



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]  
For the Period 9/1/13 to 9/30/13

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
<b>Settled Sales/Maturities/Redemptions</b>							
9/18 9/23	Sale High Cost	REPUBLIC OF ARGENTINA NOTES DEC 15 2035 DTD 11/01/2005 HELD BY EUROCLEAR ISIN US040114GM64 SEDOL [REDACTED] (ID: 042993-9S-6)	(7,500,000.000)	8.00	600,000.00	(1,038,750.00)	(438,750.00) L
9/18 9/23	Sale High Cost	HOMEBANC MORTGAGE TRUST 2004-1 CL 1M1 VAR RT 08/25/2029 DTD 07/30/2004 @ 60.00 JP MORGAN SECURITIES LLC (BIDL) FACE VALUE 9,551,000.00 (ID: [REDACTED])	(960,981.704)	60.00	576,589.02	(540,552.21)	36,036.81 S
9/18 9/23	Sale High Cost	JPM 3YR NC CLN TO MTOR 04/16/15 INITIAL RATE 6.20% CPN DD 04/16/12 @ 107.25 JP MORGAN SECURITIES LLC (BIDL) (ID: [REDACTED])	(1,500,000.000)	107.25	1,608,750.00	(1,500,000.00)	108,750.00 L
9/25 9/25	Principal Payment Pro Rata	COUNTRYWIDE HOME LOANS 2003-1 CL B1 5.750% 03/25/2033 DTD 01/01/2003 PAYMENT A/C PRINCIPAL (ID: [REDACTED])	(16,404.060)	100.00	16,404.06	(15,665.88)	738.18 S
9/25 9/25	Principal Payment Pro Rata	COUNTRYWIDE HOME LOANS 2003-26 CL B1 5.33684% 08/25/2033 DTD 06/01/2003 PAYMENT A/C PRINCIPAL (ID: [REDACTED])	(17,321.860)	100.00	17,321.86	(13,337.83)	3,984.03 L
9/25 9/25	Principal Payment Pro Rata	IMPAC CMB TRUST 2005-2 CL 1M2 VAR RT 04/25/2035 DTD 03/03/2005 PAYMENT A/C PRINCIPAL (ID: [REDACTED])	(18,380.760)	100.00	18,380.76	(12,820.58)	5,560.18 S
9/25 9/25	Principal Payment Pro Rata	STRUCTURED ASSET SECURITIES CO 2003-10 CL B2 6.000% 04/25/2033 DTD 03/28/2003 PAYMENT A/C PRINCIPAL (ID: [REDACTED])	(6,783.270)	128.824	8,738.46	(6,783.27)	1,955.19 S
9/20 9/26	Sale High Cost	NAVIOS MARITIME PARTNERS LP @ 14.010004 35,025.01 BROKERAGE 100.00 TAX &/OR SEC .61 J.P. MORGAN SECURITIES LLC (ID: [REDACTED])	(2,500.000)	13.97	34,924.40	(35,650.00)	(725.60) S
9/24 9/27	Sale High Cost	MS MARKET PLUS MRK 08/20/14 80% EKO BARRIER- 3.55%CPN ,UNCAPPED INITIAL LEVEL-02/14/13 MRK:41.19 @ 113.27 JP MORGAN SECURITIES LLC (BIDL) (ID: [REDACTED])	(1,000,000.000)	113.27	1,132,700.00	(1,000,000.00)	132,700.00 S

J.P.Morgan