



GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred Out				
2/26	Free Delivery	HARBOR HIGH YIELD BOND-INST TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 411511-55-3)	(2,660.657) 29,905.78	(29,453.47)
2/26	Free Delivery	HARBOR CONVERTIBLE SEC-INST TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 411512-73-4)	(2,833.314) 29,272.00	(32,101.45)
2/26	Free Delivery	CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 52469H-59-4)	(2,339.801) 41,251.00	(43,262.92)
2/26	Free Delivery	TORTOISE MLP & PIPELINE-INS TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 56166Y-40-4)	(2,296.774) 30,616.00	(37,598.19)
2/26	Free Delivery	MATTHEWS ASIA DIVIDEND-INS TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 577130-75-0)	(2,638.382) 39,286.00	(39,734.03)
2/26	Free Delivery	METROPOLITAN WEST FDS TOTAL RET CL I TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 592905-50-9)	(7,192.344) 77,030.00	(76,886.16)
2/26	Free Delivery	RIDGEWORTH SEIX FLOATING-I TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 76628T-67-8)	(6,502.655) 58,784.00	(58,979.08)
Total Securities Transferred Out				(\$874,442.33)