

Private-Client-Group--Domestic-Security-Instructions

ok JS

General Requester Information			
Account Information Account # [REDACTED] Account Title SOUTHERN FINANCIAL LLC Product Assot		Priority Request Reason Internal request Remarks	Justification
Requester Information Name Grosse, Jason Phone # 00 Dept/Loc DE Time 10:02 AM Date 09/25/2013			

Case Number: 3264036
 Deliver Free
 Receive Free
 New Instruction

CMS DATA	
<input type="checkbox"/> Credit Approval Required	
<input type="checkbox"/> At or Near Margin Call	
Excess LV	31,222,866.31
Cash Available	9,859,908.06
Available Borrow	6,217,607.44
Negative LV	(7,282,392.56)

Statement Description - Trailer Debit
ASSET TRANSFER TO [REDACTED] SOUTHERN FINANCIAL, LLC
Statement Description - Trailer Credit
ASSET TRANSFER FROM [REDACTED] SOUTHERN FINANCIAL, LLC

Callback Stamp Information	
Callback Name:	Person Contacted:
Callback Made: N	Signature Verified: N
Callback Date/Time:	

Asset Transfer Reason
<input checked="" type="radio"/> Other
<input type="radio"/> DTC
<input type="radio"/> FRB
<input type="radio"/> Other

Authorized (Via CSW Password) By:	
Credit Risk Group CMS Approver	
Trade Date: 09/25/2013	Debit Tax Code:
Settlement Date: 09/26/2013	Credit Tax Code:
Tax Lot Selection: High Cost	

Special Instructions:

Route Code:	Trading Broker:
Beneficiary Account Number: [REDACTED]	Clearing Broker:
Beneficiary Account Title: SOUTHERN FINANCIAL, LLC	

Deliver To:
Quantity: 16535.000000 ✓ Registration: 66 Cusip Number: [REDACTED] Liened: NA Mean Value:
Transaction through STP: PEND APPR
Security Description: ARIAD PHARMACEUTICALS INC

September 25, 2013, 10:28:41 AM (EDT)