



GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/14 to 4/30/14

Notes: \* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
<b>Securities Transferred Out</b>				
4/2	Free Delivery	HSBC FINANCE CORP MEDIUM TERM NOTE 2.9% OCT 15 2015 DTD 10/15/2010 TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 40429X-YB-6)	(100,000.000) 100,000.00	(102,538.00)
4/2	Free Delivery	JPMORGAN CHASE & CO VAR RT PFD STK 04/30/2049 DTD 04/23/2008 TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 46625H-HA-1)	(150,000.000) 157,909.17	(167,947.50)
4/2	Free Delivery	JPM CHASE CAPITAL XXIX 6.7% PFD TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 48125E-20-7)	(2,500.000) 62,500.00	(64,475.00)
4/2	Free Delivery	MA ST HLTH & EDUCTNL FACS AUTH REVENUE HARVARD UNIV 5% B JUL 15 2032 DTD 08/03/2005 HELD BY DTC BOOK ENTRY ONLY PAR CALL 07/15/2015 @ 100 TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 57586C-FW-0)	(50,000.000) 51,475.19	(52,519.50)
4/2	Free Delivery	MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD 5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK ENTRY ONLY PAR CALL 10/01/2016 @ 100 TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 59455T-PF-3)	(50,000.000) 52,883.12	(54,802.50)
4/2	Free Delivery	SPDR S&P 500 ETF TRUST TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 78462F-10-3)	(1,495.000) 250,407.85	(281,433.75)
4/2	Free Delivery	SPDR GOLD TRUST TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 78463V-10-7)	(190.000) 31,931.40	(23,444.10)

J.P.Morgan

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