



SOUTHERN FINANCIAL, LLC ACCT. W91850003  
For the Period 9/1/13 to 9/30/13

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
<b>Settled Securities Purchased</b>					
9/13 9/18	Purchase	EVERTEC INC @ 22.50 J.P. MORGAN SECURITIES LLC (ID: 30040P-10-3)	3,000.000	22.50	(67,500.00)
9/16 9/19	Purchase	PETROLEOS DE VENEZUELA S 5% OCT 28 2015 DTD 10/28/2009 HELD BY EUROCLEAR ISIN XS0460546525 SEDOL B5BQGP8 (ID: 71668A-9B-9)	2,500,000.000	90.00	(2,250,000.00)
9/12 9/19	Purchase	CITIGROUP INC PFD 7.125% @ 25.00 J.P. MORGAN SECURITIES LLC (ID: 172967-35-8)	65,000.000	25.00	(1,625,000.00)
9/20 9/25	Purchase	NAVIOS MARITIME PARTNERS LP @ 14.26 J.P. MORGAN SECURITIES LLC (ID: Y62267-10-2)	2,500.000	14.26	(35,650.00)
9/25 9/30	Purchase	WESTAR ENERGY INC @ 31.15 J.P. MORGAN SECURITIES LLC (ID: 95709T-10-0)	2,000.000	31.15	(62,300.00)
<b>Total Settled Securities Purchased</b>					<b>(\$4,995,200.00)</b>

Trade Date Est. Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
<b>Pending Sales, Maturities, Redemptions</b>							
9/25 9/30	Sale	WESTAR ENERGY INC (ID: 95709T-10-0)	(2,000.000)	31.16	62,238.91	(62,300.00)	(61.09) S
9/27 10/2	Sale	ENDURO ROYALTY TRUST (ID: 29269K-10-0)	(5,000.000)	13.00	64,798.86	(69,250.00)	(4,451.14) S
9/27 10/2	Sale	RINGCENTRAL INC-CLASS A (ID: 76680R-20-6)	(500.000)	17.50	8,729.84	(6,500.00)	2,229.84 S
9/27 10/2	Sale	UIL HOLDINGS CORP (ID: 902748-10-2)	(2,500.000)	36.99	92,373.39	(93,125.00)	(751.61) S

J.P.Morgan