

Subject: RE: rev discrepancy [C]
From: Jj Litchford <jj.litchford@db.com>
Date: Tue, 01 Dec 2015 12:45:15 -0500
To: Morgan Byrd <[REDACTED]>,
Andrew Gallivan <[REDACTED]>
Cc: Paul Morris <[REDACTED]>,
Stewart Oldfield <[REDACTED]>

Classification: Confidential

Hi Morgan--

I wanted to revisit this and make sure we are all on the same page that business booked under Ed will not be showing as assets or revenue for Paul and Stew. Attached is this sheet with the accounts that were booked under Ed Finley (who left in August) but are still showing as of the October GMIS report.

Relationships: Boies, Ellin, New Apostolic Church. See my notes attached.

Please confirm what else you need from us in order for these not to count as negative NNA.

Thank you,

JJ

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JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management
345 Park Avenue, 24th Floor
New York, New York 10154

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From: Morgan Byrd
Sent: Friday, October 23, 2015 8:33 AM
To: Jj Litchford; Andrew Gallivan
Subject: RE: rev discrepancy [C]

Classification: Confidential

JJ,

According to the report I sent you yesterday there is -\$344,824.48 in NNA for this account. I'm not sure where the -433 number is coming from. I've attached the report again with the rest of your line items hidden.

Thank you,

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Morgan Byrd
Associate

Deutsche Bank Trust Company, National Association

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5022 Gate Pkwy #400

Jacksonville, FL 32256


Securities offered through Deutsche Bank Securities Inc.

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From: Jj Litchford
Sent: Thursday, October 22, 2015 12:00 PM
To: Andrew Gallivan
Cc: Morgan Byrd
Subject: rev discrepancy [I]

Classification: For internal use only

As discussed, Howard Ellin shows as NNA of -433k but his NNA is exactly +5,000,000 (in a discretionary equity IA portfolio). The account is 451305.

Morgan—the oddity here is that -433K is almost the exact amount his account was down market value wise which obviously should never be considered NNA.

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JJ Litchford
Associate Banker

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