



SOUTHERN FINANCIAL, LLC ACCT. W91850003
For the Period 7/1/13 to 7/31/13

	Price	Quantity	Value	Adjusted Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
Concentrated & Other Equity							
JPMORGAN CHASE & CO 7.9% PFD STK APR 30 2049 DTD 04/23/2008 46625H-HA-1 BBB /BA1	111.07	5,000,000.000	5,553,650.00	5,404,861.12	148,788.88	395,000.00 99,845.00	7.05 %
LONG TOTAL RETURN SWAP 1,999,999.368942 USD NOTIONAL KOSPTREQ INDEX MAT MAR 20 2014 DEAL 30140386 N/O Client SWPBDR-UH-6	1.00	888,972.000	168,142.32	N/A **	N/A		
MS MARKET PLUS MRK 08/20/14 80% EKO BARRIER- 3.55%CPN ,UNCAPPED INITIAL LEVEL-02/14/13 MRK:41.19 61761J-DA-4	113.42	1,000,000.000	1,134,150.00	1,000,000.00	134,150.00		
Total Concentrated & Other Equity			\$15,026,702.32	\$14,344,927.57	\$513,632.43	\$879,125.00 \$154,982.00	5.81 %

