



GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]
For the Period 1/1/13 to 1/31/13

TRADE ACTIVITY

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
1/29 1/30	Purchase	JPM STR INC OPP FD FUND 3844 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11.95 (ID: 4812A4-35-1)	3,015.900	11.95	(36,040.00)
1/29 1/30	Purchase	JPM TR I MLT SC INCM SL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10.26 (ID: 48121A-29-0)	9,246.101	10.26	(94,865.00)
1/29 1/30	Purchase	PIMCO EMERGING MARKETS CORPORATE BOND FUND (ID: 72201W-85-7)	2,473.350	12.27	(30,348.00)
1/29 1/30	Purchase	SIT MUT FDS INC DIVIDEND GRWTH (ID: 82980D-70-7)	2,333.831	15.40	(35,941.00)
1/29 1/30	Purchase	PRUDENTIAL TOTAL RETURN BD F CL Z (ID: 74440B-40-5)	5,318.176	14.58	(77,539.00)
1/29 1/30	Purchase	MANAGED PORTFOLIO SER TORTOIS INSTL (ID: 56166Y-40-4)	2,296.774	13.33	(30,616.00)
1/29 1/30	Purchase	RIDGEWORTH FDS SEIX FLRT HI I (ID: 76628T-67-8)	6,502.655	9.04	(58,784.00)
1/29 1/30	Purchase	GATEWAY FUND - Y (ID: 367829-88-4)	2,379.985	27.58	(65,640.00)
1/29 1/30	Purchase	NUVEEN INVT FDS INC GLOB INFRAST I (ID: 670690-51-0)	2,025.302	9.92	(20,091.00)
1/29 1/30	Purchase	MATTHEWS ASIA DIVIDEND INSTITUTIONAL (ID: 577130-75-0)	2,156.431	14.85	(32,023.00)
1/29 1/30	Purchase	EATON VANCE FLOATING-RATE ADVANTAGE I (ID: 277923-63-7)	7,524.150	11.18	(84,120.00)
1/29 1/30	Purchase	T. ROWE PRICE INSTL INCOME FDS FLTG RATE FD F (ID: 77958B-10-5)	5,394.060	10.27	(55,397.00)

