

| Trade Date | Estimated Settlement Date | Type | Description | Quantity | Per Unit Amount | Proceeds | Tax Cost | Realized Gain/Loss |
|---|---------------------------|------|------------------------------------|---------------|-----------------|-----------------------|-------------------------|------------------------|
| Pending Sales, Maturities, Redemptions | | | | | | | | |
| 7/30 | 8/4 | Sale | JPM CHASE CAPITAL XXIX 6.7% PFD | (120,500.000) | 24.702 | 2,970,584.38 | (3,012,500.00) | (41,915.62) S |
| 7/30 | 8/4 | Sale | MOLYCORP INC | (5,000.000) | 12.25 | 60,999.36 | (70,000.00) | (9,000.64) S |
| 7/30 | 8/4 | Sale | STRATEGIC HOTELS & RESORTS INC | (20,000.000) | 4.651 | 92,023.22 | (92,000.00) | 23.22 S |
| Total Pending Sales, Maturities, Redemptions | | | | | | \$3,601,960.45 | (\$3,665,100.00) | (\$63,139.55) S |

| Trade Date | Estimated Settlement Date | Type | Description | Quantity | Per Unit Amount | Market Cost |
|-------------------------------------|---------------------------|----------|--------------|------------|-----------------|--------------|
| Pending Securities Purchased | | | | | | |
| 7/29 | 8/3 | Purchase | MOLYCORP INC | 10,000.000 | 14.00 | (140,000.00) |