

Ticket#	102657535	Account#	Initiated Date	12/08/2011 11:53 AM
Client Name	JEEPERS, INC.	Account Title	Initiator	
ECI	0241857215	Account Type	Initiating Team	PB FRONT OFFICE
LOB	PB			

Verify & Confirm for JEEPERS, INC.	
Final Risk Rating	Moderate
Initial Risk Rating	High
GCS Report Requested	Full
Presence of Potential High Risk Indicators	No
Reason for Adjustment	Derogatory information - Jeffrey Epstein personally is flagged as High Risk due to felony conviction.
Comments	
Summary of Risk Attributes	

Risk Updated History				
Creation Date	Entered by	Updated Risk	Previous Risk	Comment

GCS Grid		
Search Type	Security Service Details	Further Review Required
Company Information	No records were found.	No
Corporate Records	No additional research necessary.	No
Other Database Searches (RDC/MIS/Factiva)	No records were found.	No
Internal Database Search (CSSS/FPS)	<p>Internal database search revealed prior GS&amp;I research for Jeffrey E Epstein and the following derogatory information was reported.</p> <p>Several newspaper articles were found that detail the indictment of Jeffrey Epstein in Florida on felony charges of soliciting underage prostitutes. Jeffrey Epstein served 13 months in jail.</p> <p>Numerous articles detail various law enforcement agencies investigating Jeffrey Epstein for allegedly participating in child trafficking and molesting underage girls. Jeffrey Epstein is required to register as a sex offender.</p> <p>Jeffrey Epstein has settled a dozen civil lawsuits out of court from his victims regarding solicitation for an undisclosed amount.</p>	Yes
State Court Searches	No additional research necessary.	No
Federal Court Searches	No additional research necessary.	No
Personal Particulars	No additional research necessary.	No
Publications	Publications revealed nothing derogatory.	No
Regulatory Sanctions	No records were found.	No
OFAC/Control List Search	No records were found.	No
Negative or Inconsistent Information		Yes

GCS Red Dot	
Has this been identified as Red Dot KYC?	No
Negative Information Found	Yes

Banker Attestation Details	
As the client sponsor, I understand that I am primarily responsible for protecting the firm's reputation from inappropriate business relationships, as well as from fraud and money laundering. By approving this KYC, I am sponsoring this client as to their character and reputation and from a legal/regulatory standpoint.	Yes
Please state your rationale for conducting business with this client	Long standing client reviewed regularly by compliance and management.
Please respond to any issue(s) identified in the GS&I grid that either needs further review, or red dot which is not already covered in a Business Case within this KYC	Research continue to reveal information on Jeffrey Epstein and felony conviction. No additional news to report.
Comments	