

**The Morgan Account
J.P. Morgan Securities, Inc.
Derivatives Approval Form**



MORGAN USE ONLY

US977 10/01

Title **Financial Trust Company Inc.**

SPN [REDACTED]

Primary CAS [REDACTED]

MORGAN USE ONLY

THIS ACCOUNT IS APPROVED FOR THE FOLLOWING TYPES OF TRANSACTIONS:

	EXCHANGE TRADED OPTIONS		OTC OPTIONS			
	EQUITIES	OTC EQUITY	EM	FX	COMMODITY	DEBT
LEVEL 1: COVERED WRITING	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
LEVEL 2: BUYING OPTIONS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
LEVEL 3: SPREADS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
LEVEL 5: UNCOVERED WRITING	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
LEVEL 6: COMBINATIONS/STRADDLES	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

DELIVERY INSTRUCTIONS

- Please re-code following account for options: _____
- Open new account with the following delivery instructions: _____

PLEASE LIST RELATED ACCOUNT NUMBERS:

PLEASE LIST ALL ACCOUNTS TRADING IN CONCERT WITH THIS ACCOUNT (EXCHANGE TRADED OPTIONS ONLY):

INFORMATION TO CLIENT:

Publication Date of OCC Booklet: **02/1994** Date OCC Booklet Sent to Client: **01/16/02** Date Risk Disclosures Sent to Client: **01/16/02**

Documentation for trading authorization/ Power of Attorney: Yes No RR Registered in Client State: Yes No Client Type Code: _____

CLIENT IS:

Accredited Individual Investor Accredited Institutional Investor Neither

SIGN HERE

ALL ACCOUNTHOLDERS OR APPROPRIATE OFFICERS OF ACCOUNTHOLDER(S) ARE REQUIRED TO SIGN BELOW:

_____ **2-18-04** **President**
Signature (Accountholder) Date Title (e.g., Trustee)

Signature (Additional Accountholder) Date Title (e.g., Trustee)

RR Signature _____ Date _____

Series R Signature _____ Date _____

RQP Signature (Exchange Traded Options) _____ Date _____

SRQP Signature (Exchange Traded Options Levels 5 & 6) _____ Date _____