

Periodic Review Comments :

Temporary Waiver Information

Waiver Status:	DDR Complete
Reason for Waiver:	The DDR requires additional follow-up action/update.
Waiver Requested By:	Lucy Baglivo
Date of Waiver Request:	12/29/2009
Approver for Waiver:	Elizabeth X Hogan
Waiver Approved On:	12/29/2009

Banker Approval Information

Sponsorship affirmation -

Based on my due diligence and the information provided , I approve and accept sponsorship of Darren K Indyke ~ as a Client from inception and throughout the client relationship.

Mary C Casey 02/02/2010 09:55:25 AM

Senior Manager Approval Information

Based on the information provided and the approval granted by *Mary C Casey*, I approve the acceptance of Darren K Indyke ~ as a Client.

Marcus Sheridan 02/02/2010 11:01:35 AM

Admin Comments

Admin Comments:

By:

Date:

Admin Comments History :

Document History

Created: 12/29/2009

By: Lucy Baglivo

Last Modified: 02/02/2010

By: Marcus Sheridan

Submitted: 01/15/2010 03:57:17 PM

By: Faith B Deceglia

Audit History

7. 'Senior Manager Approval' performed by: Marcus Sheridan on: 02/02/2010 11:01:36 AM
6. 'Banker Approval' performed by: Mary C Casey on: 02/02/2010 09:55:25 AM
5. Submitted for Banker Approval SS Type = Full performed by: Faith B Deceglia on: 01/15/2010 03:57:19 PM
4. Button: 'Print' performed by: Javia S Hairston on: 12/29/2009 03:40:16 PM
3. Button: 'Approve Waiver' performed by: Elizabeth X Hogan on: 12/29/2009 02:08:14 PM
2. Button : 'Request Waiver' performed by: Lucy Baglivo on: 12/29/2009 02:05:59 PM
1. Submitted for Security Services Approval performed by: Lucy Baglivo on: 12/29/2009 02:05:40 PM

Converted Tracking Document History: