

*J. F. Mahoney
Paul Barrett*

Rosalia X Cracchiolo

From: Lisa E. Waters
Sent: Friday, February 26, 2010 3:07 PM
To: Mary C Casey
Cc: csg aig closely held business
Subject: Re: Important request -- time sensitive

Follow Up Flag: Follow up
Flag Status: Flagged

Ok for level 6.
Please do not send anything out until you here from mary casey. Investor is not yet decided on.

*approval sent to
connect 2 Asset only
Also to AllSokenope*

From: Mary C Casey
To: Lisa E. Waters
Cc: csg aig closely held business
Sent: Fri Feb 26 14:36:31 2010
Subject: FW: Important request -- time sensitive

hi -- can you please provide the Level 6 approval?

Thanks,

Mary

From: Rosalia X Cracchiolo
Sent: Friday, February 26, 2010 2:33 PM
To: Mary C Casey; csg aig closely held business
Cc: PB-Service1318
Subject: RE: Important request -- time sensitive

Hi Mary,

We can have all docs ready for you on Monday, but in the mean time we will need to have approval from Lisa Waters for the Lvl 6 options.

Can you please connect with Lisa to obtain approval?

Best Regards,
RC

From: Mary C Casey
Sent: Friday, February 26, 2010 2:28 PM
To: csg aig closely held business
Cc: PB-Service1318
Subject: Important request -- time sensitive

Just what you want to hear on a snow day!

We need to completely "re-document" the brokerage account for Financial Trust Company (cas id 9707980808). It will be an asset/brokerage account (the client already has an asset account; we're adding brokerage and want all documents and information updated) and will need ALL functionality (options level 6, e.g.; all asset classes; IPO cert form; etc., etc.).

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