The Morgan Account
J.P. Morgan Securities, Inc.
Derivatives Approval Form

JPMorgan Private Bank

MORGAN USE ONLY US977 10/01
Title **Financial Trust Company Inc.**
SPN **2811138**
Primary CAS [redacted]

E BUSINESS AFFILIATIONS

I AM, OR HAVE BEEN, OR MY JOINT ACCOUNTHOLDER, MY SPOUSE OR ANOTHER FAMILY MEMBER IS, OR RECENTLY HAS BEEN, A DIRECTOR, CORPORATE OFFICER OR AN OWNER OF 10% OF A PUBLIC CORPORATION'S STOCK.

☐ Yes Name of Director/Officer/Owner _____

☒ No Name of Corporation _____

IS THE CORPORATION TRADED PUBLICLY ON A U.S. STOCK EXCHANGE: ☐ YES ☐ NO

I AM OR MY JOINT ACCOUNTHOLDER, MY SPOUSE, ANOTHER IMMEDIATE FAMILY MEMBER OR AN INDIVIDUAL CONTROLLING THIS ACCOUNT IS EMPLOYED BY OR ASSOCIATED WITH A U.S. BROKER/DEALER OPERATING ANYWHERE.

☐ Yes* Name of Broker/Dealer _____

☒ No Name of Employee/Associated Person _____

** Employer must provide written permission on corporate letterhead to open the brokerage option of my Asset Account.*

I AM OR AN IMMEDIATE FAMILY MEMBER OR ANOTHER HOUSEHOLD MEMBER IS AN EMPLOYEE OF A FINANCIAL INSTITUTION (OTHER THAN BROKER/DEALER) OR INSURANCE COMPANY.

☐ Yes Name of Institution /Company _____

☒ No Name of Employee

F INVESTMENT OBJECTIVES & RISK TOLERANCE

MY OBJECTIVES FOR THIS ACCOUNT (CHECK ONE):

☐ Capital preservation

☐ Income generation

☒ Capital appreciation

SPECULATIVE OR AGGRESSIVE INVESTMENTS THAT MAY GENERATE HIGHER RETURNS BUT MAY BE RISKIER THAN OTHER INVESTMENTS BECAUSE I MAY LOSE ALL OR PART OF MY INVESTMENT (CHECK ONE):

☒ Are permitted in this account.

☐ Are not permitted in this account.

PRIMARY SOURCE OF INCOME (FOR IRAS, INDIVIDUAL AND JOINT ACCOUNTS ONLY):

☐ Investments ☐ Pension

☐ Compensation ☐ Other _____

G INVESTMENT EXPERIENCE

PLEASE INDICATE INVESTMENT EXPERIENCE BELOW*:

	# OF YEARS TRADED	FREQUENCY OF TRADES	AVERAGE SIZE OF TRADES	TYPE OF TRADES (CASH/MARGIN/SHORT/LONG)
OPTIONS	20+	High		Cash / Short / Long
STOCKS	20+	High		Cash / Short / Long
BONDS	20+	High		Cash / Short / Long
FX	20+	High		Cash / Short / Long
COMMODITIES				
OTHER				

** Derivatives approval is most appropriate for active traders and will be granted subject to JPMSI approval.*