

Was the nature, value, and volume of actual transaction activity consistent with expected transaction activity?	Yes
Was any unusual or potentially suspicious transaction activity detected?	No
Additional Comments	N/A

Expected Activity		
Deposit Account(s)		
Transaction Types	Expected Activity Levels	Anticipated Dollar Amount Totals per Month
Cash Deposits		
Cash Withdrawals		
Check Deposits		
Checks Paid		
Incoming Wires (Domestic)		
Outgoing Wires (Domestic)		
Incoming Wires (International)		
Outgoing Wires (International)		
ACH Deposits		
ACH Payments		
Internal Transfer (Debits/Credits)	Low (1-10)	\$10,000 TO \$50,000
Asset Purchases/Sales		
<b>What is the initial/ongoing source of funding for the client's Deposit Account(s) ?</b>		
The initial source of funding will be from an internal transfer of funds from Jeff Epstein's personal account held at JPMorgan. Any ongoing source of funding will also be from internal transfer of funds from Jeff Epstein's personal account held at JPMorgan - investment proceeds from various personal investments are held in his personal account.		
<b>What is the purpose/intended use of account(s)? Please provide a detailed description of how the Deposit Account(s) will be used by the client.</b>		
The client has requested the opening of a checking account for personal investment company. This will be a flow-through account. The purpose of the account is to purchase stocks and bonds.		
<b>Review the activity for the period overall and explain how the client's transaction profile agrees with or doesn't agree with expectations for the client based on the client relationship (purpose of accounts, occupation, business activity, etc.):</b>		
The expected activity has remained low.		
<b>Was expected activity for the client modified in the transaction activity section of the KYC ticket?</b>		
No		

Additional Client Information
Please include any additional information that would further explain your knowledge of the client (family tree/history, public information, websites, etc.)

Comment History			
Stage Name	Creation Date	Entered By	Comment Preview
Regional Director Approval	12/06/2013 03:44 PM	WILLIAM M SHERIDAN	We are exiting the relationship.
CEO Approval	12/15/2013 06:59 PM	JOHN R DUFFY	approved to facilitate exit

Approval History			
Stage Name	Signoff Date	Owner SID	Owner Name
Banker Attestation	11/25/2013 06:13 PM		JUSTIN D NELSON
Market Manager Approval	12/06/2013 02:31 PM		TOWNSEND C SMITH
Regional Director Approval	12/06/2013 03:44 PM		WILLIAM M SHERIDAN
CEO Approval	12/15/2013 06:59 PM		JOHN R DUFFY