



FINANCIAL TRUST COMPANY INC ACCT. [REDACTED]
For the Period 10/1/11 to 10/31/11

Trade Date	Est. Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Pending Securities Purchased						
10/26	11/2	Purchase	HSBC MARKET PLUS MXN NOTE 11/2/12 LNKD TO MXN VS USD 25% BUFFER, 9.75%CPN, 100%MAXRTN 10/26/11 (ID: 4042K1-RA-3)	1,000,000.000	100.00	(1,000,000.00)
Total Pending Securities Purchased						(\$2,552,500.00)

COST ADJUSTMENTS

Settle Date	Type	Description	Quantity	Cost Basis Adjustments
10/6	Cost Basis Adj	JPMORGAN CHASE & CO 7.9% PFD STK APR 30 2049 DTD 04/23/2008 CORPORATE ACTIONS ADJUSTMENTS (ID: 46625H-HA-1)	5,000,000.000	14,930.56
10/7	Cost Basis Adj	JPMORGAN CHASE & CO 7.9% PFD STK APR 30 2049 DTD 04/23/2008 CORPORATE ACTIONS ADJUSTMENTS (ID: 46625H-HA-1)	5,000,000.000	27,430.56
Total Cost Adjustments				\$42,361.12

J.P.Morgan