



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/10 9/13	Sale High Cost	ENBRIDGE ENERGY MANAGEMENT LLC @ 28.376671 99,318.35 BROKERAGE 140.00 TAX &/OR SEC 1.73 J.P. MORGAN SECURITIES LLC (ID: 29250X-10-3)	(3,500.000)	28.336	99,176.62	(101,500.00)	(2,323.38) S
9/10 9/13	Sale High Cost	INERGY MIDSTREAM LP @ 22.178804 55,447.01 BROKERAGE 100.00 TAX &/OR SEC .97 J.P. MORGAN SECURITIES LLC (ID: 45671U-10-6)	(2,500.000)	22.138	55,346.04	(56,250.00)	(903.96) S
9/13 9/18	Sale High Cost	STRATASYS LTD @ 93.10 279,300.00 BROKERAGE 120.00 TAX &/OR SEC 4.86 J.P. MORGAN SECURITIES LLC (ID: M85548-10-1)	(3,000.000)	93.058	279,175.14	(279,000.00)	175.14 S
9/13 9/18	Sale High Cost	COMPANIA CERVECERIAS UNIDAS S A ADR @ 26.80 53,600.00 BROKERAGE 80.00 TAX &/OR SEC .94 J.P. MORGAN SECURITIES LLC (ID: 204429-10-4)	(2,000.000)	26.76	53,519.06	(52,000.00)	1,519.06 S
9/13 9/18	Sale High Cost	EVERTEC INC @ 22.80 68,400.00 BROKERAGE 120.00 TAX &/OR SEC 1.20 J.P. MORGAN SECURITIES LLC (ID: 30040P-10-3)	(3,000.000)	22.76	68,278.80	(67,500.00)	778.80 S
9/16 9/19	Sale High Cost	PETROLEOS DE VENEZUELA S 8 1/2% NOV 02 2017 DTD 10/29/2010 HELD BY EUROCLEAR ISIN USP7807HAK16 SEDOL B5SC0L3 (ID: 716550-9A-8)	(2,500,000.000)	93.50	2,337,500.00	(2,262,750.00)	40,510.02 S 34,239.98 O
9/16 9/19	Sale High Cost	CITIGROUP INC PFD 7.125% @ 25.00 1,625,000.00 BROKERAGE 2,600.00 TAX &/OR SEC 28.28 J.P. MORGAN SECURITIES LLC (ID: 172967-35-8)	(65,000.000)	24.96	1,622,371.72	(1,625,000.00)	(2,628.28) S
9/20 9/20	Principal Payment Pro Rata	SEQUOIA MORTGAGE TRUST 11 CL B1 VAR RT 12/20/2032 DTD 10/30/2002 PAYMENT A/C PRINCIPAL (ID: 81744A-AB-4)	(5,849.450)	100.00	5,849.45	(4,313.97)	1,535.48 S
9/17 9/20	Sale High Cost	ENTRY REVERSED ON 10/02/2013 CHASE MORTGAGE FINANCE CORPORATION REMIC 2007-A1 CL 2A4 2.99199% 02/25/2037 DTD 02/01/2007 @ 90.00 JP MORGAN SECURITIES LLC (BIDL) FACE VALUE 8,180,000.00 (ID: 161630-AK-4)	(1,828,512.946)	90.00	1,645,661.66	(1,618,233.96)	27,427.70 S

J.P.Morgan

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Confidential Treatment Requested by JPMorgan
Chase
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