



Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
12/23	Sale	ING CAP FUNDING TRST III FLOATING RATE NOTE DEC	(2,500,000.000)	80.00	2,000,000.00	(2,445,620.28)	(445,620.28) S
12/23	FIFO	29 2049 DTD 12/15/2000 ELECTED BONDS - EXP 12/20/2011 HOLDERS RECEIVE \$800.00 IN TENDER PROCEEDS, PLUS \$9.25997333 IN ACCRUED INTEREST PER \$1,000 P.A. OF BONDS HELD OF CUSIP 44978NAA3. (ID: [REDACTED])					
12/28	Sell Option	EUR PUT USD CALL FX EUROPEAN STYLE OPTION JAN	(15,296,367.110)	0.009	144,000.00	(100,000.00)	44,000.00 C
12/30	FIFO	13, 2012 @ 1.3075 KO @ 1.245 RESALE OF PURCHASED FX OPTION (ID: [REDACTED])					
Total Settled Sales/Maturities/Redemptions					\$4,104,150.30	(\$4,184,627.78)	(\$164,477.48) S \$84,000.00 C

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
12/2	Purchase	ENBRIDGE ENERGY PARTNERS L P UNIT OF LIMITED	1,250.000	30.85	(38,562.50)
12/7		PARTNERSHIP INT @ 30.85 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])			
12/1	Purchase	RETAIL OPPORTUNITY INVESTMENTS CORP. COM @	1,100.000	10.85	(11,935.00)
12/7		10.85 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])			
12/7	Purchase	BANKRATE INC @ 17.50 J.P. MORGAN SECURITIES LLC	1,575.000	17.50	(27,562.50)
12/12		(ID: [REDACTED])			
12/7	Purchase	DOLLAR GENERAL CORP @ 39.00 J.P. MORGAN	11,750.000	39.00	(458,250.00)
12/12		SECURITIES LLC (ID: [REDACTED])			