

Subject: FW: Margin Rate Exception - QJ8001860 [I]
From: Vahe Stepanian <[REDACTED]>
Date: Thu, 09 Jul 2015 16:39:21 -0400
To: Paul Morris <[REDACTED]>
Cc: Daniel Sabba <[REDACTED]>, Ariane Dwyer <[REDACTED]>

Classification: For internal use only

Fyi...

From: Keith Straw
Sent: Thursday, July 09, 2015 4:37 PM
To: Vahe Stepanian
Cc: Ariane Dwyer; Daniel Sabba; Zbynek Kozelsky; George Hack
Subject: RE: Margin Rate Exception - QJ8001860 [I]

Classification: For internal use only

Approved

Keith

Keith Straw
Vice President

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
[REDACTED]

From: Vahe Stepanian
Sent: Thursday, July 09, 2015 4:35 PM
To: Keith Straw
Cc: Ariane Dwyer; Daniel Sabba; Zbynek Kozelsky

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Keith – can you please help in George’s absence? Thank you.

From: Vahe Stepanian

Sent: Thursday, July 09, 2015 4:33 PM

To: George Hack

Cc: Daniel Sabba; Ariane Dwyer; Zbynek Kozelsky

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George -

We are in the process of onboarding Leon Black (QJ8001860), a referral from the Southern Financial relationship (a/c N4G023804). Mr. Black will be wiring in \$10mm initially to begin trading, and will also be establishing an ISDA with the bank to trade OTC derivatives. The Southern Financial account listed above has already been granted a 3mL + 100bps margin exception rate – can you please approve an extension of the same rate for Mr. Black’s account?

Please let me know if you have questions.

Thank you,

Vahe

1

Vahe Stepanian

Assistant Vice President | Key Client Partners
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