

GHISLAINE MAXWELL



JPMorgan Chase Bank, N.A.

GHISLAINE MAXWELL

[REDACTED] (Reviewed)

For the Period 10/1/12 to 10/31/12

Asset Account

J.P. Morgan Team

Jason Bell

Janet Young

Gina Magliocco

Investment Specialist

Client Service Team

Client Service Team

[REDACTED]
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Account Summary

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Other Assets

Portfolio Activity

Online access

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

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9 R

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Client News

If you plan to make gifts of securities this year, please notify your Client Service Representative before December 1, 2012. This will allow us to process your request before year-end and meet the deadline for tax filing purposes.

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Account Summary
Asset Allocation
Equity
Alternative Assets
Cash & Fixed Income
Other
Market Value
Accruals
Market Value with Accruals
Beginning
Market Value
716,979.98
84,903.67
7,349,854.32
95,080.11
\$8,246,818.08
19,968.17
\$8,266,786.25
Ending
Market Value
725,752.95
82,482.42
7,363,104.02
159,882.30
\$8,331,221.69
17,581.99
\$8,348,803.68
Current
Portfolio Activity
Beginning Market Value
Contributions
Withdrawals & Fees
Securities Transferred In
Securities Transferred Out
Net Contributions/Withdrawals
Income & Distributions
Change In Investment Value
Ending Market Value
Accruals
Market Value with Accruals
(243.16)
1,724,107.92
(1,677,063.23)
\$46,801.53
16,946.04
20,656.04
\$8,331,221.69
17,581.99
\$8,348,803.68

Period Value
8,246,818.08
Change
In Value
8,772.97
(2,421.25)
13,249.70
64,802.19
\$84,403.61
(2,386.18)
\$82,017.43
Year-to-Date
Value
4,926,904.29
4,972,947.20
(2,081,165.74)
14,267,350.24
(14,755,268.29)
\$2,403,863.41
90,546.88
909,907.11
\$8,331,221.69
17,581.99
\$8,348,803.68
Estimated
45,693.48
45,161.61
\$90,855.09
Current
Annual Income Allocation
11%
1%
86%
2%
100%
Equity
Cash &
Fixed Income
Other
Alternative
Assets
Asset Allocation
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/12 to 10/31/12

Account Summary

Tax Summary

Domestic Dividends/Distributions

Foreign Dividends

Currency Gain/Loss

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

5,925.00

2,579.61

13.27

7,709.30

\$16,227.18

1,250.00

(531.14)

\$718.86

Year-to-Date

Value

14,729.60

12,777.47

(15,363.25)

71,366.89

\$83,510.71

10,000.00

(2,963.83)

\$7,036.17

Unrealized Gain/Loss

To-Date Value

(\$57,046.10)

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

Year-to-Date

Value

(45,626.77)

(82,656.00)

(\$128,282.77)

Note: * This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax

implications.

¹ Other category combines all the remaining Tax Exempt Income generated by securities in the account which may not have a state affiliation.

Tax-Exempt Income
Summary by State*

MA

MI

Current

Period Value

718.86

Year-to-Date

Value

1,824.06

1,606.61

Tax-Exempt Income
Summary by State*

TX

Tax-Exempt Income

\$718.86

Current

Period Value

Year-to-Date

Value

3,605.50

\$7,036.17

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Account Summary
Cost Summary
Equity
Cash & Fixed Income
Other
Total

CONTINUED

Cost
764,967.39
7,391,756.64
90,364.18
\$8,247,088.21
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GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 10/1/12 to 10/31/12
 Equity Summary
 Asset Categories
 US Large Cap Equity
 US Small/Mid Cap Equity
 Non-US Equity
 Preferred Stocks
 Concentrated & Other Equity
 Total Value
 Market Value/Cost
 Market Value
 Tax Cost
 Unrealized Gain/Loss
 Estimated Annual Income
 Accrued Dividends
 Yield
 Equity Detail
 Price
 US Large Cap Equity
 APACHE CORP
 037411-10-5 APA
 Quantity
 Value
 Adjusted Tax Cost
 Original Cost
 Unrealized
 Gain/Loss
 Est. Annual Inc.
 Accrued Div.
 Yield
 Beginning
 Market Value
 55,587.22
 5,130.00
 139.76
 449,298.00
 206,825.00
 \$716,979.98
 Ending
 Market Value
 58,158.40
 3,990.00
 150.05
 449,211.00
 214,243.50
 \$725,752.95
 Current
 Period Value
 725,752.95
 764,967.39

(39,214.44)
45,693.48
1,853.65
6.27%
US Large Cap Equity
Concentrated & Other Equity
Equity as a percentage of your portfolio - 11 %
Change
In Value
2,571.18
(1,140.00)
10.29
(87.00)
7,418.50
\$8,772.97
Current
Allocation
1%
1%
1%
5%
3%
11%
US Small/Mid Cap Equity
Preferred Stocks
Non-US Equity
Asset Categories
82.72
95.000
7,858.31
11,403.30
(3,544.99)
64.60
16.15
0.82%
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Price
US Large Cap Equity
BANK OF AMERICA CORP
CITIGROUP INC NEW
060505-10-4 BAC
37.39
172967-42-4 C
PROSHARES ULTRASHORT S&P500 NEW
PROSHARES TRUST
SCHLUMBERGER LTD
Total US Large Cap Equity
74347B-30-0 SDS
30.61
74347X-23-7 QID
69.53
806857-10-8 SLB
\$58,158.40
\$116,137.71
(\$57,979.31)
\$240.98
\$16.15
US Small/Mid Cap Equity
GERON CORP
374163-10-3 GERN
Non-US Equity
RIO TINTO PLC
ISIN GB0007188757 SEDOL 0718875
766994-90-9 GBP
0.41%
113.000
7,856.89
9,524.04
(1,667.15)
124.30
1.58%
200.000
6,122.00
26,203.50
(20,081.50)
56.55
250.000
14,137.50
44,809.50
(30,672.00)
358.000
13,385.62
14,048.52
(662.90)
14.32

0.11%
9.32
944.000
8,798.08
10,148.85
(1,350.77)
37.76
0.43%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
1.33
3,000.000
3,990.00
18,129.32
(14,139.32)
50.02
3.000
150.05
212.23
(62.18)
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/12 to 10/31/12

Price

Preferred Stocks

CITIGROUP CAPITAL XIII

7 7/8% PFD

173080-20-1 C PNNA /BA1

COUNTRYWIDE CAPITAL V

7% PFD

222388-20-9 CFC PB

JPM CHASE CAPITAL XXIX

6.7% PFD

48125E-20-7 JPM PC

Total Preferred Stocks

26.31

2,500.000

65,775.00

\$449,211.00

62,500.00

\$422,578.96

3,275.00

\$26,632.04

4,187.50

\$31,227.50

\$1,837.50

Concentrated & Other Equity

GENERAL MOTORS CO

CV PFD B

37045V-20-9 GM PB

JPMORGAN CHASE & CO

7.9% PFD STK APR 30 2049

DTD 04/23/2008

46625H-HA-1 BBB /BA1

Total Concentrated & Other Equity

\$214,243.50

\$207,909.17

\$6,334.33

\$14,225.00

6.57%

115.75

150,000.000

173,623.50

157,909.17

15,714.33

11,850.00

6.74%

6.37%

6.95%

25.08

4,200.000

105,336.00

98,778.96
6,557.04
7,350.00
1,837.50
6.98%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
27.81
10,000.000
278,100.00
261,300.00
16,800.00
19,690.00
7.08%
40.62
1,000.000
40,620.00
50,000.00
(9,380.00)
2,375.00
5.85%
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Alternative Assets Summary
Beginning
Asset Categories
Hard Assets
Estimated Value
84,903.67
Alternative Assets Detail
Price
Hard Assets
ETFs METAL SECURITIES LTD
ISIN JE00B1VS3770 SEDOL B1VS377
131994-91-5
SPDR GOLD TRUST
Total Hard Assets
78463V-10-7 GLD
\$82,482.42
\$76,999.78
166.83
190.000
31,697.70
31,931.40
168.72
301.000
50,784.72
45,068.38
Quantity
Estimated
Value
Cost
Ending
Estimated Value
82,482.42
Change
In Value
(2,421.25)
Current
Allocation
1%
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Cash & Fixed Income Summary
Beginning
Asset Categories
Cash
Non-USD Cash
Non-USD Short Term
US Fixed Income
Non-US Fixed Income
Total Value
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Accrued Interest
Yield
Market Value
5,840,799.03
349,222.62
114,401.87
632,074.05
413,356.75
\$7,349,854.32
Ending
Market Value
5,855,471.25
17,878.83
445,969.02
633,126.82
410,658.10
\$7,363,104.02
Current
Period Value
7,363,104.02
7,391,756.64
(28,652.62)
45,161.61
15,728.34
0.57%
Change
In Value
14,672.22
(331,343.79)
331,567.15
1,052.77
(2,698.65)
\$13,249.70
Current
Allocation

67%

1%

5%

8%

5%

86%

Non-USD Short Term

Non-USD Cash

US Fixed Income

Non-US Fixed Income

Asset Categories

Cash

Cash & Fixed Income as a percentage of your portfolio - 86 %

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
SUMMARY BY MATURITY

1

Cash & Fixed Income
0-6 months
6-12 months¹
1-5 years¹
10+ years¹
Total Value

1

SUMMARY BY TYPE

Market
Value
6,461,828.22
114,237.95
559,870.35
227,167.50
\$7,363,104.02
% of Bond
Portfolio
89%
1%
7%
3%
100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

R indicates position reviewed.

1

Cash & Fixed Income
Cash
NON USD Cash
Corporate Bonds
Municipal Bonds
International Bonds
Mutual Funds
Total Value

Market
Value
5,855,471.25
17,878.83
305,077.00
227,167.50
935,554.13
21,955.31
\$7,363,104.02

A - Bonds purchased at a premium show amortization.

This is the Annual Percentage Yield (APY) which is the rate earned if

balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

JPM NY MUNI MM FD - MORGAN

Total Cash

FUND 3

\$5,855,471.25

\$5,855,471.25

\$0.00

\$585.54

\$49.50

0.01%

1.00

1.00

5,855,470.25

1.00

5,855,470.25

1.00

5,855,470.25

1.00

585.54

49.50

0.01% ¹

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

% of Bond

Portfolio

79%

1%

4%

3%

12%

1%

100%

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Price
Non-USD Cash
AUSTRALIAN DOLLAR
POUND STERLING
HONG KONG DOLLAR
TURKISH LIRA NEW
PRINCIPAL CURRENCY
Total Non-USD Cash
1.04
1.61
0.13
0.56
100.00
4,212.18
950.00
19,500.00
103.69
6,786.03
122.58
10,866.53
\$17,878.83
103.25
6,726.54
122.44
10,763.97
\$17,716.20
0.44
59.49
0.14
102.56
\$162.63
\$0.00
0.00%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
Non-USD Short Term
JP MORGAN CHASE BANK - NOK
17.52
LONDON TIME DEPOSITS 0.8500%
DATED 10/30/2012 MATURITY 11/06/2012
HELD BY LONDON TREASURY SERVICES
Bearer

2532D9-9R-9 NOK
JP MORGAN CHASE BANK - CAD
LONDON TIME DEPOSITS 0.5700%
DATED 10/31/2012 MATURITY 11/07/2012
HELD BY LONDON TREASURY SERVICES

Bearer

2532D9-9Y-4 CAD

100.05

115,616.13

115,673.97

113,223.45

2,450.52

659.33

1.73

0.58%

1,233,545.68

216,057.10

208,173.12

7,883.98

1,836.48

10.15

0.86%

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Price
Non-USD Short Term
KFW - TRY
MTN 9 3/4% JUL 16 2013
DTD 02/10/2010
HELD BY EUROCLEAR
ISIN XS0484842470 SEDOL B637YV8
14085A-9A-6 AAA /AAA TRY
Total Non-USD Short Term
\$445,969.02
\$456,952.13
(\$10,983.11)
\$13,362.34
\$3,227.14
US Fixed Income
JPM MANAGED RESERVES C
(DIST) - GBP (HEDGED)
051398-91-5 GBP
ISHARES MARKIT IBOXX CORPORATE BOND
ISIN IE00B00FV011 SEDOL B00FV01
461278-91-3 GBP
HSBC FINANCE CORP
MEDIUM TERM NOTE 2.9% OCT 15 2015
DTD 10/15/2010
40429X-YB-6 A /BAA
GENERAL ELEC CAP CORP
MEDIUM TERM FLOATING RATE NOTE
MAY 11 2016 DTD 05/11/2007
36962G-2V-5 AA+ /A1
BANK OF AMERICA CORP
MEDIUM TERM NOTE
3.6% NOV 15 2016
DTD 11/04/2010
06050W-DW-2 A- /BAA
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103.19
150,000.00
154,786.50
150,000.00
4,786.50
5,400.00
2,490.00
2.76%
97.69
50,000.00
48,842.50
45,394.50
3,448.00
333.42

75.90
1.34%
101.45
100,000.00
101,448.00
100,000.00
1,448.00
2,900.00
128.80
2.39%
209.10
105.00
21,955.31
19,023.08
2,932.23
10,321.30
7.65
78,927.01
80,157.26
(1,230.25)
2.10%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
57.12
200,000.00
114,237.95
135,555.56
(21,317.61)
10,866.53
3,215.26
5.97%

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 10/1/12 to 10/31/12

Price

US Fixed Income

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

A MA ST HLTH & EDUCNTL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$633,126.82

\$610,767.46

\$614,541.84

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 GBP

ISHARES BARCLAYS CAPITAL

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 GBP

20.40

5,790.00

118,092.21

118,212.32

(120.11)

1,851.44

201.03

187.90

37,772.54

39,293.00

(1,520.46)

\$22,359.36

\$18,633.42

\$4,694.60

2.54%

109.64

50,000.00

54,817.50
53,047.38
54,040.00
1,770.12
2,500.00
736.10
4.27%
114.71
100,000.00
114,708.00
108,704.81
110,503.00
6,003.19
5,000.00
1,055.50
3.78%
115.28
50,000.00
57,642.00
54,440.43
55,424.00
3,201.57
2,500.00
208.30
3.59%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 10/1/12 to 10/31/12

Price

Non-US Fixed Income

R INTER AMERICAN DEVELOPMENT BANK- INR

MEDIUM TERM NOTE 3% MAR 25 2014

DTD 03/25/2010

HELD BY EUROCLEAR

ISIN XS0495010562 SEDOL B5114T3

U45818-9G-5 AAA /AAA INR

INTER AMERICAN DEVEL BK - IDR

MEDIUM TERM NOTE 6.50% JUN 04 2014

DTD 06/04/2010

HELD BY EUROCLEAR

ISIN XS0513770957 SEDOL B4YWHC0

45818W-9K-4 AAA /AAA IDR

Total Non-US Fixed Income

\$410,658.10

\$450,849.60

(\$40,191.50)

\$12,580.31

\$7,757.10

3.96%

0.01 1,300,000,000.00

136,656.00

150,112.11

(13,456.11)

8,797.50

3,615.30

5.89%

1.76

10/10/12

6,700,000.00

118,137.35

143,232.17

(25,094.82)

3,782.81

2,290.36

6.96%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12

Other Summary
Asset Categories

Balanced
Beginning
Estimated Value
95,080.11

Ending
Estimated Value
159,882.30

Change
In Value
64,802.19

Current
Allocation
2%

Current
Market Value/Cost
Estimated Value

Tax Cost
Estimated Gain/Loss

Note:

**

Period Value
159,882.30
90,364.18
5,338.32

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position. Please contact your J.P. Morgan team for additional information.

Other Detail

Price
Balanced
JPM INC OPP - GBP - A - DIST(HEDGED)

ISIN LU0323456201

287991-93-9 GBP

146.49

438.109

64,179.80

N/A **

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Price
Balanced
PIMCO FUNDS GLOBAL INVESTORS SERIES
- GLOBAL INVESTMENT GRADE CREDIT
FUND
ISIN IE00B3BMD843 SEDOL B3BMD84
695421-91-7 GBP
Total Balanced
\$159,882.30
\$90,364.18
\$5,338.32
21.94
4,361.513
95,702.50
90,364.18
5,338.32
Quantity
Value
Adjusted Cost
Original Cost
Unrealized
Gain/Loss
Accruals
Page 16 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
 For the Period 10/1/12 to 10/31/12
 Portfolio Activity Summary - U S Dollar
 Beginning Cash Balance
 PRINCIPAL
 Current
 Transactions
 INFLOWS
 Income
 Contributions
 Foreign Exchange - Inflows
 Total Inflows
 OUTFLOWS **
 Withdrawals
 Foreign Exchange - Outflows
 Total Outflows
 TRADE ACTIVITY
 Settled Sales/Maturities/Redemptions
 Settled Securities Purchased
 Total Trade Activity
 Ending Cash Balance
 \$0.00
 \$5,855,470.25
 640,598.74
 (41,431.40)
 \$599,167.34
 -\$0.00
 \$0.00
 \$0.00
 -\$0.00
 \$14,672.22
 Period
 Value
 5,840,798.03
 14,672.22
 Year-To-Date
 Value*
 -75,471.33
 4,972,118.20
 622,934.18
 \$5,670,523.71
 (1,419,530.95)
 (159,902.42)
 (\$1,579,433.37)
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 INCOME
 Current
 Period

Value
0.00
Year-To-Date
Value*
-Current
Securities
Transferred In/Out
Securities Transferred Out
Period Value
(13,231.00)
Year-To-Date
Value*
(13,231.00)
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Portfolio Activity Summary - U S Dollar
Current

Cost Adjustments
Amortization
Cost Adjustments
Total Cost Adjustments
(\$531.14)
Period Value
(531.14)
Year-To-Date
Value*

(2,963.83)
9,237.41
\$6,273.58

* Year to date information is calculated on a calendar year basis.
** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position
Portfolio Activity Detail - U S Dollar
INFLOWS & OUTFLOWS

Type
Settle Date Selection Method
10/1

Municipal Interest
Description
MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD
5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK
ENTRY ONLY PAR CALL 10/01/2016 @ 100
(ID: 59455T-PF-3)

10/1
10/2
10/12
Interest Income
Interest Income
Foreign Dividend
DEPOSIT SWEEP INTEREST FOR SEPT @ .01% RATE ON
NET AVG COLLECTED BALANCE OF \$5,779,724.08 AS
OF 10/01/12

JPM CHASE CAPITAL XXIX 6.7% PFD @ 0.41875 PER
SHARE (ID: 48125E-20-7)
SCHLUMBERGER LTD @ 0.275 PER SHARE
(ID: 806857-10-8)

2,500.000
113.000
0.419
0.275
1,046.88
31.08
47.39

Quantity
Cost
50,000.000
Per Unit
Amount
0.025
PRINCIPAL
Amount
1,250.00
INCOME
Amount
Page 18 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/15

10/30

10/30

Corporate Interest

Div Domestic

Interest Income

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

10/5

Description

NonTaxableExchange PROSHARES ULTRASHORT S&P500 NEW REVERSE SPLIT -
HOLDERS RECEIVE 1 NEW UNIT FOR EVERY 4 OLD
UNITS OF PROSHARES ULTRASHORT S&P 500, CUSIP:
74347R883, HELD. (ID: 74347B-30-0)

Securities Transferred Out

10/5

Exchange

Description

HSBC FINANCE CORP MEDIUM TERM NOTE 2.9% OCT 15
2015 DTD 10/15/2010 (ID: 40429X-YB-6)

JPMORGAN CHASE & CO 7.9% PFD STK APR 30 2049
DTD 04/23/2008 (ID: 46625H-HA-1)

CITIGROUP CAPITAL XIII 7 7/8% PFD @ 0.492187
PER SHARE (ID: 173080-20-1)

Quantity

Cost

Per Unit

Amount

100,000.000

150,000.000

10,000.000

0.015

0.04

0.492

PRINCIPAL

Amount

1,450.00

5,925.00

4,921.87

\$14,672.22

INCOME

Amount

* Transaction Market Value is representative of the prior trading day's

market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

250.000

44,809.50

Transaction

Market Value *

PROSHARES ULTRASHORT S&P500 REVERSE SPLIT -

HOLDERS RECEIVE 1 NEW UNIT OF PROSHARES

(1,000.000)

44,809.50

ULTRASHORT S&P 500, CUSIP: 74347B300, FOR EVERY

4 OLD UNITS HELD. (ID: 74347R-88-3)

(13,231.00)

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/12 to 10/31/12

COST ADJUSTMENTS

Settle Date

10/1

Type

Amortization

Description

MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD
5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK
ENTRY ONLY PAR CALL 10/01/2016 @ 100 MUNICIPAL
BOND PREMIUM AMORTIZATIO (ID: 59455T-PF-3)

Quantity

50,000.000

Cost Basis

Adjustments

(531.14)

Page 20 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Portfolio Activity Summary - Canadian Dollar
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS **

Total Outflows

Withdrawals

Ending Cash Balance

Period Value

-64.84

\$64.84

(64.84)

(\$64.84)

-Year-To-Date

Value*

-581.86

\$581.86

(581.86)

(\$581.86)

-Local

Value

Current

Period Value

0.00

64.05

64.05

(64.05)

(64.05)

0.00

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-582.59
582.59
(582.59)
(582.59)
-Page
21 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Portfolio Activity Summary - Canadian Dollar
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

585,014.77

(585,022.37)

Year-To-Date

Value*

4,843,083.61

(4,841,922.82)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/3

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 09/26/2012 MATURITY 10/03/2012

HELD BY LONDON TREASURY SERVICES

(ID: 2531P9-9S-6)

10/3

10/10

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 10/03/2012 MATURITY 10/10/2012

HELD BY LONDON TREASURY SERVICES

(ID: 2531S9-9V-6)

10/10

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(12.810)

(13.09)

(12.81)

Page 22 of 35

(12.810)

115,564.890

(13.03)

(12.81)

13.09

12.81
Quantity
115,552.080
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
13.03
12.81
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
INFLOWS & OUTFLOWS
Per Unit

Type
Settle Date Selection Method
10/17

Foreign Interest
Description
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/10/2012 MATURITY 10/17/2012
HELD BY LONDON TREASURY SERVICES
(ID: 2531U9-9W-1)

10/17
10/24
Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR
(ID: 0CADPR-AA-6)

Foreign Interest
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/17/2012 MATURITY 10/24/2012
HELD BY LONDON TREASURY SERVICES
(ID: 2531X9-9U-2)

10/24
10/31
Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR
(ID: 0CADPR-AA-6)

Foreign Interest
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/24/2012 MATURITY 10/31/2012
HELD BY LONDON TREASURY SERVICES
(ID: 2532A9-9L-5)

10/31
Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR
(ID: 0CADPR-AA-6)

Total Inflows & Outflows
(12.810)

(12.82)
(12.81)
\$0.00
(12.810)
115,603.320

(12.91)
(12.81)
12.82
12.81
(12.810)

115,590.510
(12.99)
(12.81)
12.91
12.81

Quantity
115,577.700
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
12.99
12.81
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
Page 23 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

10/3

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/03/2012 MATURITY 10/10/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531S9-9V-6)

10/11

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/10/2012 MATURITY 10/17/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
AS OF 10/10/12 (ID: 2531U9-9W-1)

10/17

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/17/2012 MATURITY 10/24/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531X9-9U-2)

10/24

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/24/2012 MATURITY 10/31/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2532A9-9L-5)

10/31

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/31/2012 MATURITY 11/07/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2532D9-9Y-4)

Total Securities Transferred In

\$585,014.77

115,616.130

113,223.45

115,691.33

115,603.320

113,210.63

116,471.03

115,590.510

113,197.72

117,225.81

115,577.700

113,184.73

118,087.05

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

115,564.890

113,171.64

Transaction

Market Value *

117,539.55

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

10/3

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 09/26/2012 MATURITY 10/03/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531P9-9S-6)

10/10

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/03/2012 MATURITY 10/10/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531S9-9V-6)

10/17

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/10/2012 MATURITY 10/17/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531U9-9W-1)

10/24

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/17/2012 MATURITY 10/24/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531X9-9U-2)

10/31

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 10/24/2012 MATURITY 10/31/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2532A9-9L-5)

Total Securities Transferred Out

(\$585,022.37)

(115,603.320)

113,210.63

(115,678.51)

(115,590.510)

113,197.72

(116,458.12)

(115,577.700)

113,184.73

(117,212.82)
(115,564.890)
113,171.64
(118,146.39)
Quantity
Cost
(115,552.080)
113,158.61
Transaction
Market Value *
(117,526.53)
Page 25 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
 For the Period 10/1/12 to 10/31/12
 Portfolio Activity Summary - Norwegian Krone
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Income
 INFLOWS
 Total Inflows
 OUTFLOWS **
 Withdrawals
 Foreign Exchange - Outflows
 Total Outflows
 TRADE ACTIVITY
 Total Trade Activity
 Settled Sales/Maturities/Redemptions
 \$0.00
 Ending Cash Balance
 -Period
 Value
 Beginning Cash Balance
 -178.32
 \$178.32
 (178.32)
 (\$178.32)
 Year-To-Date
 Value*
 -1,566.15
 \$1,566.15
 (104,526.55)
 (13,993.71)
 (\$118,520.26)
 116,983.65
 \$116,983.65
 -Local
 Value
 Current
 Period Value
 0.00
 1,018.88
 1,018.88
 (1,018.88)
 (1,018.88)
 Year-To-Date
 Value*
 -9,135.73
 9,135.73
 (601,288.39)
 (80,557.60)
 (681,845.99)

0.00

0.00

672,710.26

672,710.26

-Page

26 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Portfolio Activity Summary - Norwegian Krone
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Current

Securities

Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

1,078,988.15

(1,078,809.86)

Year-To-Date

Value*

7,995,979.34

(8,532,025.83)

US Dollar Value

Current

Cost Adjustments

Cost Adjustments

Total Cost Adjustments

Period Value

\$0.00

Year-To-Date

Value*

(138,881.78)

(\$138,881.78)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

0.00

Local Value

Current

Period Value

Year-To-Date
Value*
(784,956.53)
(784,956.53)
Page 27 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Portfolio Activity Detail - Norwegian Krone
INFLOWS & OUTFLOWS
Per Unit

Type

Settle Date Selection Method

10/2

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2531P9-9M-9)

10/2

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2531S9-9Q-7)

10/9

10/16

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2531U9-9S-0)

10/16

10/23

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2531X9-9Q-1)

10/23

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(203.810)

(35.96)

(203.81)

(203.780)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,233,138.030

0.8500% DATED 10/16/2012 MATURITY 10/23/2012

(35.65)

(203.78)

35.96

203.81

(203.740)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,232,934.250

0.8500% DATED 10/09/2012 MATURITY 10/16/2012

(35.72)

(203.74)

35.65

203.78

(203.710)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,232,730.510

0.8500% DATED 10/02/2012 MATURITY 10/09/2012

(35.60)

(203.71)

35.72

203.74

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,232,526.800

0.8500% DATED 09/25/2012 MATURITY 10/02/2012

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

35.60

203.71

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Page 28 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
INFLOWS & OUTFLOWS
Per Unit

Type

Settle Date Selection Method
10/30

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES
(ID: 2532A9-9D-3)

10/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE
(ID: 0NOKPR-AA-1)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method
Securities Transferred In

10/2

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.8500% DATED 10/02/2012 MATURITY 10/09/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531S9-9Q-7)

10/9

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.8500% DATED 10/09/2012 MATURITY 10/16/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531U9-9S-0)

10/16

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.8500% DATED 10/16/2012 MATURITY 10/23/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531X9-9Q-1)

Page 29 of 35

1,233,138.030

208,101.77

215,724.99

1,232,934.250

208,066.12

216,135.51

(203.840)

(35.39)

(203.84)

\$0.00

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,233,341.840

0.8500% DATED 10/23/2012 MATURITY 10/30/2012

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

35.39

203.84

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,232,730.510

208,030.40

Transaction

Market Value *

215,403.11

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

10/23

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.8500% DATED 10/23/2012 MATURITY 10/30/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2532A9-9D-3)

10/30

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.8500% DATED 10/30/2012 MATURITY 11/06/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2532D9-9R-9)

Total Securities Transferred In

Securities Transferred Out

10/2

Free Delivery

\$1,078,988.15

1,233,545.680

208,173.12

214,136.78

Quantity

Cost

1,233,341.840

208,137.73

Transaction

Market Value *

217,587.76

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.8500% DATED 09/25/2012 MATURITY 10/02/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531P9-9M-9)

10/9

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.8500% DATED 10/02/2012 MATURITY 10/09/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531S9-9Q-7)

10/16

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 10/09/2012 MATURITY 10/16/2012
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2531U9-9S-0)
(1,232,934.250)
208,066.12
(215,689.35)
(1,232,730.510)
208,030.40
(216,099.79)
(1,232,526.800)
207,994.80
(215,367.52)
Page 30 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

10/23

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 10/16/2012 MATURITY 10/23/2012

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2531X9-9Q-1)

10/30

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 10/23/2012 MATURITY 10/30/2012

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2532A9-9D-3)

Total Securities Transferred Out

(\$1,078,809.86)

(1,233,341.840)

208,137.73

(214,101.40)

Quantity

Cost

(1,233,138.030)

208,101.77

Transaction

Market Value *

(217,551.80)

Page 31 of 35

GHISLAINE MAXWELL ACCT. [REDACTED]
 For the Period 10/1/12 to 10/31/12
 Portfolio Activity Summary - Pound Sterling
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Income
 OUTFLOWS **
 Withdrawals
 Tax Payments
 Foreign Exchange - Outflows
 Total Outflows
 TRADE ACTIVITY
 Settled Sales/Maturities/Redemptions
 Settled Securities Purchased
 Total Trade Activity
 Ending Cash Balance
 (1,154.22)
 (\$1,154.22)
 -731,933.29
 (1,329.75)
 \$730,603.54
 -(714.69)
 (714.69)
 4,212.18
 455,251.88
 (824.19)
 454,427.69
 -\$0.00
 Period
 Value
 Beginning Cash Balance
 INFLOWS
 Foreign Exchange - Inflows
 Total Inflows
 -2,548.53
 \$2,548.53
 Year-To-Date
 Value*
 -12,069.86
 159,902.42
 \$171,972.28
 (556,140.84)
 (355.93)
 (358,568.42)
 (\$915,065.19)
 0.00
 Local
 Value
 Current

Period Value

3,346.09

1,580.78

1,580.78

Year-To-Date

Value*

-7,605.66

100,776.72

108,382.38

(350,837.45)

(222.15)

(226,311.80)

(577,371.40)

Page

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Portfolio Activity Summary - Pound Sterling
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Current

Securities

Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

60,105.00

Year-To-Date

Value*

1,428,287.29

(1,368,088.64)

US Dollar Value

Current

Cost Adjustments

Cost Adjustments

Total Cost Adjustments

Period Value

\$0.00

Year-To-Date

Value*

19,059.78

\$19,059.78

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

0.00

Local Value

Current

Period Value

Year-To-Date

Value*

12,137.60

12,137.60

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/12 to 10/31/12
Portfolio Activity Detail - Pound Sterling
INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/1

Foreign Dividend

Description

PIMCO FUNDS GLOBAL INVESTORS SERIES - GLOBAL
INVESTMENT GRADE CREDIT FUND ISIN IE00B3BMD843
SEDOL B3BMD84 @ 0.119082 PER SHARE CONV. @
"CONV RATE" AS OF 09/28/12 (ID: 695421-91-7)

10/3

10/9

Foreign Dividend

Foreign Dividend

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

10/24

Receipt of Assets

Description

JPM INC OPP - GBP - A - DIST(HEDGED) ISIN
LU0323456201 RECEIVED FROM JPM GENIEVA
(ID: 287991-93-9)

JPM MANAGED RESERVES C (DIST) - GBP (HEDGED) AS
OF 10/01/12 (ID: 051398-91-5)

BLUEBAY HIGH YIELD BD FD GBP HDG-DB ISIN
LU0222772518 @ 1.8452 PER SHARE CONV. @ "CONV
RATE" AS OF 10/05/12 (ID: G13289-92-4)

\$2,548.53

\$0.00

7.535

187.898

153.181

94.849

2.957

1.845

1,154.22

714.69

555.62

346.71

Quantity

4,361.513

Amount USD

Local Value

0.192

0.119

PRINCIPAL

Amount USD

Local Value

838.69

519.38

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

438.109

0.00

Transaction

Market Value *

60,105.00

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/12 to 10/31/12

TRADE ACTIVITY - Pound Sterling

Per Unit

Trade Date

Settle Date

Type

Settled Securities Purchased

10/1

Purchase

10/3

Description

JPM MANAGED RESERVES C (DIST) - GBP (HEDGED) AS

OF 10/01/12 (ID: 051398-91-5)

Quantity

0.112

Amount USD

Local Value

10,305.536

1.62

Market

Cost USD

Local Value

(1,154.22)

(714.69)

Currency

Gain/Loss USD

13.27

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For the Period 10/1/12 to 10/31/12

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 5

For the Period 10/1/12 to 10/31/12

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S. Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

In Case of Other Errors or Questions About Your Asset Account Statement(s)
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at (202) 371-8300.

In Case of Errors or Questions About Your Electronic Transfers.

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For the Period 10/1/12 to 10/31/12

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, [REDACTED], [REDACTED] as soon as

you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market prices as of the close of the last business day for this statement period. Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

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For the Period 10/1/12 to 10/31/12

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's

stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other

important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at (██████████). You also may view and order materials online for JPMorgan funds at www.jpmorganfunds.com.

J.P. Morgan affiliates may receive compensation from the JPMorgan funds for providing investment advisory services to the funds. J.P. Morgan affiliates may also provide administrative, custodial, sales, distribution, shareholder or other services to the JPMorgan Funds or funds established, sponsored, advised, or managed by third parties, and J.P. Morgan affiliates may be compensated for such services as allowed by applicable law. The distributor of the JPMorgan Funds is JPMorgan Distribution Services, Inc., which is an affiliate of JPMCB.

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For the Period 10/1/12 to 10/31/12

Assets may be reflected herein even though they may be held by a third party unaffiliated with J.P. Morgan. In such cases, unless J.P. Morgan otherwise agrees, J.P. Morgan has no responsibility for the verification, valuation, safekeeping or management of those assets.

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