

Debit A/c # W91850003

TCS CASE# 3267036 o.r. JS.

Number	Account Name	Description	Ticker	Cusip	Quantity	Price	Base Price	Dt
2427481620101	SOUTHERN FINANCIAL, LLC-MGN	US DOLLAR		0USDPRAA7	33.49	0	0	
2427481620101	SOUTHERN FINANCIAL, LLC-MGN	US DOLLAR		0USDPRAA7	-33.49	0	0	
2427510920101	MGN	US DOLLAR		0USDPRAA7	214,640.75	0	1	
W91850003	SOUTHERN FINANCIAL, LLC	LLOYDS BANKING GROUP PLC ISIN GB00039709128 SEDOL 0870812	LLOY LN	091101108	291.000	0.762	1.218	
W91850003	SOUTHERN FINANCIAL, LLC	CANADIAN DOLLAR		0CADPRAA8	0.01	1	0.989	
W91850003	SOUTHERN FINANCIAL, LLC	SWISS FRANC		0CHFRAA4	0.01	1	1.081	
W91850003	SOUTHERN FINANCIAL, LLC	US DOLLAR		0USDPRAA7	5,573,313.57	0	1	
W91850003	SOUTHERN FINANCIAL, LLC	ARIAD-Pharmaceuticals Inc	ARIA	04033A100	16.535	0	20.86	
W91850003	SOUTHERN FINANCIAL, LLC	GENERAL ELECTRIC CO	GE	36080A103	23.008	0	24.26	
W91850003	SOUTHERN FINANCIAL, LLC	EURO PRINCIPAL CURRENCY		0EURPRAA8	283,178.22	1	1.336	
W91850003	SOUTHERN FINANCIAL, LLC	ADCO SA ISIN FR0000120404 SEDOL 5852842	AC FP	F00189906	8.750	30.825	41.145	
W91850003	SOUTHERN FINANCIAL, LLC	BNP PARIBAS ISIN FR0000131104 SEDOL 7305881	BNP FP	F07875003	6.000	60.53	67.447	
W91850003	SOUTHERN FINANCIAL, LLC	CARREFOUR SUPERMARCHE ISIN FR00000120172 SEDOL 9641967	CA FP	F13323903	10.500	25.54	34.091	
W91850003	SOUTHERN FINANCIAL, LLC	RENAULT SA ISIN FR0000131800 SEDOL 4712798	RENA	759894908	4.000	59.15	78.967	
W91850003	SOUTHERN FINANCIAL, LLC	AUTOGRIFF SA ISIN IT000013734 SEDOL 5256206	AGL IM	18347915	21.400	11.91	15.997	
W91850003	SOUTHERN FINANCIAL, LLC	SANGAM BIO SCIENCES INC	SGMO	800677106	50.000	0	13.06	
W91850003	SOUTHERN FINANCIAL, LLC	ADECO SAREG ISIN CH0012138803 SEDOL 7110720	ADEN	H00382820	4.900	63.7	68.831	
W91850003	SOUTHERN FINANCIAL, LLC	JC DECAUX INTERNATIONAL ISIN FR0000077919 SEDOL 7136663	DEC FP	F5333N910	10.000	26.98	36.013	
W91850003	SOUTHERN FINANCIAL, LLC	FRAPORT AG ISIN DE0005773303 SEDOL 7107551	FRA GR	D3866U900	5.000	51.545	68.802	
W91850003	SOUTHERN FINANCIAL, LLC	VOLKSWAGEN AG PFD ISIN DE0007664009 SEDOL 5487168	VOW3 GY	928862923	1.400	180.88	241.439	
W91850003	SOUTHERN FINANCIAL, LLC	JPM LAMIN AMERICA PFD - SEL FUND 3816	JLTSX	4812A3478	40,544.95	0	10.06	
W91850003	SOUTHERN FINANCIAL, LLC	DEUTSCHE BK AG LDN BR1 DBL LONG ETN28	DAG	25154H556	56.000	0	6.51	
W91850003	SOUTHERN FINANCIAL, LLC	JPM VALUE ADVANTAGE PFD - INSTL FUND 1400	VAOX	4812A2587	78,779.17	0	25.23	
W91850003	SOUTHERN FINANCIAL, LLC	ALLY FINANCIAL INC 8 1/2% PFD	ALLY PRB	02066N308	126,500	0	26.96	
W91850003	SOUTHERN FINANCIAL, LLC	EARTHLINEK INC 8 7/8% MAY 15 2019 DTD 05/17/2011	ELNK	270321A05	1,000,000	0	96.53	
W91850003	SOUTHERN FINANCIAL, LLC	LLOYDS TSB BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011		G4715L903	1,600,000	0	119.813	
W91850003	SOUTHERN FINANCIAL, LLC	HELD BY EUROCLEAR ISIN XS0747735822 SEDOL B7FOXT1		30063M102	17,500	0	45.23	
W91850003	SOUTHERN FINANCIAL, LLC	FACEBOOK INC-A	FB	30063M102	17,500	0	45.23	
W91850003	SOUTHERN FINANCIAL, LLC	SERVICE MASTER COMPANY 6% FEB 16 2020 DTD 02/11/2012	ZZZZ	81780NANA	2,500,000	0	100	
W91850003	SOUTHERN FINANCIAL, LLC	HEADWATERS INC 7 8/25 MARCH 2019 DTD 03/11/2011		42210PA05	1,000,000	0	105.25	
W91850003	SOUTHERN FINANCIAL, LLC	BARCLAYS BANK PLC 7 7/8% 04/16/2023 DTD 04/10/2013		06738PFX0	300,000	0	102.927	
W91850003	SOUTHERN FINANCIAL, LLC	COCA-COLA HBC AG ISIN CH0198251305 SEDOL 8869987		900674917	11,300	19.7	31.46	
W91850003	SOUTHERN FINANCIAL, LLC	IBG CAPITAL NO.1 P.L.C 144A VAR RT 12/29/2016 DTD 12/15/2009	COH LN	50176R9A1	3,000,000	0	104.67	
W91850003	SOUTHERN FINANCIAL, LLC	HELD BY EUROCLEAR ISIN XS073106283 SEDOL B51C139		40432XFP1	2,000,000	0	102.36	
W91850003	SOUTHERN FINANCIAL, LLC	HSBC CONT BUFF EO SX5E 08/29/14 80% EKO BARRIER 4%CPN 17% CAP INITIAL LEVEL 05/24/13 SX5E 2794.28		368822573	1,400,000	0	89.749	
W91850003	SOUTHERN FINANCIAL, LLC	GENERAL ELECTRIC CAP CORP 6.280% 12/9/2016 DTD 05/29/2013						