

GHISLAINE MAXWELL
1000 VENETIAN WAY APT 801
MIAMI FL 33139-1043

For the Period 10/1/13 to 10/31/13

Account Summary

Account
Number

Investment Account(s)

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value



Beginning Net

Market Value

5,186,365.84

430.00

1,851,249.95

1,011,717.77

993,184.92

\$9,042,948.48

Ending Net

Market Value

5,218,128.72

430.00

1,917,781.95

1,027,149.23

999,650.02

\$9,163,139.92

This account summary is provided for informational purposes and includes assets at different entities.

(1) Assets held at JPMorgan Chase Bank, N.A., member Federal Deposit Insurance Corporation ("FDIC"), except for exchange-listed options, which are held at JPMorgan Clearing Corporation ("JPMCC"). The Asset Account Statement reflects brokerage transactions executed through J.P. Morgan Securities LLC ("JPMS"), see "Portfolio Activity Detail". Equity securities, fixed income securities, and listed options transactions are generally cleared through JPMCC, a wholly owned subsidiary of JPMS. Please see "Additional Information About Your Accounts" at the end of the Asset Account Statement.

(2) Assets held in Margin Account at JPMCC, member Financial Regulatory Authority ("FINRA") and Securities Insurance Protection Corporation ("SIPC"). The Margin Account Statement reflects brokerage transactions executed by JPMS, see "Portfolio Activity Detail". Such transactions are cleared and carried through JPMCC. Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

Change
In Value
31,762.88
0.00
66,532.00
15,431.46
6,465.10
\$120,191.44

Start on
Page

4
35
39
52
67

Client News

If you plan to make gifts of securities this year, please notify your Client Service Representative before December 1, 2013. This will allow us to process your request before year-end and meet the deadline for tax filing purposes.

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Consolidated Statement Page 1

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For the Period 10/1/13 to 10/31/13

Consolidated Summary

INVESTMENT ACCOUNTS

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

2,949,585.43

632,005.08

5,283,906.66

158,652.35

\$9,024,149.52

18,798.96

\$9,042,948.48

Ending

Market Value

3,038,569.66

680,268.99

5,273,033.37

158,958.51

\$9,150,830.53

12,309.39

\$9,163,139.92

Change

In Value

88,984.23

48,263.91

(10,873.29)

306.16

\$126,681.01

(6,489.57)

\$120,191.44

\$201,912.00

Estimated

121,359.28

14,033.97

66,518.75

Current

Annual Income Allocation

33%

7%

58%

2%

100%

Equity

Alternative
Assets
Asset Allocation
Other
Cash &
Fixed Income
Current
Portfolio Activity
Beginning Market Value
Net Contributions/Withdrawals
Income & Distributions
Change in Investment Value
Ending Market Value

Accruals
Market Value with Accruals

Period Value

9,024,149.52

(8,929.91)

26,110.14

109,500.78

\$9,150,830.53

12,309.39

\$9,163,139.92

Year-to-Date

Value

8,349,688.16

(306,947.05)

73,387.23

1,034,702.19

\$9,150,830.53

12,309.39

\$9,163,139.92

This Consolidated Summary shows all of your investments at J.P. Morgan other than investments we hold in trust for you. These investments may be held in custody or investment

management account at JPMorgan Chase Bank, N.A. (the "Bank") or in a brokerage or margin account at J.P. Morgan Clearing Corp. ("JPMCC").

Brokerage and margin accounts are

non-discretionary and all investment decisions are made by the client. J.P. Morgan Securities LLC ("JPMS") does not provide advice on asset allocation or investment management

services, nor do its personnel take discretion over any client accounts.

Such advice and services are provided exclusively by the Bank.

Consolidated Statement Page 2

For the Period 10/1/13 to 10/31/13

Consolidated Summary

INVESTMENT ACCOUNT(S) YEAR-TO-DATE

Portfolio Activity

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value

Tax Summary

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

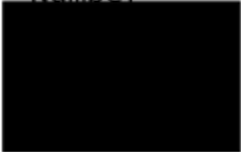
GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value

Account

Number



Account

Number

052167006



Beginning

Market Value

8,349,258.16

430.00

0.00

0.00

0.00

\$8,349,688.16

Taxable

Income

(20,119.51)

46,525.71

24,104.55

14,707.32

\$65,218.07

Net Contributions/

Withdrawals

(4,031,823.69)

1,733,663.15

995,069.57

996,143.92

(\$306,947.05)

Tax-Exempt

Income

5,895.16

1,108.27

1,165.73

\$8,169.16

¹Unrealized Gain/Loss represents data from the time of account inception to the current statement period.

(21,672.27)

(5,497.85)

(4,262.78)

(\$31,432.90)

Other Income

& Receipts

Income &

Distributions

(14,224.35)

46,525.71

25,212.82

15,873.05

\$73,387.23

Change in

Investment Value

906,689.55

134,318.12

6,643.50

(12,948.98)

\$1,034,702.19

Realized Gain/Loss

Short-term

Long-term

(70,239.07)

4.44

30.78

(\$70,203.85)

Ending Market Value

with Accruals

5,218,128.72

430.00

1,917,781.95

1,027,149.23

999,650.02

\$9,163,139.92

Unrealized

Gain/Loss¹

48,092.78

155,985.95

12,110.57

(8,686.20)

\$207,503.10

CONTINUED

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Asset Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Other Assets

Portfolio Activity

Page

2

5

8

9

14

16

Account [REDACTED] Page 1 of 31

Consolidated Statement Page 4

GHISLAINE MAXWELL

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

969,621.57

63,386.38

3,980,583.81

158,652.35

\$5,172,244.11

14,121.73

\$5,186,365.84

Ending

Market Value

986,618.54

63,084.55

4,001,238.07

158,958.51

\$5,209,899.67

8,229.05

\$5,218,128.72

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(248.53)

1,607,746.17

(1,607,497.61)

\$0.03

17,145.01

20,510.52

\$5,209,899.67

8,229.05

\$5,218,128.72

Period Value

5,172,244.11
Change
In Value
16,996.97
(301.83)
20,654.26
306.16
\$37,655.56
(5,892.68)
\$31,762.88
Year-to-Date
Value
8,349,258.16
849,480.50
(4,002,239.16)
13,360,849.93
(14,239,914.96)
(\$4,031,823.69)
(14,224.35)
906,689.55
\$5,209,899.67
8,229.05
\$5,218,128.72
Estimated
50,794.36
21,388.24
\$72,182.60
Current
Annual Income Allocation
21%
1%
75%
3%
100%
Cash &
Fixed Income
Equity
Other
Alternative
Assets
Asset Allocation
ACCT [REDACTED]
For the Period 10/1/13 to 10/31/13
Account [REDACTED] Page 2 of 31
Consolidated Statement Page 5

GHISLAINE MAXWELL

Account Summary

Tax Summary

Domestic Dividends/Distributions

Foreign Dividends

Currency Gain/Loss

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

7,177.74

1,575.88

7,689.48

\$16,443.10

1,250.00

(548.09)

\$701.91

Year-to-Date

Value

14,977.20

9,766.51

(107,915.84)

63,052.62

(\$20,119.51)

10,000.00

(4,104.84)

\$5,895.16

Unrealized Gain/Loss

To-Date Value

\$48,092.78

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

Year-to-Date

Value

(70,239.07)

(\$70,239.07)

ACCT. ██████████

For the Period 10/1/13 to 10/31/13

Note: * This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax implications.

¹ Other category combines all the remaining Tax Exempt Income generated by

securities in the account which may not have a state affiliation.

Tax-Exempt Income

Summary by State*

MA

MI

Current

Period Value

701.91

Year-to-Date

Value

1,410.07

1,410.85

Tax-Exempt Income

Summary by State*

TX

Tax-Exempt Income

\$701.91

Current

Period Value

Year-to-Date

Value

3,074.24

\$5,895.16

Account [REDACTED] Page 3 of 31

Consolidated Statement Page 6

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Account Summary
Cost Summary
Equity
Cash & Fixed Income
Other
Total

CONTINUED

Cost
944,362.24
3,984,388.10
92,027.29
\$5,020,777.63

Account Q52167006 Page 4 of 31
Consolidated Statement Page 7

GHISLAINE MAXWELL

Equity Summary

Asset Categories

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Preferred Stocks

Concentrated & Other Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Beginning

Market Value

299,641.71

10,050.00

146.86

444,174.00

215,609.00

\$969,621.57

Ending

Market Value

363,803.33

11,850.00

152.21

444,460.00

166,353.00

\$986,618.54

Current

Period Value

986,618.54

944,362.24

42,256.30

50,794.36

1,892.93

5.13%

US Large Cap Equity

Equity as a percentage of your portfolio - 21 %

Change

In Value

64,161.62

1,800.00

5.35

286.00

(49,256.00)

\$16,996.97

Current

Allocation

7%

1%

1%

9%

3%

21%

Preferred Stocks

US Small/Mid Cap Equity

Non-US Equity

Concentrated & Other Equity

Asset Categories

ACCT [REDACTED]

For the Period 10/1/13 to 10/31/13

Account Q52167006 Page 5 of 31

Consolidated Statement Page 8

GHISLAINE MAXWELL

ACCT. ██████████

For the Period 10/1/13 to 10/31/13

Equity Detail

Price

US Large Cap Equity

APACHE CORP

037411-10-5 APA

BANK OF AMERICA CORP

CITIGROUP INC NEW

GENERAL MOTORS CO

060505-10-4 BAC

48.78

172967-42-4 C

51.32

CV PFD B

37045V-20-9 GM PB

SCHLUMBERGER LTD

SPDR S&P 500 ETF TRUST

Total US Large Cap Equity

806857-10-8 SLB

175.79

78462F-10-3 SPY

\$363,803.33

\$345,532.56

\$18,270.77

\$7,716.86

\$22.58

US Small/Mid Cap Equity

GERON CORP

374163-10-3 GERN

2.12%

1,495.000

262,806.05

250,407.85

12,398.20

5,072.53

1.93%

93.72

113.000

10,590.36

9,524.04

1,066.32

141.25

1.33%

1,000.000

51,320.00

50,000.00

1,320.00

358.000

17,463.24

14,048.52
3,414.72
14.32
3.58
2,375.00
0.08%
4.63%
13.97
944.000
13,187.68
10,148.85
3,038.83
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
88.80
95.000
8,436.00
11,403.30
(2,967.30)
76.00
19.00
37.76
0.90%
0.29%
3.95
3,000.000
11,850.00
18,129.32
(6,279.32)

Account Q52167006 Page 6 of 31
Consolidated Statement Page 9

GHISLAINE MAXWELL ACCT [REDACTED]
For the Period 10/1/13 to 10/31/13
Price
Non-US Equity
RIO TINTO PLC
ISIN GB0007188757 SEDOL 0718875
766994-90-9 BP
Preferred Stocks
CITIGROUP CAPITAL XIII
7 7/8% PFD
173080-20-1 C PN NA /BA1
COUNTRYWIDE CAPITAL V
7% PFD
222388-20-9 CFC PB
JPM CHASE CAPITAL XXIX
6.7% PFD
48125E-20-7 JPM PC
Total Preferred Stocks
25.70
2,500.000
64,250.00
\$444,460.00
62,500.00
\$422,578.96
1,750.00
\$21,881.04
4,187.50
\$31,227.50
\$1,837.50
Concentrated & Other Equity
JPMORGAN CHASE & CO
VAR RT PFD STK 04/30/2049
DTD 04/23/2008
46625H-HA-1 BBB /BA1
6.52%
7.03%
25.05
4,200.000
105,210.00
98,778.96
6,431.04
7,350.00
1,837.50
6.99%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.

Accrued Div.

Yield

50.74

3.000

152.21

212.23

(60.02)

27.50

10,000.000

275,000.00

261,300.00

13,700.00

19,690.00

7.16%

110.90

150,000.000

166,353.00

157,909.17

8,443.83

11,850.00

32.85

7.06%

Account [REDACTED] Page 7 of 31

Consolidated Statement Page 10

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Alternative Assets Summary

Beginning

Asset Categories

Hard Assets

Estimated Value

63,386.38

Alternative Assets Detail

Price

Hard Assets

ETFS METAL SECURITIES LTD

ISIN JE00B1VS3770 SEDOL B1VS377

131994-91-5

SPDR GOLD TRUST

Total Hard Assets

78463V-10-7 GLD

\$63,084.55

\$76,999.78

127.74

190.000

24,270.60

31,931.40

128.95

301.000

38,813.95

45,068.38

Quantity

Estimated

Value

Cost

Ending

Estimated Value

63,084.55

Change

In Value

(301.83)

Current

Allocation

1%

Account [REDACTED] Page 8 of 31

Consolidated Statement Page 11

GHISLAINE MAXWELL ACCT [REDACTED]

For the Period 10/1/13 to 10/31/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Non-USD Cash

Non-USD Short Term

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

Market Value

2,740,074.68

132,277.29

319,883.17

626,655.93

161,692.74

\$3,980,583.81

Ending

Market Value

2,755,978.68

135,516.25

320,822.19

627,441.39

161,479.56

\$4,001,238.07

Current

Period Value

4,001,238.07

3,984,388.10

16,849.97

21,388.24

6,336.12

0.41%

US Fixed Income

Change

In Value

15,904.00

3,238.96

939.02

785.46

(213.18)

\$20,654.26

Current

Allocation

51%

3%

6%

12%

3%

75%

Non-USD Short Term

Non-US Fixed Income

Non-USD Cash

Cash

Asset Categories

Cash & Fixed Income as a percentage of your portfolio - 75 %

Account Q52167006 Page 9 of 31

Consolidated Statement Page 12

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
SUMMARY BY MATURITY

1
Cash & Fixed Income
0-6 months
1-5 years¹
10+ years¹
Total Value
1

SUMMARY BY TYPE

Market
Value
3,474,647.07
310,483.50
216,107.50
\$4,001,238.07
% of Bond
Portfolio
88%
7%
5%
100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

A - Bonds purchased at a premium show amortization.

1
Cash & Fixed Income Detail
Price
Cash
US DOLLAR
JPM TR I NY MUNI MM FD - MORGAN
FUND 3

7-Day Annualized Yield: .01%

Total Cash
1.00
1.00
2,755,977.68
1.00
2,755,977.68
1.00
\$2,755,978.68
2,755,977.68
1.00
\$2,755,978.68
\$0.00
\$275.59
\$22.94
0.01%

275.59
22.94
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
Cash & Fixed Income
Cash
NON USD Cash
Corporate Bonds
Municipal Bonds
International Bonds
Mutual Funds
Total Value
Market
Value
2,755,978.68
135,516.25
310,483.50
216,107.50
561,495.33
21,656.81
\$4,001,238.07
% of Bond
Portfolio
70%
3%
7%
5%
14%
1%
100%

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Account [REDACTED] Page 10 of 31
Consolidated Statement Page 13

GHISLAINE MAXWELL ACCT [REDACTED] 6
For the Period 10/1/13 to 10/31/13

Price

Non-USD Cash

AUSTRALIAN DOLLAR

POUND STERLING

HONG KONG DOLLAR

TURKISH LIRA NEW

PRINCIPAL CURRENCY

Total Non-USD Cash

0.95

1.61

0.13

0.50

100.00

9,544.49

950.00

239,000.00

94.69

15,334.17

122.53

119,964.86

\$135,516.25

103.25

15,050.86

122.44

124,045.53

\$139,322.08

(8.56)

283.31

0.09

(4,080.67)

(\$3,805.83)

\$0.00

0.00%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Non-USD Short Term

JP MORGAN CHASE BANK - CAD

95.89

LONDON TIME DEPOSITS 0.6200%

DATED 10/30/2013 MATURITY 11/06/2013

HELD BY LONDON TREASURY SERVICES

Bearer

2537L9-9L-2 AD
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 0.9000%
DATED 10/30/2013 MATURITY 11/06/2013
HELD BY LONDON TREASURY SERVICES

Bearer

2537L9-9V-0 OK

Total Non-USD Short Term

\$320,822.19

\$324,019.75

(\$3,197.56)

\$2,575.10

\$14.25

0.83%

16.81

1,244,815.97

209,291.90

210,116.64

(824.74)

1,883.62

10.46

0.94%

116,309.36

111,530.29

113,903.11

(2,372.82)

691.48

3.79

0.63%

Account [REDACTED] Page 11 of 31

Consolidated Statement Page 14

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

US Fixed Income

JPM MANAGED RESERVES FD - GBP-C-DIST

ISIN LU0539309053

051398-91-5 BP

ISHARES GBP CORPORATE BOND UCITS ETF

ISIN IE00B00FV011 SEDOL B00FV01

461278-91-3 BP

HSBC FINANCE CORP

MEDIUM TERM NOTE 2.9% OCT 15 2015

DTD 10/15/2010

40429X-YB-6 A /BAA

GENERAL ELEC CAP CORP

MEDIUM TERM FLOATING RATE NOTE

MAY 11 2016 DTD 05/11/2007

36962G-2V-5 AA+ /A1

BANK OF AMERICA CORP

MEDIUM TERM NOTE

3.6% NOV 15 2016

DTD 11/04/2010

06050W-DW-2 A- /BAA

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

110.31

50,000.00

55,152.50

53,348.92

55,424.00

1,803.58

2,500.00

208.30

3.97%

105.27

150,000.00

157,911.00

150,000.00

7,911.00

5,400.00

2,490.00

1.81%

99.41

50,000.00

49,704.50

45,394.50

4,310.00
237.55
54.10
0.71%
102.87
100,000.00
102,868.00
100,000.00
2,868.00
2,900.00
128.80
1.41%
206.26
105.00
21,656.81
19,023.08
2,633.73
10,235.70
7.74
79,193.58
81,082.31
(1,888.73)
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL

ACCT [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

US Fixed Income

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

A MA ST HLTH & EDUCTNL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$627,441.39

\$607,562.27

\$615,466.89

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 BP

ISHARES GBP INDEX-LINKED GILTS

UCITS ETF

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 BP

Total Non-US Fixed Income

\$161,479.56

\$157,505.32

\$3,974.24

\$0.00

\$1,626.13

0.00%

21.20

5,790.00

122,742.71

118,212.32

4,530.39

1,626.13

206.16

187.90

38,736.85

39,293.00

(556.15)

\$19,879.12

\$18,537.55

\$4,672.80

2.21%

104.57
50,000.00
52,283.00
51,945.91
54,040.00
337.09
2,500.00
736.10
4.63%
108.67
100,000.00
108,672.00
106,767.55
110,503.00
1,904.45
5,000.00
1,055.50
4.22%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account XXXXXXXXXX Page 13 of 31

Consolidated Statement Page 16

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13

Other Summary
Asset Categories

Balanced
Beginning
Estimated Value
158,652.35

Ending
Estimated Value
158,958.51

Change
In Value
306.16

Current
Allocation
3%

Current
Market Value/Cost
Estimated Value

Tax Cost
Estimated Gain/Loss

Note:

**

Period Value
158,958.51
92,027.29
2,901.74

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position. Please contact your J.P. Morgan team for additional information.

Other Detail

Price
Balanced
JPM INC OPP FD - GBP - A - DIST(HDG)

ISIN LU0323456201

287991-93-9 BP

145.90

450.272

65,692.59

1,663.11 **

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

Account [REDACTED] Page 14 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Price
Balanced
PIMCO FUNDS GLOBAL INVESTORS SERIES
- GLOBAL INVESTMENT GRADE CREDIT
FUND
ISIN IE00B3BMD843 SEDOL B3BMD84
695421-91-7 BP
Total Balanced
\$158,958.51
\$92,027.29
\$2,901.74
21.38
4,361.513
93,265.92
90,364.18
2,901.74
Quantity
Value
Adjusted Cost
Original Cost
Unrealized
Gain/Loss
Accruals

Account [REDACTED] Page 15 of 31
Consolidated Statement Page 18

GHISLAINE MAXWELL ACCT [REDACTED]
For the Period 10/1/13 to 10/31/13
Portfolio Activity Summary - U S Dollar
Beginning Cash Balance
PRINCIPAL

Current
Transactions
INFLOWS
Income
Contributions
Foreign Exchange - Inflows

Total Inflows
OUTFLOWS **
Total Outflows

Withdrawals
\$0.00

TRADE ACTIVITY
Settled Sales/Maturities/Redemptions
Settled Securities Purchased
Total Trade Activity
Ending Cash Balance

\$0.00
\$2,755,977.68
17,190.60
(250,407.85)
(\$233,217.25)
-\$0.00
\$0.00
\$0.00
-\$15,904.00

Period
Value
2,740,073.68
15,904.00
Year-To-Date
Value*
-74,446.28
849,480.50
204,459.12
\$1,128,385.90
(4,000,000.00)
(\$4,000,000.00)
\$0.00

\$0.00
INCOME
Current
Period
Value
0.00
Year-To-Date
Value*

-\$0.00

\$0.00

Current

Cost

Adjustments

Amortization

Total Cost Adjustments

Period Value

(548.09)

(\$548.09)

Year-To-Date

Value*

(4,104.84)

(\$4,104.84)

Account [REDACTED] Page 16 of 31

Consolidated Statement Page 19

GHISLAINE MAXWELL ACCT. Q52167006

For the Period 10/1/13 to 10/31/13

Portfolio Activity Summary - U S Dollar

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - U S Dollar

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/1

Municipal Interest

Description

MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD

5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK

ENTRY ONLY PAR CALL 10/01/2016 @ 100

(ID: 59455T-PF-3)

10/1

10/2

10/11

10/15

10/30

10/30

10/31

Interest Income

Interest Income

Foreign Dividend

Corporate Interest

Div Domestic

Interest Income

Div Domestic

Total Inflows & Outflows

Account Q52167006 Page 17 of 31

DEPOSIT SWEEP INTEREST FOR 09/01/13 - 09/30/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$2,740,025.91 AS OF 10/01/13

JPM CHASE CAPITAL XXIX 6.7% PFD @ 0.41875 PER

SHARE (ID: 48125E-20-7)

SCHLUMBERGER LTD @ 0.3125 PER SHARE

(ID: 806857-10-8)

HSBC FINANCE CORP MEDIUM TERM NOTE 2.9% OCT 15

2015 DTD 10/15/2010 (ID: 40429X-YB-6)

JPMORGAN CHASE & CO VAR RT PFD STK 04/30/2049

DTD 04/23/2008 (ID: 46625H-HA-1)

CITIGROUP CAPITAL XIII 7 7/8% PFD @ 0.492187

PER SHARE (ID: 173080-20-1)

SPDR S&P 500 ETF TRUST @ 0.83795 PER SHARE

(ID: 78462F-10-3)

2,500.000

113.000

100,000.000

150,000.000

10,000.000

1,495.000

0.419

0.312

0.015

0.04

0.492

0.838

1,046.88

35.31

1,450.00

5,925.00

4,921.87

1,252.74

\$15,904.00

Consolidated Statement Page 20

22.20

Quantity

Cost

50,000.000

Per Unit

Amount

0.025

PRINCIPAL

Amount

1,250.00

INCOME

Amount

GHISLAINE MAXWELL ACCT [REDACTED]
For the Period 10/1/13 to 10/31/13

COST ADJUSTMENTS

Settle Date

10/1

Type

Amortization

Description

MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD
5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK
ENTRY ONLY PAR CALL 10/01/2016 @ 100 MUNICIPAL
BOND PREMIUM AMORTIZATIO (ID: 59455T-PF-3)

Quantity

50,000.000

Cost Basis

Adjustments

(548.09)

Account [REDACTED] 6 Page 18 of 31

Consolidated Statement Page 21

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Portfolio Activity Summary - Canadian Dollar
PRINCIPAL

US Dollar Value
Current
Transactions
Beginning Cash Balance

INFLOWS
Total Inflows
Income

OUTFLOWS **
Total Outflows
Withdrawals

Ending Cash Balance
Period Value
-65.51
\$65.51
(65.51)
(\$65.51)

-Year-To-Date
Value*
-572.70
\$572.70
(572.70)
(\$572.70)

-Local
Value
Current
Period Value
0.00
67.82
67.82
(67.82)
(67.82)

INCOME
US Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-587.01
587.01
(587.01)
(587.01)
-Account

Page 19 of 31
Consolidated Statement Page 22

GHISLAINE MAXWELL ACCT. C [REDACTED]
For the Period 10/1/13 to 10/31/13
Portfolio Activity Summary - Canadian Dollar
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

561,740.35

(561,674.81)

Year-To-Date

Value*

4,412,785.59

(4,867,076.81)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/2

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 09/25/2013 MATURITY 10/02/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2537A9-9S-9)

10/2

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 10/02/2013 MATURITY 10/09/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2537C9-9P-3)

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(12.880)

(12.47)

(12.88)

Account Q52167006 Page 20 of 31

Consolidated Statement Page 23

(12.880)

116,254.420

(12.47)

(12.88)

12.47
12.88
Quantity
116,241.540
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
12.47
12.88
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
INFLOWS & OUTFLOWS
Per Unit

Type
Settle Date Selection Method
10/16

Foreign Interest
Description
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/09/2013 MATURITY 10/16/2013
HELD BY LONDON TREASURY SERVICES
(ID: 2537E9-9H-9)

10/16

10/23

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR
(ID: 0CADPR-AA-6)

Foreign Interest
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/16/2013 MATURITY 10/23/2013
HELD BY LONDON TREASURY SERVICES
(ID: 2537G9-9D-6)

10/23

10/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR
(ID: 0CADPR-AA-6)

Foreign Interest
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/23/2013 MATURITY 10/30/2013
HELD BY LONDON TREASURY SERVICES
(ID: 2537J9-9C-5)

10/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR
(ID: 0CADPR-AA-6)

Total Inflows & Outflows
(14.020)

(13.42)

(14.02)

\$0.00

(14.020)

116,295.340

(13.63)

(14.02)

13.42

14.02

(14.020)

116,281.320

(13.52)

(14.02)

13.63

14.02

Quantity

116,267.300

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

13.52

14.02

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Account 452107006 Page 21 of 31

Consolidated Statement Page 24

GHISLAINE MAXWELL ACCT. C [REDACTED]
For the Period 10/1/13 to 10/31/13
SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

10/2

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/02/2013 MATURITY 10/09/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537C9-9P-3)

10/9

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/09/2013 MATURITY 10/16/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537E9-9H-9)

10/16

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/16/2013 MATURITY 10/23/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537G9-9D-6)

10/23

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/23/2013 MATURITY 10/30/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537J9-9C-5)

10/30

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/30/2013 MATURITY 11/06/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537L9-9L-2)

Total Securities Transferred In

\$561,740.35

116,309.360

113,903.11

111,338.11

116,295.340

113,889.69

113,072.77

116,281.320

113,876.06

112,148.64

116,267.300

113,862.54

112,591.20

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

116,254.420

113,850.07

Transaction

Market Value *

112,589.62

Account [REDACTED] Page 22 of 31

Consolidated Statement Page 25

GHISLAINE MAXWELL ACCT [REDACTED]
For the Period 10/1/13 to 10/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

10/2

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 09/25/2013 MATURITY 10/02/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537A9-9S-9)

10/9

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/02/2013 MATURITY 10/09/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537C9-9P-3)

10/16

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/09/2013 MATURITY 10/16/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537E9-9H-9)

10/23

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/16/2013 MATURITY 10/23/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537G9-9D-6)

10/30

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 10/23/2013 MATURITY 10/30/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537J9-9C-5)

Total Securities Transferred Out

(\$561,674.81)

(116,295.340)

113,889.69

(111,324.69)

(116,281.320)

113,876.06

(113,059.13)

(116,267.300)

113,862.54

(112,135.12)

(116,254.420)

113,850.07

(112,578.72)

Quantity

Cost

(116,241.540)

113,837.60

Transaction

Market Value *

(112,577.15)

Account [REDACTED] Page 23 of 31

Consolidated Statement Page 26

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Portfolio Activity Summary - Norwegian Krone
PRINCIPAL
US Dollar Value
Current
Transactions
Beginning Cash Balance
INFLOWS
Total Inflows
Income
OUTFLOWS **
Total Outflows
Withdrawals
Ending Cash Balance
Period Value
-183.02
\$183.02
(183.02)
(\$183.02)
-Year-To-Date
Value*
-1,645.11
\$1,645.11
(1,645.11)
(\$1,645.11)
-Local
Value
Current
Period Value
0.00
1,088.64
1,088.64
(1,088.64)
(1,088.64)
0.00
INCOME
US Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-9,580.00
9,580.00
(9,580.00)
(9,580.00)
-Account

Page 24 of 31
Consolidated Statement Page 27

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Portfolio Activity Summary - Norwegian Krone
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

1,046,005.82

(1,045,822.80)

Year-To-Date

Value*

8,948,064.34

(9,372,838.15)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/2

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2537B9-9B-5)

10/2

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2537C9-9V-0)

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(217.690)

(36.56)

(217.69)

Account Q52167006 Page 25 of 31

Consolidated Statement Page 28

(217.650)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,243,944.980

0.9000% DATED 10/02/2013 MATURITY 10/09/2013

(36.33)

(217.65)

36.56

217.69

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,243,727.330

0.9000% DATED 09/25/2013 MATURITY 10/02/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

36.33

217.65

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/16

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2537E9-9S-5)

10/16

10/23

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2537G9-9P-9)

10/23

10/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2537J9-9U-5)

10/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Total Inflows & Outflows

(217.800)

(37.00)

(217.80)

\$0.00

(217.770)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,244,598.170

0.9000% DATED 10/23/2013 MATURITY 10/30/2013

(36.95)

(217.77)

37.00

217.80

(217.730)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,244,380.400

0.9000% DATED 10/16/2013 MATURITY 10/23/2013

(36.18)

(217.73)

36.95

217.77

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,244,162.670

0.9000% DATED 10/09/2013 MATURITY 10/16/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

36.18

217.73

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Account [REDACTED] Page 26 of 31

Consolidated Statement Page 29

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

10/2

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/02/2013 MATURITY 10/09/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537C9-9V-0)

10/9

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/09/2013 MATURITY 10/16/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537E9-9S-5)

10/16

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/16/2013 MATURITY 10/23/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537G9-9P-9)

10/23

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/23/2013 MATURITY 10/30/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537J9-9U-5)

10/30

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/30/2013 MATURITY 11/06/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537L9-9V-0)

Total Securities Transferred In

\$1,046,005.82

1,244,815.970

210,116.64

211,448.08

1,244,598.170

210,079.64

211,181.49

1,244,380.400

210,042.69

206,778.12

1,244,162.670

210,006.51

208,965.99

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,243,944.980

209,969.95

Transaction

Market Value *

207,632.14

Account [REDACTED] Page 27 of 31

Consolidated Statement Page 30

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

10/2

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 09/25/2013 MATURITY 10/02/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537B9-9B-5)

10/9

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 10/02/2013 MATURITY 10/09/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537C9-9V-0)

10/16

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 10/09/2013 MATURITY 10/16/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537E9-9S-5)

10/23

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 10/16/2013 MATURITY 10/23/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537G9-9P-9)

10/30

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 10/23/2013 MATURITY 10/30/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537J9-9U-5)

Total Securities Transferred Out

(\$1,045,822.80)

(1,244,598.170)

210,079.64

(211,411.08)

(1,244,380.400)

210,042.69

(211,144.54)

(1,244,162.670)

210,006.51

(206,741.94)
(1,243,944.980)
209,969.95
(208,929.43)
Quantity
Cost
(1,243,727.330)
209,933.62
Transaction
Market Value *
(207,595.81)

Account Q52167006 Page 28 of 31
Consolidated Statement Page 31

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Portfolio Activity Summary - Pound Sterling
PRINCIPAL
US Dollar Value
Current
Transactions
Beginning Cash Balance
INFLOWS
Total Inflows
Income
OUTFLOWS **
Total Outflows
Tax Payments
\$0.00
TRADE ACTIVITY
Total Trade Activity
Settled Securities Purchased
Ending Cash Balance
(925.05)
(\$925.05)
-Period
Value
-1,540.57
\$1,540.57
Year-To-Date
Value*
-8,002.01
\$8,002.01
(21.35)
(\$21.35)
(2,588.16)
(\$2,588.16)
-0.00
(571.23)
(571.23)
9,544.49
INCOME
US
Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value

0.00

0.00

Year-To-Date

Value*

--Local

Value

Current

Period Value

9,161.55

954.17

954.17

Year-To-Date

Value*

-5,155.09

5,155.09

(13.37)

(13.37)

(1,666.50)

(1,666.50)

-Account

Q52167006 Page 29 of 31

Consolidated Statement Page 32

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Summary - Pound Sterling

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - Pound Sterling

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/1

10/7

Foreign Dividend

Foreign Dividend

Total Inflows & Outflows

Description

JPM MANAGED RESERVES FD - GBP-C-DIST ISIN
LU0539309053 AS OF 09/30/13 (ID: 051398-91-5)

BLUEBAY HIGH YIELD BD FD GBP HDG-DB ISIN
LU0222772518 @ 2.038 PER SHARE CONV. @ "CONV
RATE" (ID: G13289-92-4)

\$1,540.57

\$0.00

Quantity

7.647

187.898

Amount USD

Local Value

120.969

74.70

3.276

2.038

PRINCIPAL

Amount USD

Local Value

925.05

571.23

615.52

382.94

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Account Q52167006 Page 30 of 31

Consolidated Statement Page 33

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

TRADE ACTIVITY - Pound Sterling

Note:

Trade Date

Settle Date

Type

Settled Securities Purchased

9/30

Purchase

10/1

* Settled transaction was initiated in prior statement period and settled in current statement period

Per Unit

Description

JPM MANAGED RESERVES FD - GBP-C-DIST ISIN

LU0539309053 AS OF 09/30/13 (ID: 051398-91-5)

Quantity

0.090

Amount USD

Local Value

10,278.333

6,366.13

Market

Cost USD

Local Value

(925.05)

(571.23)

Currency

Gain/Loss USD

24.99 *

Account [REDACTED] Page 31 of 31

Consolidated Statement Page 34

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J.P. Morgan Securities LLC
GHISLAINE MAXWELL
383 Madison Avenue, New York, NY 10179
ACCT. 24155873
For the Period 10/1/13 to 10/31/13

Margin Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Transactions cleared and carried through J.P. Morgan Clearing Corp. - Three
Chase Metrotech Center, Brooklyn, NY 11245-0001,
(347) 643-2578

Please see disclosures located at the end of this statement package for
important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Portfolio Activity

Page

2

4

Account [REDACTED] Page 1 of 4

Consolidated Statement Page 35

GHISLAINE MAXWELL

Account Summary

MARGIN

Cash

Asset Allocation

Cash & Fixed Income

Market Value

Market Value

0.00

\$0.00

Margin

Market Value

430.00

\$430.00

Current

Portfolio Activity

Beginning Market Value

Ending Market Value

Period Value

430.00

\$430.00

Short

Market Value

0.00

\$0.00

Year-to-Date

Value

430.00

\$430.00

Total

430.00

\$430.00

Estimated

\$0.00

Current

Market Value Annual Income Allocation

100%

100%

ACCT. ██████████

For the Period 10/1/13 to 10/31/13

Account 24155873 Page 2 of 4

Consolidated Statement Page 36

GHISLAINE MAXWELL

ACCT [REDACTED]

For the Period 10/1/13 to 10/31/13

Cash & Fixed Income Detail

Quantity

Price

Cash

US DOLLAR

1.00

430.00

Margin

430.00

430.00

Account

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 3 of 4

Consolidated Statement Page 37

GHISLAINE MAXWELL
Portfolio Activity Summary
PRINCIPAL
Current
Transactions

Portfolio Activity Detail

Beginning Cash Balance

Ending Cash Balance

Period Value

430.00

\$430.00

Year-To-Date

Value

--INCOME

Current

Period

Value

0.00

\$0.00

Year-To-Date

Value

--ACCT.

24155873

For the Period 10/1/13 to 10/31/13

No Activity This Period

Account [REDACTED] Page 4 of 4

Consolidated Statement Page 38

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - OAP IHD
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

10

Account [REDACTED] Page 1 of 13

Consolidated Statement Page 39

GHISLAINE MAXWELL - OAP IHD

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,846,903.12

\$1,846,903.12

4,346.83

\$1,851,249.95

Ending

Market Value

1,914,506.98

\$1,914,506.98

3,274.97

\$1,917,781.95

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(5,606.65)

(\$5,606.65)

4,602.09

68,608.42

\$1,914,506.98

3,274.97

\$1,917,781.95

Period Value

1,846,903.12

Change

In Value

67,603.86

\$67,603.86

(1,071.86)

\$66,532.00

Year-to-Date

Value

0.00

1,750,000.00

(16,336.85)

\$1,733,663.15

46,525.71
134,318.12
\$1,914,506.98
3,274.97
\$1,917,781.95

Estimated
67,450.27
\$67,450.27

Current
Annual Income Allocation
100%

100%
ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Account [REDACTED] Page 2 of 13
Consolidated Statement Page 40

GHISLAINE MAXWELL - OAP IHD

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

4,601.75

0.34

\$4,602.09

Year-to-Date

Value

46,520.66

5.05

\$46,525.71

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(1,203.89)

(\$1,203.89)

Year-to-Date

Value

(21,672.27)

4.44

(\$21,667.83)

ACCT. V49920008

For the Period 10/1/13 to 10/31/13

Unrealized Gain/Loss

To-Date Value

\$155,985.95

Cost Summary

Equity

Total

1,758,521.03

Cost

\$1,758,521.03

Account [REDACTED] Page 3 of 13

Consolidated Statement Page 41

GHISLAINE MAXWELL - OAP IHD

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,846,903.12

Ending

Market Value

1,914,506.98

Change

In Value

67,603.86

Current

Allocation

100%

ACCT. V49920008

For the Period 10/1/13 to 10/31/13

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,914,506.98

1,758,521.03

155,985.95

67,450.27

3,274.97

3.52%

Account XXXXXXXXXX Page 4 of 13

Consolidated Statement Page 42

GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Note:

P

1

indicates position adjusted for Pending Trade Activity.

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ALSTOM

ADR

021244-20-7 ALSM Y

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

072730-30-2 BAYR Y

BHP LTD

SPONS ADR

088606-10-8 BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL Y

65.43

555.000

36,313.65

36,821.52

(507.87)

1,748.80

4.82%

70.69

435.000

30,750.15

32,227.68

(1,477.53)

1,009.20

3.28%

29.33

124.70

1,735.000
325.000
50,887.55
40,527.50
39,277.38
32,896.77
11,610.17
7,630.73
2,026.48
741.32
586.62
3.98%
1.45%
3.67
52.86
12,070.000
365.000
44,296.90
19,293.90
44,210.81
18,351.75
86.09
942.15
869.04
1,022.00
1.96%
5.30%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
25.47
2,200.000
56,034.00
47,460.92
8,573.08
1,548.80
2.76%

GHISLAINE MAXWELL - OAP IHD
ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Price
EAFE Equity
BRITISH AMERICAN TOBACCO PLC
SPONS ADR
110448-10-7 BTI
DEUTSCHE TELEKOM AG
SPONS ADR
251566-10-5 DTEG Y
DEUTSCHE POST AG
SPONS ADR
25157Y-20-2 DPSG Y
GDF SUEZ
SPONS ADR
36160B-10-5 GDFZ Y
GLAXOSMITHKLINE PLC
SPONS ADR
37733W-10-5 GSK
HSBC HOLDINGS PLC
SPONS ADR
404280-40-6 HBC
IMPERIAL TOBACCO PLC
ADR
453142-10-1 ITYB Y
P ISRAEL CHEMICALS LTD
ADR
465036-20-0 ISCH Y
KIRIN HOLDINGS COMPANY LTD
SPONS ADR
497350-30-6 KNBW Y
LUKOIL OAO SPONS ADR
677862-10-4 LUKO Y
65.47
1,035.000
67,761.45
64,776.50
Account [REDACTED] Page 6 of 13
2,984.95
3,783.96
5.58%
Consolidated Statement Page 44
14.70
3,010.000
44,247.00
48,510.86
(4,263.86)
824.74
1.86%
8.22

1,390.000
11,425.80
17,118.82
(5,693.02)
740.87
6.48%
74.99
515.000
38,619.85
36,270.85
2,349.00
1,739.15
4.50%
55.04
1,145.000
63,020.80
62,810.83
209.97
2,748.00
4.36%
52.63
1,015.000
53,419.45
48,412.36
5,007.09
2,445.13
4.58%
25.02
1,980.000
49,539.60
42,100.10
7,439.50
2,665.08
5.38%
15.79
33.89
4,270.000
2,015.000
67,423.30
68,288.35
58,928.15
47,293.82
8,495.15
20,994.53
3,825.92
1,787.30
5.67%
2.62%
110.49
590.000
65,189.10

62,046.30
3,142.80
2,552.34
3.92%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

EAFE Equity

MTN GROUP LTD

SPONS ADR

62474M-10-8 MTNO Y

MUENCHENER RUECKVERSICHERUNGS -

GESELLSCHAFT

ADR

626188-10-6 MURG Y

NESTLE S A

SPONS ADR REPSTG REG SH

641069-40-6 NSRG Y

NIPPON TEL & TEL CORP

ADR

654624-10-5 NTT

NOVARTIS A G

ADR

66987V-10-9 NVS

PROCEEDS FROM PENDING SALES

RECKITT BENCKISER GROUP PLC

SPONSORED ADR

756255-20-4 RBGL Y

ROCHE HOLDINGS LTD

SPONS ADR

771195-10-4 RHHB Y

ROYAL DUTCH SHELL PLC

ADR

780259-10-7 RDS B

SANOFI

80105N-10-5 SNY

Account V49920008 Page 7 of 13

Consolidated Statement Page 45

69.52

53.48

400.000

1,195.000

27,808.00

63,908.60

27,957.37

60,068.51

(149.37)

3,840.09

1,440.00

1,499.72

5.18%

2.35%

69.34

700.000

48,538.00

39,379.81
9,158.19
1,136.80
2.34%
1.00
15.56
2,126.340
3,540.000
2,126.34
55,082.40
2,126.34
48,749.99
6,332.41
1,454.94
2.64%
26.10
77.55
2,210.000
975.000
57,681.00
75,611.25
58,374.87
68,101.01
(693.87)
7,510.24
1,818.83
839.88
2,005.57
3.15%
2.65%
72.41
1,055.000
76,392.55
73,413.83
2,978.72
1,915.88
2.51%
20.95
2,610.000
54,679.50
48,665.02
6,014.48
1,618.20
2.96%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.

Accrued Div.
Yield
19.86
2,625.000
52,132.50
49,970.21
2,162.29
1,929.37
3.70%

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

EAFE Equity

SIEMENS A G

SPONS ADR

826197-50-1 SI

SINGAPORE TELECOMMUNICATIONS LTD

ADR

82929R-30-4 SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

83238P-20-3 SMGZ Y

STATOIL ASA

SPONS ADR

85771P-10-2 STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

874039-10-0 TSM

TELEKOMUNIKASI IND

SPONS ADR

715684-10-6 TLK

TESCO PLC

SPONS ADR

881575-30-2 TSCD Y

TOTAL SA

SPONS ADR

89151E-10-9 TOT

UNILEVER N V

904784-70-9 UN

39.72

1,630.000

64,743.60

66,467.39

(1,723.79)

1,933.18

2.99%

17.72

61.18

1,520.000

1,070.000

26,932.88

65,462.60

25,456.73

54,046.70

1,476.15

11,415.90

977.36

342.00

2,809.82

3.63%
4.29%
40.76
690.000
28,124.40
27,215.25
909.15
841.11
2.99%
18.41
830.000
15,280.30
14,793.04
487.26
332.83
2.18%
23.63
1,450.000
34,263.50
35,974.62
(1,711.12)
30.45
23.18
1,765.000
1,685.000
53,744.25
39,058.30
51,172.92
33,034.06
2,571.33
6,024.24
2,285.67
1,017.74
1,351.46
1,245.55
4.25%
2.61%
3.64%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
128.01
500.000
64,005.00
52,917.17

11,087.83

1,471.00

2.30%

Account [REDACTED] Page 8 of 13

Consolidated Statement Page 46

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

EAFE Equity

UNITED OVERSEAS BANK LTD

SPONS ADR

911271-30-2 UOVE Y

US DOLLAR

VODAFONE GROUP PLC

SPONS ADR

92857W-20-9 VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

989825-10-4 ZURV Y

\$1,914,506.98

\$1,758,521.03

\$155,985.95

\$67,450.27

\$3,274.97

3.52%

27.74

2,025.000

56,173.50

55,630.28

543.22

3,649.05

6.50%

33.77

1.00

36.86

1,250.000

33,298.880

1,905.000

42,212.50

33,298.88

70,208.78

39,130.24

33,298.88

53,061.37

17,147.41

3,082.26

1,186.25

3.32

0.31

2,954.65

2.81%

0.01%

4.21%

Quantity

Value

Adjusted Tax Cost

Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
Account [REDACTED] Page 9 of 13
Consolidated Statement Page 47

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

185,513.36

(13,236.68)

(\$13,236.68)

\$33,298.88

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

(1,932,403.34)

(\$1,746,889.98)

-Current

Period

Value

47,540.12

4,602.09

\$4,602.09

(83.05)

(5,225.06)

(298.54)

(\$5,606.65)

Year-To-Date

Value*

-46,525.71

1,750,000.00

\$1,796,525.71

(864.56)

(11,346.81)

(4,125.48)

(\$16,336.85)

Current

Cost

Adjustments

Cost Adjustments
Total Cost Adjustments
Period Value
\$0.00
Year-To-Date
Value*
2,151.19
\$2,151.19

Account [REDACTED] Page 10 of 13
Consolidated Statement Page 48

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/1

10/1

10/1

10/1

10/3

10/3

10/3

10/3

10/3

10/4

10/4

Foreign Dividend

Expenses

FGN Tax Withheld

Interest Income

Foreign Dividend

Foreign Dividend

Expenses

Foreign Dividend

Expenses

Foreign Dividend

Expenses

Description

ISRAEL CHEMICALS LTD ADR @ 0.179901 PER SHARE

(ID: 465036-20-0)

ISRAEL CHEMICALS LTD ADR REPRESENTS @ .015

DEPOSITORY FEE (ID: 465036-20-0)

ISRAEL CHEMICALS LTD ADR TAX WITHHELD ISRAEL

14.50% (ID: 465036-20-0)

DEPOSIT SWEEP INTEREST FOR 09/01/13 - 09/30/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$47,160.58 AS OF 10/01/13

GLAXOSMITHKLINE PLC SPONS ADR @ 0.553212 PER

SHARE (ID: 37733W-10-5)

RECKITT BENCKISER GROUP PLC SPONSORED ADR @

0.192 PER SHARE (ID: 756255-20-4)

RECKITT BENCKISER GROUP PLC SPONSORED ADR

REPRESENTS @ .012 DEPOSITORY FEE

(ID: 756255-20-4)

BRITISH AMERICAN TOBACCO PLC SPONS ADR @

1.45629 PER SHARE (ID: 110448-10-7)

BRITISH AMERICAN TOBACCO PLC SPONS ADR

REPRESENTS @ .005 DEPOSITORY FEE

(ID: 110448-10-7)

BOC HONG KONG HOLDINGS LTD SPONS ADR @ 1.405653

PER SHARE (ID: 096813-20-9)
BOC HONG KONG HOLDINGS LTD SPONS ADR REPRESENTS
@ .020 DEPOSITORY FEE (ID: 096813-20-9)
555.000
555.000
1.406
780.14
(11.10)
590.000
590.000
1.456
859.21
(2.95)
1,015.000
3,540.000
3,540.000
0.553
0.192
561.51
679.68
(44.25)
Quantity
Cost
1,650.000
1,650.000
1,650.000
Per Unit
Amount
0.18
Amount
296.84
(24.75)
(42.98)
0.34
Account [REDACTED] Page 11 of 13
Consolidated Statement Page 49

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/15

10/15

10/15

10/18

10/18

Foreign Dividend

FGN Tax Withheld

Foreign Dividend

Description

TOTAL SA SPONS ADR @ 0.796143 PER SHARE

(ID: 89151E-10-9)

TOTAL SA SPONS ADR TAX WITHHELD FRANCE 30.00%

(ID: 89151E-10-9)

HSBC HOLDINGS PLC SPONS ADR @ 0.50 PER SHARE AS

OF 10/09/13 (ID: 404280-40-6)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR THE PERIOD 07-01-2013 TO 09-30-2013

Fees & Commissions SCHAFER CULLEN CAPITAL MANAGEMENT MANAGEMENT FEE FOR 07-01-2013 TO 09-30-2013

Total Inflows & Outflows

TRADE ACTIVITY

Note:

Trade Date

Settle Date

Type

Settled Securities Purchased

10/10

Purchase

10/16

Quantity

Cost

Per Unit

Amount

1,070.000

1,070.000

1,145.000

0.50

0.796

Amount

851.87

(255.56)

572.50

(3,373.81)

(1,851.25)

(\$1,004.56)

S indicates Short Term Realized Gain/Loss

Description

DEUTSCHE TELEKOM AG SPONS ADR @ 15.4515
13,211.03 BROKERAGE 25.65 ESI SECURITIES
COMPANY (ID: 251566-10-5)

Quantity

855.000

Per Unit

Amount

15.482

Market Cost

(13,236.68)

Account [REDACTED] Page 12 of 13

Consolidated Statement Page 50

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Trade Date

Type

Est. Settle Date Selection Method Description

Pending Sales, Maturities, Redemptions

Sale

10/31

11/5

ISRAEL CHEMICALS LTD ADR (ID: 465036-20-0)

Quantity

(260.000)

Per Unit

Amount

8.188

Proceeds

2,126.34

Tax Cost

(3,330.23)

Realized

Gain/Loss

(1,203.89) S

Account [REDACTED] Page 13 of 13

Consolidated Statement Page 51

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP DYS
270 Park Avenue, New York, NY 10017-2014
ACCT. V49935006

For the Period 10/1/13 to 10/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Page

2

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

6

9

12

Account XXXXXXXXXX Page 1 of 15

Consolidated Statement Page 52

GHISLAINE MAXWELL - TAP DYS

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

133,060.74

208,241.14

670,352.26

\$1,011,654.14

63.63

\$1,011,717.77

Ending

Market Value

137,444.14

211,258.95

678,222.80

\$1,026,925.89

223.34

\$1,027,149.23

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(1,798.16)

(\$1,798.16)

2,714.05

14,355.86

\$1,026,925.89

223.34

\$1,027,149.23

Period Value

1,011,654.14

Change

In Value

4,383.40

3,017.81

7,870.54

\$15,271.75

159.71
\$15,431.46
Year-to-Date
Value
0.00
1,000,000.00
(4,930.43)
\$995,069.57
25,212.82
6,643.50
\$1,026,925.89
223.34
\$1,027,149.23
Alternative
Assets
Estimated
3,114.65
5,632.51
29,637.75
Current
Annual Income Allocation
13%
21%
66%
\$38,384.91
100%
Equity
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Account [REDACTED] Page 2 of 15
Consolidated Statement Page 53

GHISLAINE MAXWELL - TAP DYS
Account Summary
Tax Summary
Domestic Dividends/Distributions
Interest Income
Taxable Income
Tax-Exempt Income
Tax-Exempt Income
CONTINUED
Current
Period Value
2,713.75
0.30
\$2,714.05
Year-to-Date
Value
24,101.60
2.95
\$24,104.55
1,108.27
\$1,108.27
Unrealized Gain/Loss
LT Capital Gain Distributions
ST Realized Gain/Loss
Realized Gain/Loss
Current
Period Value
(1,519.23)
(\$1,519.23)
Year-to-Date
Value
30.78
(5,497.85)
(\$5,467.07)
ACCT. V49935006
For the Period 10/1/13 to 10/31/13
To-Date Value
\$12,110.57
Cost Summary
Equity
Cash & Fixed Income
Total
684,571.31
Cost
125,672.00
\$810,243.31
Account V49935006 Page 3 of 15
Consolidated Statement Page 54

GHISLAINE MAXWELL - TAP DYS

Equity Summary

Asset Categories

US Large Cap Equity

European Large Cap Equity

Asia ex-Japan Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Yield

Beginning

Market Value

70,425.52

21,001.55

41,633.67

\$133,060.74

Ending

Market Value

72,813.28

22,284.83

42,346.03

\$137,444.14

Current

Period Value

137,444.14

125,672.00

11,772.14

3,114.65

2.26%

Asia ex-Japan Equity

Change

In Value

2,387.76

1,283.28

712.36

\$4,383.40

Current

Allocation

7%

2%

4%

13%

US Large Cap Equity

European Large Cap Equity

Asset Categories

ACCT. ██████████

For the Period 10/1/13 to 10/31/13

Equity as a percentage of your portfolio - 13 %

GHISLAINE MAXWELL - TAP DYS
 ACCT. ██████████
 For the Period 10/1/13 to 10/31/13
 Equity Detail
 Price
 US Large Cap Equity
 CLEARBRIDGE EQUITY INC FD-I
 CAP & INCOME I
 52469H-59-4 SOPY X
 SIT DIVIDEND GROWTH FUND-I
 Total US Large Cap Equity
 82980D-70-7 SDVG X
 \$72,813.28
 \$66,070.00
 \$6,743.28
 \$1,205.64
 1.66%
 17.44
 2,958.635
 51,598.59
 46,294.00
 5,304.59
 792.91
 1.54%
 Quantity
 Value
 Adjusted Tax Cost
 Original Cost
 Unrealized
 Gain/Loss
 Est. Annual Inc.
 Accrued Div.
 Yield
 17.99
 1,179.249
 21,214.69
 19,776.00
 1,438.69
 412.73
 1.95%
 European Large Cap Equity
 JPM TR I INTREPID EUR FD - SEL
 25.18
 FUND 1232
 4812A0-67-2 JFES X
 Asia ex-Japan Equity
 MATTHEWS ASIA DIVIDEND-INS
 577130-75-0 MIPI X
 885.021
 22,284.83
 20,316.00

1,968.83

233.64

1.05%

16.05

2,638.382

42,346.03

39,286.00

3,060.03

1,675.37

3.96%

Account [REDACTED] Page 5 of 15

Consolidated Statement Page 56

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Real Estate & Infrastructure

Total Value

Estimated Value

152,996.58

55,244.56

\$208,241.14

Ending

Estimated Value

154,392.24

56,866.71

\$211,258.95

Change

In Value

1,395.66

1,622.15

\$3,017.81

Current

Allocation

15%

6%

21%

Hedge Funds

Real Estate & Infrastructure

Asset Categories

Alternative Assets Detail

Alternative Assets as a percentage of your portfolio - 21 %

Price

Hedge Funds

EATON VANCE FLOATING-RATE

ADVANTAGE I

277923-63-7 EIFA X

GATEWAY FUND-Y

367829-88-4 GTEY X

28.56

1,089.208

31,107.78

30,040.36

11.18

7,524.150

84,120.00

84,120.00

Quantity

Estimated

Value

Cost

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

Quantity

Hedge Funds

HSBC FDS

TOTAL RETURN I

40428X-15-6 HTRI X

Total Hedge Funds

\$154,392.24

\$153,865.01

Estimated

Value

Cost

10.15

3,858.567

39,164.46

39,704.65

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

NUVEEN GLOBAL INFRASTRUCT-I

670690-51-0 FGIY X

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,025.30

20,091.00

21,772.00

435.43

2.00%

Account [REDACTED] Page 7 of 15

Consolidated Statement Page 58

GHISLAINE MAXWELL - TAP DYS ACCT [REDACTED]

For the Period 10/1/13 to 10/31/13

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

TORTOISE MLP & PIPELINE-INS

Total Real Estate & Infrastructure

56166Y-40-4 TORI X

\$50,707.00

\$0.00

\$56,866.71

\$639.84

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P. Morgan representative.

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e., "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

2,296.77

30,616.00

35,094.71

204.41

0.58%

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

Account [REDACTED] Page 8 of 15

Consolidated Statement Page 59

-

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

46,341.05

595,839.75

28,171.46

\$670,352.26

Ending

Market Value

25,503.60

623,904.67

28,814.53

\$678,222.80

Current

Period Value

678,222.80

684,571.31

(6,348.51)

29,637.75

223.34

4.36%

Cash & Fixed Income as a percentage of your portfolio - 66 %

SUMMARY BY TYPE

Market

Value

678,222.80

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds

Other

Total Value

Market

Value

25,503.60

594,297.63

58,421.57

\$678,222.80

% of Bond

Portfolio

3%

89%

8%

100%

US Fixed Income

Change

In Value

(20,837.45)

28,064.92

643.07

\$7,870.54

Current

Allocation

2%

61%

3%

66%

Non-US Fixed Income

Cash

Asset Categories

Account [REDACTED] Page 9 of 15

Consolidated Statement Page 60

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

25,503.60

25,503.60

25,503.60

2.55

0.33

US Fixed Income

HARBOR HIGH YIELD BOND-INST

HARBOR CONVERTIBLE SEC-INST

COHEN & STEERS PREFERRED SECURITIES

411511-55-3

10.95

411512-73-4

13.00

AND INCOME FUND INC

19248X-30-7

DOUBLELINE TOTAL RET BD-I

JPM TR I STRG INCM OPPTY FD - SEL

258620-10-3

11.90

FUND 3844

4812A4-35-1

JPM TR I MLT SEC INCOME FD - SEL

FUND 2130

48121A-29-0

10.18

4,784.86

48,709.92

49,092.71

(382.79)

3,015.90

35,889.21

36,040.00

(150.79)

1,004.29

60.32

1,368.47

162.69

2.80%

2.81%
10.98
12,498.16
137,229.74
142,229.00
(4,999.26)
7,023.96
5.12%
4,493.97
58,421.57
60,624.00
(2,202.43)
3,828.85
6.55%
2,833.31
31,024.79
29,272.00
1,752.79
634.66
2.05%
11.19
4,547.24
50,883.64
51,111.00
(227.36)
2,914.78
5.73%
0.01% ¹

Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

Account [REDACTED] Page 10 of 15
Consolidated Statement Page 61

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

US Fixed Income

METROPOLITAN WEST FDS

TOTAL RET CL I

592905-50-9

RIDGEWORTH SEIX FLOATING-I

TRP INST FLOATING RATE-F

BLACKROCK HIGH YIELD BOND

Total US Fixed Income

76628T-67-8

10.28

77958B-10-5

8.28

091929-63-8

\$623,904.67

\$628,719.71

(\$4,815.04)

\$28,603.82

\$223.01

Non-US Fixed Income

PIMCO EMERGING MARKETS CORPORATE

BOND FUND

72201W-85-7

11.65

2,473.35

28,814.53

30,348.00

(1,533.47)

1,031.38

3.58%

4.59%

8,504.33

70,415.83

69,140.00

1,275.83

4,303.18

6.11%

5,394.06

55,450.94

55,397.00

53.94

2,378.78

4.29%

9.05

6,502.66

58,849.03

58,784.00

65.03

2,536.03

4.31%

10.71

7,192.34

77,030.00

77,030.00

2,610.82

3.39%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 11 of 15

Consolidated Statement Page 62

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

55,276.66

(77,030.00)

(\$21,753.34)

\$25,503.60

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

191,591.21

(1,186,370.00)

(\$994,778.79)

-Current

Period

Value

46,341.05

2,714.05

\$2,714.05

(1,798.16)

(\$1,798.16)

Year-To-Date

Value*

-25,212.82

1,000,000.00

\$1,025,212.82

(4,930.43)

(\$4,930.43)

Account

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/1

10/1

10/1

10/1

10/1

10/1

10/2

10/2

10/2

Interest Income

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 09/01/13 - 09/30/13
@ .01% RATE ON AVG COLLECTED BALANCE OF
\$45,170.32 AS OF 10/01/13

JPM TR I STRG INCM OPPTY FD - SEL FUND 3844 @
0.021 PER SHARE (ID: 4812A4-35-1)

BLACKROCK HIGH YIELD BOND 09/30/13 INCOME
DIVIDEND @ 0.040 PER SHARE AS OF 09/30/13
(ID: 091929-63-8)

EATON VANCE FLOATING-RATE ADVANTAGE I 09/30/13
INCOME DIVIDEND @ 0.042 PER SHARE AS OF
09/30/13 (ID: 277923-63-7)

HSBC FDS TOTAL RETURN I 10/01/13 INCOME
DIVIDEND @ 0.008 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL TOTAL RETURN BD-Z 09/30/13 INCOME
DIVIDEND @ 0.043 PER SHARE AS OF 09/30/13
(ID: 74440B-40-5)

COHEN & STEERS PREFERRED SECURITIES AND INCOME
FUND INC 09/30/13 INCOME DIVIDEND @ 0.071 PER
SHARE AS OF 09/30/13 (ID: 19248X-30-7)

DOUBLELINE TOTAL RET BD-I 09/30/13 INCOME
DIVIDEND @ 0.048 PER SHARE AS OF 09/30/13
(ID: 258620-10-3)

CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I
09/30/13 INCOME DIVIDEND @ 0.076 PER SHARE AS
OF 09/30/13 (ID: 52469H-59-4)

1,179.249

0.076
89.14
12,498.155
0.048
605.58
4,493.967
0.071
319.07
3,858.567
3,895.466
0.008
0.042
29.28
165.50
7,524.150
0.042
312.37
3,015.900
8,504.327
0.021
0.04
63.33
340.71
Quantity
Cost
Per Unit
Amount
Amount
0.30
Account [REDACTED] Page 13 of 15
Consolidated Statement Page 64

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/2

10/2

10/2

10/10

10/18

10/28

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Description

PIMCO EMERGING MARKETS CORPORATE BOND FUND
09/30/13 INCOME DIVIDEND @ 0.032 PER SHARE AS
OF 09/30/13 (ID: 72201W-85-7)

RIDGEWORTH SEIX FLOATING-I 10/01/13 INCOME
DIVIDEND @ 0.029 PER SHARE AS OF 10/01/13
(ID: 76628T-67-8)

TRP INST FLOATING RATE-F 09/30/13 INCOME
DIVIDEND @ 0.031 PER SHARE AS OF 09/30/13
(ID: 77958B-10-5)

SIT DIVIDEND GROWTH FUND-I 10/09/13 INCOME
DIVIDEND @ 0.068 PER SHARE AS OF 10/09/13
(ID: 82980D-70-7)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR
THE PERIOD 07-01-2013 TO 09-30-2013

Div Domestic

Total Inflows & Outflows

PRUDENTIAL TOTAL RETURN BD-Z 10/24/13 INCOME
DIVIDEND @ 0.040 PER SHARE AS OF 10/24/13
(ID: 74440B-40-5)

\$915.89

3,895.466

0.04

(1,798.16)

155.05

2,958.635

0.068

201.49

5,394.060

0.031

166.54

6,502.655

0.029

187.24

Quantity

Cost

Per Unit

Amount

2,473.350

0.032

Amount

78.45

Account [REDACTED] Page 14 of 15

Consolidated Statement Page 65

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

TRADE ACTIVITY

Note:

Trade Date

Settle Date

10/24

10/25

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

PRUDENTIAL TOTAL RETURN BD-Z (ID: 74440B-40-5)

Quantity

(3,895.466)

Per Unit

Amount

14.19

Proceeds

55,276.66

Tax Cost

(56,795.89)

Realized

Gain/Loss

(1,519.23) S

Trade Date

Settle Date

Type

Description

Settled Securities Purchased

10/24

Purchase

10/25

METROPOLITAN WEST FDS TOTAL RET CL I

(ID: 592905-50-9)

Quantity

7,192.344

Per Unit

Amount

10.71

Market Cost

(77,030.00)

Account [REDACTED] Page 15 of 15

Consolidated Statement Page 66

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP ARFI
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Page

2

Holdings

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

5

7

Account [REDACTED] Page 1 of 9

Consolidated Statement Page 67

GHISLAINE MAXWELL - TAP ARFI

Account Summary

Asset Allocation

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

360,377.56

632,540.59

\$992,918.15

266.77

\$993,184.92

Ending

Market Value

405,925.49

593,142.50

\$999,067.99

582.03

\$999,650.02

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(1,525.13)

(\$1,525.13)

1,648.99

6,025.98

\$999,067.99

582.03

\$999,650.02

Period Value

992,918.15

Change

In Value

45,547.93

(39,398.09)

\$6,149.84

315.26

\$6,465.10

Year-to-Date

Value

0.00
1,000,000.00
(3,856.08)
\$996,143.92
15,873.05
(12,948.98)
\$999,067.99
582.03
\$999,650.02
Alternative
Assets
Estimated
8,401.46
15,492.76
Current
Annual Income Allocation
41%
59%
\$23,894.22
100%
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13
Account [REDACTED] Page 2 of 9
Consolidated Statement Page 68

GHISLAINE MAXWELL - TAP ARFI

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

Tax-Exempt Income

Tax-Exempt Income

CONTINUED

Current

Period Value

1,648.45

0.54

\$1,648.99

Year-to-Date

Value

14,703.69

3.63

\$14,707.32

1,165.73

\$1,165.73

Unrealized Gain/Loss

To-Date Value

(\$8,686.20)

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(1,710.23)

(\$1,710.23)

Year-to-Date

Value

(4,262.78)

(\$4,262.78)

ACCT [REDACTED]

For the Period 10/1/13 to 10/31/13

Cost Summary

Cash & Fixed Income

596,882.57

Cost

Total

\$596,882.57

Account [REDACTED] Page 3 of 9

Consolidated Statement Page 69

GHISLAINE MAXWELL - TAP ARFI

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Estimated Value

360,377.56

Alternative Assets Detail

Price

Hedge Funds

GOLDMAN SACHS TR

STRG INCM INST

38145C-64-6 GSZI X

HSBC FDS

TOTAL RETURN I

40428X-15-6 HTRI X

PIMCO UNCONSTRAINED BOND-P

PRUDENTIAL INVT PORTFOLIOS 9

72201M-45-3 PUCP X

9.86

PRU ABRTN FD Z

74441J-82-9 PADZ X

Total Hedge Funds

\$405,925.49

\$410,871.62

9,610.855

94,763.03

96,036.62

11.25

14,308.333

160,968.75

164,832.00

10.15

5,780.066

58,667.67

59,171.00

10.55

8,675.454

91,526.04

90,832.00

Quantity

Estimated

Value

Cost

ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Ending

Estimated Value

405,925.49

Change

In Value

45,547.93

Current

Allocation

41%

Account [REDACTED] Page 4 of 9

Consolidated Statement Page 70

GHISLAINE MAXWELL - TAP ARFI

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

41,065.11

591,475.48

\$632,540.59

ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Ending

Market Value

42,500.97

550,641.53

\$593,142.50

Current

Period Value

593,142.50

596,882.57

(3,740.07)

15,492.76

582.03

2.61%

Cash & Fixed Income as a percentage of your portfolio - 59 %

SUMMARY BY TYPE

Market

Value

593,142.50

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds
Total Value
Market
Value
42,500.97
550,641.53
\$593,142.50
% of Bond
Portfolio
7%
93%
100%
US Fixed Income
Change
In Value
1,435.86
(40,833.95)
(\$39,398.09)
Current
Allocation
4%
55%
59%
Cash
Asset Categories

Account [REDACTED] Page 5 of 9
Consolidated Statement Page 71

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

42,500.97

42,500.97

42,500.97

4.25

0.32

US Fixed Income

DOUBLELINE TOTAL RET BD-I

EATON VANCE FLOATING RATE-I

JPM TR I STRG INCM OPPTY FD - SEL

258620-10-3

9.17

277911-49-1

11.90

FUND 3844

4812A4-35-1

JPM TR I MLT SEC INCOME FD - SEL

FUND 2130

48121A-29-0

RBC FDS TR

BLUBAY ABRTR I

74926P-34-0

Total US Fixed Income

\$550,641.53

\$554,381.60

(\$3,740.07)

\$15,488.51

\$581.71

2.81%

10.18

10.26

9,651.54

14,793.79

98,252.70

151,784.25

99,024.83

150,434.00

(772.13)

1,350.25

12,677.82
150,866.11
151,500.00
(633.89)
4,221.71
253.56
2,760.34
328.15
1,390.61
2.80%
2.81%
0.92%
5,463.94
50,104.36
50,159.00
(54.64)
2,016.19
4.02%
10.98
9,074.15
99,634.11
103,263.77
(3,629.66)
5,099.66
5.12%
0.01%¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL - TAP ARFI ACCT [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

44,629.00

(43,317.00)

\$1,312.00

\$42,500.97

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

151,786.00

(1,121,302.00)

(\$969,516.00)

-Current

Period

Value

41,065.11

1,648.99

\$1,648.99

(1,525.13)

(\$1,525.13)

Year-To-Date

Value*

-15,873.05

1,000,000.00

\$1,015,873.05

(3,856.08)

(\$3,856.08)

Account

[REDACTED] Page 7 of 9

Consolidated Statement Page 73

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/1

10/1

10/1

10/1

10/1

10/2

10/2

10/2

10/2

Interest Income

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 09/01/13 - 09/30/13
@ .01% RATE ON AVG COLLECTED BALANCE OF
\$56,908.79 AS OF 10/01/13

JPM TR I STRG INCM OPPTY FD - SEL FUND 3844 @
0.021 PER SHARE (ID: 4812A4-35-1)

EATON VANCE FLOATING RATE-I 09/30/13 INCOME
DIVIDEND @ 0.028 PER SHARE AS OF 09/30/13
(ID: 277911-49-1)

HSBC FDS TOTAL RETURN I 10/01/13 INCOME
DIVIDEND @ 0.008 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTRN FD Z
09/30/13 INCOME DIVIDEND @ 0.027 PER SHARE AS
OF 09/30/13 (ID: 74441J-82-9)

DOUBLELINE TOTAL RET BD-I 09/30/13 INCOME
DIVIDEND @ 0.048 PER SHARE AS OF 09/30/13
(ID: 258620-10-3)

GOLDMAN SACHS TR STRG INCM INST 09/30/13 INCOME
DIVIDEND @ 0.020 PER SHARE AS OF 09/30/13
(ID: 38145C-64-6)

PIMCO UNCONSTRAINED BOND-P 09/30/13 INCOME
DIVIDEND @ 0.004 PER SHARE AS OF 09/30/13
(ID: 72201M-45-3)

RBC FDS TR BLUBAY ABRTR I 09/30/13 INCOME
DIVIDEND @ 0.008 PER SHARE AS OF 09/30/13
(ID: 74926P-34-0)

14,793.787

0.008
118.40
14,308.333
0.004
60.53
6,606.686
0.026
171.89
13,146.134
0.048
636.97
5,780.066
7,400.957
0.008
0.027
43.86
199.81
12,677.824
5,463.943
0.021
0.028
266.23
150.76
Quantity
Cost
Per Unit
Amount
Amount
0.54



GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/18

Description

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR
THE PERIOD 07-01-2013 TO 09-30-2013

Total Inflows & Outflows

TRADE ACTIVITY

Note:

Trade Date

Settle Date

10/2

10/3

Quantity

Cost

Per Unit

Amount

Amount

(1,525.13)

\$123.86

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

DOUBLELINE TOTAL RET BD-I (ID: 258620-10-3)

Quantity

(4,071.989)

Per Unit

Amount

10.96

Proceeds

44,629.00

Tax Cost

(46,339.23)

Realized

Gain/Loss

(1,710.23) S

Trade Date

Settle Date

Type

Description

Settled Securities Purchased

10/2

Purchase

10/3

10/2

10/3

Total Settled Securities Purchased

Purchase

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z

(ID: 74441J-82-9)

GOLDMAN SACHS TR STRG INCM INST

(ID: 38145C-64-6)

Quantity

2,209.898

2,068.768

Per Unit

Amount

9.80

10.47

Market Cost

(21,657.00)

(21,660.00)

(\$43,317.00)

Account [REDACTED] Page 9 of 9

Consolidated Statement Page 75

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For the Period 10/1/13 to 10/31/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing, Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 5

For the Period 10/1/13 to 10/31/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S. Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

In Case of Other Errors or Questions About Your Asset Account Statement(s)
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at (202) 371-8300.

In Case of Errors or Questions About Your Electronic Transfers.

Disclosures Page 2 of 5

For the Period 10/1/13 to 10/31/13

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.

In case of other errors or questions about other account statement(s) Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR MARGIN ACCOUNT(S)

All positions in your Margin Account Portfolio(s) are held in custody at J.P. Morgan Clearing Corp. ("JPMCC"), Three Chase Metrotech Center, Brooklyn, NY 11245-001, (347) 643-2578.

If you have a margin account as permitted by law we may use certain securities in such account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

Disclosures Page 3 of 5

For the Period 10/1/13 to 10/31/13

Please keep the following in mind when using a statement to track your brokerage activity in a margin account: The statement combines your general margin account with the special memorandum account required by Section 220.6 Regulation T. As required by Regulation T, a permanent record of your separate account is available upon your request. Free credit balances in your margin account(s) are not segregated and may be used in the operation of JPMCCs business, subject to the limitations of SEC Rule 15c3-3. Unless otherwise noted, JPMCC or its agents and depositories will hold your securities. Upon your demand, JPMCC will pay to you the amount of your free credit balance, and will deliver to you fully-paid securities held on your behalf.

Interest will be charged on any debit balance; the method of calculating interest is described in a letter sent to all margin customers.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market

prices as of the close of the last business day for this statement period.

Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer

to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or

Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the

information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Disclosures Page 4 of 5

For the Period 10/1/13 to 10/31/13

Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

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Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

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Disclosures Page 5 of 5

