



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred In				
9/25	Receipt of Assets	FACEBOOK INC-A ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 30303M-10-2)	17,500.000 665,000.00	847,875.00
9/25	Receipt of Assets	GMAC CAPITAL TRUST I PFD STK 8 1/8% ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 361860-20-8)	80,000.000 1,882,773.60	2,144,000.00
9/25	Receipt of Assets	GENERAL ELECTRIC CO ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 369604-10-3)	23,000.000 496,109.08	559,360.00
9/25	Receipt of Assets	GENERAL ELEC CAP CORP 7.125% DEC 15 2049 DTD 06/12/2012 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 369622-SN-6)	2,000,000.000 2,122,916.67	2,193,080.00
9/25	Receipt of Assets	GENERAL ELEC CAP CORP 5.250% 12/31/2049 DTD 05/29/2013 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 369622-ST-3)	1,400,000.000 1,400,000.00	1,298,696.00
9/25	Receipt of Assets	GENERAL MOTORS CO CV PFD B ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 37045V-20-9)	71,000.000 3,585,969.22	3,591,180.00
9/25	Receipt of Assets	HEADWATERS INC 7.625 04/01/2019 DTD 03/11/2011 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 42210P-AQ-5)	1,000,000.000 1,035,000.00	1,055,000.00
9/25	Receipt of Assets	JPMORGAN CHASE & CO 7.9% PFD STK APR 30 2049 DTD 04/23/2008 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 46625H-HA-1)	5,000,000.000 5,498,006.95	5,519,050.00

J.P.Morgan