



JPMorgan Chase Bank, N.A.  
270 Park Avenue, New York, NY 10017-2014

DARREN K. INDYKE IRA ROLLOVER ACCT [REDACTED]  
For the Period 9/1/13 to 9/30/13

## Asset Account

### J.P. Morgan Team

William Sheridan	Banker	[REDACTED]
Justin Nelson	Banker	[REDACTED]
Paul Barrett	Investment Specialist	[REDACTED]
Janet Young	Client Service Team	800/634-1318
Gina Magliocco	Client Service Team	
Jason Grosse	Client Service Team	
<b>Online access</b>	<b>www.jpmorganonline.com</b>	

### Table of Contents

	Page
Account Summary	2
Holdings	
Cash & Fixed Income	4
Portfolio Activity	6

### Client News

#### J.P. Morgan Securities LLC's (JPMS LLC) and J.P. Morgan Clearing Corp.'s (JPMCC) Net Capital and Net Capital Requirements

At June 30, 2013, JPMS LLC's net capital of \$13.8 billion exceeded the minimum regulatory net capital requirement of \$1.8 billion by \$12.0 billion. JPMCC's net capital of \$6.7 billion was approximately 7.9% of aggregate debit items and exceeded the minimum regulatory net capital requirement of \$1.7 billion by \$5.0 billion.

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s). Complete copies of the JPMS LLC's and JPMCC's individual unaudited Statement of Financial Condition may be obtained, at no cost, by accessing:

<http://investor.shareholder.com/jpmorganchase/financial-condition.cfm>

Additionally, you may call 1-866-576-1300 to request a hard copy of the statement.

J.P.Morgan

0000013201.15.0.15.00001.INDYK00.20131002

Page 1 of 6

Confidential Treatment Requested by JPMorgan Chase  
CONFIDENTIAL

JPM-SDNY-00029164

SDNY\_GM\_00298362

EFTA01504320