



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss

S indicates Short Term Realized Gain/Loss

C indicates Currency Gain/Loss

O indicates Ordinary Income Realized Gain

* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
8/28	Sale	ZIONS BANCORPORATION VAR RT 09/29/2049 DTD	(250,000.000)	100.10	250,250.00	(250,000.00)	250.00 S*
9/3	High Cost	08/13/2013 @ 100.10 JP MORGAN SECURITIES LLC (BIDL) (ID: [REDACTED])					
9/4	Sale	MEDLEY CAPITAL CORP @ 12.895385 83,820.00	(6,500.000)	12.855	83,558.54	(84,500.00)	(941.46) S
9/9	High Cost	BROKERAGE 260.00 TAX &/OR SEC 1.46 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])					
9/10	Expired Option	JPY CALL USD PUT FX EUROPEAN STYLE OPTION SEP	486,250,000.000			85,000.00	85,000.00 C
9/10	High Cost	10, 2013 @ 97.25 AT EXP KI @94.75 EXPIRATION OF WRITTEN FX OPTION (ID: [REDACTED])					
9/10	Expired Option	JPY PUT USD CALL FX EUROPEAN STYLE OPTION SEP	500,000,000.000			36,500.00	36,500.00 C
9/10	High Cost	10, 2013 @ 105. EXPIRATION OF WRITTEN FX OPTION (ID: [REDACTED])					
9/5	Sale	JARDEN CORP @ 46.95 46,950.00 BROKERAGE 40.00	(1,000.000)	46.909	46,909.18	(47,000.00)	(90.82) S
9/11	High Cost	TAX &/OR SEC .82 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])					
9/5	Sale	LINKEDIN CORP - A @ 240.00 360,000.00 BROKERAGE	(1,500.000)	239.956	359,933.73	(334,500.00)	25,433.73 S
9/11	High Cost	60.00 TAX &/OR SEC 6.27 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])					
9/10	Sell Option	JPY PUT USD CALL FX EUROPEAN STYLE OPTION SEP	(500,000,000.000)		17,438.96	(121,500.00)	(104,061.04) C
9/12	FIFO	10, 2013 @ 100. RESALE OF PURCHASED FX OPTION (ID: [REDACTED])					
9/9	Sale	ZIONS BANCORPORATION VAR RT 09/29/2049 DTD	(450,000.000)	100.05	450,225.00	(450,000.00)	225.00 S
9/12	High Cost	08/13/2013 @ 100.10 450,450.00 BROKERAGE 225.00 JP MORGAN SECURITIES LLC (BIDL) (ID: [REDACTED])					

J.P.Morgan

Account [REDACTED] Page 22 of 34 Consolidated Statement Page 25

Confidential Treatment Requested by JPMorgan Chase
CONFIDENTIAL

JPM-SDNY-00023477

SDNY_GM_00292675

EFTA01499683