

Subject: RE: SFL and Gratitude America Ltd [C] [I]  
From: Vahe Stepanian <[REDACTED]>  
Date: Wed, 07 Oct 2015 12:59:19 -0400  
To: Paul Morris <[REDACTED]>, Jj Litchford <[REDACTED]>  
Cc: Ariane Dwyer <[REDACTED]>  
Bcc: [REDACTED], [REDACTED]

Classification: For internal use only

Rich specifically asked to open another brokerage account, and he understood that there is paperwork involved in doing so. Is there an alternative you have in mind?

Thank you,

Vahe

From: Paul Morris  
Sent: Wednesday, October 07, 2015 12:55 PM  
To: Vahe Stepanian; Jj Litchford  
Cc: Ariane Dwyer  
Subject: RE: SFL and Gratitude America Ltd [C]

Vahe do we really need a full KCP account for this?

-----Original Message-----

From: Vahe Stepanian  
Sent: Wednesday, October 07, 2015 12:51 PM Eastern Standard Time  
To: Jj Litchford  
Cc: Ariane Dwyer; Paul Morris  
Subject: FW: SFL and Gratitude America Ltd [C]

Classification: Confidential

JJ - Rich Kahn just called us back. He would like to proceed with opening a Pershing account on the KCP desk for Gratitude to hold the GILD stock.

Can you please send over all of the relevant KYC docs you have on your end as it relates to this particular entity?

We would like to take a look before we send Rich a list of documentation required to open the new acct.

Thank you,  
Vahe

-----Original Message-----

From: Vahe Stepanian  
Sent: Tuesday, October 06, 2015 9:07 AM  
To: 'Richard Kahn'; Jj Litchford  
Cc: Darren Indyke; Jeanne Brennan; Ariane Dwyer  
Subject: RE: SFL and Gratitude America Ltd [C]

Classification: Confidential

Rich - adding Ari. We'll take a look this morning and be back to you.

Thanks,  
Vahe

-----Original Message-----

From: Richard Kahn [mailto: ]  
Sent: Tuesday, October 06, 2015 9:01 AM  
To: Jj Litchford; Vahe Stepanian  
Cc: Darren Indyke; Jeanne Brennan  
Subject: re: SFL and Gratitude America Ltd

Jeffrey would like to transfer 100,000 of Gilead Stock (approximately 1050-1100 shares) to Gratitude

I believe we only have checking and savings account for Gratitude at DB  
Please advise what we need to do to make this happen ASAP Thank you

Rich