



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/24	Sale	GS MXN STEP UP NOTE 11/22/13 LNKD TO MXN VS USD	(1,000,000.000)	104.50	1,045,000.00	(1,000,000.00)	45,000.00 S
9/27	High Cost	85%BARRIER- 5% ATM CPN-22.9%MXRTRN 11/08/12, INITIAL STRIKE: 13.11925 @ 104.50 JP MORGAN SECURITIES LLC (BIDL) (ID: 38141G-HY-3)					
Total Settled Sales/Maturities/Redemptions					\$12,434,002.46	(\$12,396,107.70)	(\$326,015.97) L \$312,231.79 S \$17,438.96 C \$34,239.98 O

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
9/4 9/9	Purchase	MEDLEY CAPITAL CORP @ 13.00 J.P. MORGAN SECURITIES LLC (ID: 58503F-10-6)	6,500.000	13.00	(84,500.00)
9/5 9/10	Purchase	JARDEN CORP @ 47.00 J.P. MORGAN SECURITIES LLC (ID: 471109-10-8)	1,000.000	47.00	(47,000.00)
9/5 9/10	Purchase	LINKEDIN CORP - A @ 223.00 J.P. MORGAN SECURITIES LLC (ID: 53578A-10-8)	1,500.000	223.00	(334,500.00)
9/10 9/13	Purchase	ENBRIDGE ENERGY MANAGEMENT LLC @ 29.00 J.P. MORGAN SECURITIES LLC (ID: 29250X-10-3)	3,500.000	29.00	(101,500.00)
9/10 9/13	Purchase	INERGY MIDSTREAM LP @ 22.50 J.P. MORGAN SECURITIES LLC (ID: 45671U-10-6)	2,500.000	22.50	(56,250.00)
9/13 9/17	Purchase	COMPANIA CERVECERIAS UNIDAS S A ADR @ 26.00 J.P. MORGAN SECURITIES LLC (ID: 204429-10-4)	2,000.000	26.00	(52,000.00)
9/13 9/18	Purchase	STRATASYS LTD @ 93.00 J.P. MORGAN SECURITIES LLC (ID: M85548-10-1)	3,000.000	93.00	(279,000.00)

J.P.Morgan