: Richard Kahn
<[REDACTED]>

Date: 09/19/2014 10:22
AM

Subject:
[C]

Classification: Confidential

got it

(Embedded image moved to file: pic28541.gif)

Tazia Smith

EFTA01471071

Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. +1(212)454-2889
Fax +1(646)257-3131
Mobile +1 [REDACTED]
Email [REDACTED]

(Embedded image moved to file: pic07804.gif)

From: "jeffrey E."
<jeevacation@gmail.com>

To: Tazia Smith/db/[REDACTED], Richard Kahn
<[REDACTED]> ,

Date: 09/19/2014 10:20
AM

Subject: Re: Alibaba Update \$80-83, buy imbalance
[C]

sell 1000 jan 42 bk calls at 1.20

On Fri, Sep 19, 2014 at 10:10 AM, Tazia Smith <[REDACTED]> wrote:
Classification: Confidential

Jeffrey -

Alibaba not open yet, looking \$80-83 last (vs. \$68 price), but still on a buy imbalance on the NYSE. Will keep you posted. Let us know if this is something you want to sell, hold or add.

EFTA01471072

Best Regards,
Tazia

From Tazia Smith/db/-
dbcom
:

To: "jeffrey epstein"
<jeevacation@gmail.com>,

Cc: "Paul Morris" <[REDACTED]>, "Vahe Stepanian"
<[REDACTED]>, "MG" <[REDACTED]>, "Rich Kahn"
<[REDACTED]>,>

Date 09/19/2014 08:45
AM
:

Subj Alloc
[C]

ect:

Classification: Confidential

Jeffrey -

EFTA01471073

You were allocated 2,000 Alibaba (BABA) at \$68.

TDS

--

Tazia Smith
Director
Key Client Partners - US

Deutsche Bank Securities, Inc.
Deutsche Asset & Wealth Management
345 Park Avenue - 26th Floor
New York, NY 10154
Tel: (212) 454-2889
Mobile: [REDACTED]
Fax: (646) 257-3131
E-mail: [REDACTED]

From: Nate Hall
Sent: 09/19/2014 03:42 AM GMT
Subject: *** PRICING DETAILS *** JOINT BOOKRUN IPO *** ALIBABA GROUP
(NYSE:
BABA) *** APPROVED FOR EXTERNAL DISTRIBUTION ***

*** APPROVED FOR EXTERNAL DISTRIBUTION ***

Issuer: Alibaba Group Holding Limited

Symbol: BABA

Deal size: 320,106,100 shares (38% primary / 62% secondary) plus 15%
greenshoe (54% primary / 46% secondary)

Offer price: \$68.00

Exchange: NYSE

Trade date: 9/18

Settlement date: 9/24

Bookrunners:

Deutsche Bank

Credit Suisse

Goldman Sachs

JPMorgan

Morgan Stanley

Citi

The issuer has filed a registration statement (including a prospectus) with the SEC for the offering to which this communication relates. Before you invest, you should read the prospectus in that registration statement and other documents the issuer has filed with the SEC for more complete information about the issuer and this offering. You may get these documents for free by visiting EDGAR on the SEC Web site, at www.sec.gov. Alternatively, the issuer, any underwriter or any dealer participating in the offering will arrange to send you the prospectus if you request it by calling toll-free 1-800-503-4611 or by mail from Deutsche Bank Securities Inc., 60 Wall Street, New York, New York 10016, or by email at prospectus.cpdg@db.com

This communication may contain confidential and/or privileged information. If you are not the intended recipient (or have received this communication in error) please notify the sender immediately and destroy this communication. Any unauthorized copying, disclosure or distribution of the material in this communication is strictly forbidden.

Deutsche Bank does not render legal or tax advice, and the information contained in this communication should not be regarded as such.

--

please note

The information contained in this communication is confidential, may be attorney-client privileged, may constitute inside information, and is intended only for

the use of the addressee. It is the property of
JEE

Unauthorized use, disclosure or copying of this
communication or any part thereof is strictly prohibited
and may be unlawful. If you have received this
communication in error, please notify us immediately by
return e-mail or by e-mail to jeevacation@gmail.com, and
destroy this communication and all copies thereof,
including all attachments. copyright -all rights reserved