

**The Morgan Account**  
**J.P. Morgan Securities, Inc.**  
**Derivatives Approval Form**



**MORGAN USE ONLY** US977 10/01  
 Title **Financial Trust Company Inc.**  
 SPN [REDACTED]  
 Primary CAS [REDACTED]

<b>E</b>	<b>BUSINESS AFFILIATIONS</b>	<p>I AM, OR HAVE BEEN, OR MY JOINT ACCOUNTHOLDER, MY SPOUSE OR ANOTHER FAMILY MEMBER IS, OR RECENTLY HAS BEEN, A DIRECTOR, CORPORATE OFFICER OR AN OWNER OF 10% OF A PUBLIC CORPORATION'S STOCK.</p> <p><input type="checkbox"/> Yes Name of Director/Officer/Owner _____</p> <p><input checked="" type="checkbox"/> No Name of Corporation _____</p> <p>IS THE CORPORATION TRADED PUBLICLY ON A U.S. STOCK EXCHANGE: <input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>I AM OR MY JOINT ACCOUNTHOLDER, MY SPOUSE, ANOTHER IMMEDIATE FAMILY MEMBER OR AN INDIVIDUAL CONTROLLING THIS ACCOUNT IS EMPLOYED BY OR ASSOCIATED WITH A U.S. BROKER/DEALER OPERATING ANYWHERE.</p> <p><input type="checkbox"/> Yes* Name of Broker/Dealer _____</p> <p><input checked="" type="checkbox"/> No Name of Employee/Associated Person _____</p> <p><i>* Employer must provide written permission on corporate letterhead to open the brokerage option of my Asset Account.</i></p> <p>I AM OR AN IMMEDIATE FAMILY MEMBER OR ANOTHER HOUSEHOLD MEMBER IS AN EMPLOYEE OF A FINANCIAL INSTITUTION (OTHER THAN BROKER/DEALER) OR INSURANCE COMPANY.</p> <p><input type="checkbox"/> Yes Name of Institution/Company _____</p> <p><input checked="" type="checkbox"/> No Name of Employee _____</p>																																			
<b>F</b>	<b>INVESTMENT OBJECTIVES &amp; RISK TOLERANCE</b>	<p>MY OBJECTIVES FOR THIS ACCOUNT (CHECK ONE):</p> <p><input type="checkbox"/> Capital preservation</p> <p><input type="checkbox"/> Income generation</p> <p><input checked="" type="checkbox"/> Capital appreciation</p> <p>SPECULATIVE OR AGGRESSIVE INVESTMENTS THAT MAY GENERATE HIGHER RETURNS BUT MAY BE RISKIER THAN OTHER INVESTMENTS BECAUSE I MAY LOSE ALL OR PART OF MY INVESTMENT (CHECK ONE):</p> <p><input checked="" type="checkbox"/> Are permitted in this account.</p> <p><input type="checkbox"/> Are not permitted in this account.</p> <p>PRIMARY SOURCE OF INCOME (FOR IRAS, INDIVIDUAL AND JOINT ACCOUNTS ONLY):</p> <p><input type="checkbox"/> Investments <input type="checkbox"/> Pension</p> <p><input type="checkbox"/> Compensation <input type="checkbox"/> Other _____</p>																																			
<b>G</b>	<b>INVESTMENT EXPERIENCE</b>	<p>PLEASE INDICATE INVESTMENT EXPERIENCE BELOW*:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: center;"># OF YEARS TRADED</th> <th style="text-align: center;">FREQUENCY OF TRADES</th> <th style="text-align: center;">AVERAGE SIZE OF TRADES</th> <th style="text-align: center;">TYPE OF TRADES (CASH/MARGIN/SHORT/LONG)</th> </tr> </thead> <tbody> <tr> <td>OPTIONS</td> <td style="text-align: center;">20+</td> <td style="text-align: center;">High</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">Cash / Short / Long</td> </tr> <tr> <td>STOCKS</td> <td style="text-align: center;">20+</td> <td style="text-align: center;">High</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">Cash / Short / Long</td> </tr> <tr> <td>BONDS</td> <td style="text-align: center;">20+</td> <td style="text-align: center;">High</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">Cash / Short / Long</td> </tr> <tr> <td>FX</td> <td style="text-align: center;">20+</td> <td style="text-align: center;">High</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">Cash / Short / Long</td> </tr> <tr> <td>COMMODITIES</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>OTHER</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </tbody> </table> <p><i>* Derivatives approval is most appropriate for active traders and will be granted subject to JPMSI approval.</i></p>		# OF YEARS TRADED	FREQUENCY OF TRADES	AVERAGE SIZE OF TRADES	TYPE OF TRADES (CASH/MARGIN/SHORT/LONG)	OPTIONS	20+	High	_____	Cash / Short / Long	STOCKS	20+	High	_____	Cash / Short / Long	BONDS	20+	High	_____	Cash / Short / Long	FX	20+	High	_____	Cash / Short / Long	COMMODITIES	_____	_____	_____	_____	OTHER	_____	_____	_____	_____
	# OF YEARS TRADED	FREQUENCY OF TRADES	AVERAGE SIZE OF TRADES	TYPE OF TRADES (CASH/MARGIN/SHORT/LONG)																																	
OPTIONS	20+	High	_____	Cash / Short / Long																																	
STOCKS	20+	High	_____	Cash / Short / Long																																	
BONDS	20+	High	_____	Cash / Short / Long																																	
FX	20+	High	_____	Cash / Short / Long																																	
COMMODITIES	_____	_____	_____	_____																																	
OTHER	_____	_____	_____	_____																																	